# The Navier-Stokes Equation 

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### 25.1 Analysis of the relative motion near a point

Suppose that the velocity of the fluid at position $\mathbf{r}_{0}(x, y, z)$ and time $t$ is $\mathbf{V}(x, y, z, t)$, and that the simultaneous velocity at a neighboring position $\mathbf{r}_{0}+\mathbf{r}$ is $\mathbf{V}+\delta \mathbf{V}$. We can write

$$
\left(\begin{array}{c}
\delta u  \tag{25.1}\\
\delta v \\
\delta w
\end{array}\right)=\left(\begin{array}{lll}
\frac{\partial u}{\partial x} & \frac{\partial u}{\partial y} & \frac{\partial u}{\partial z} \\
\frac{\partial v}{\partial x} & \frac{\partial v}{\partial z} & \frac{\partial v}{\partial z} \\
\frac{\partial w}{\partial x} & \frac{\partial w}{\partial y} & \frac{\partial w}{\partial z}
\end{array}\right)\left(\begin{array}{l}
x \\
y \\
z
\end{array}\right)
$$

In vector form, this can be written as

$$
\begin{equation*}
\delta \mathbf{V}=\mathbf{D} \cdot \mathbf{r}, \tag{25.2}
\end{equation*}
$$

where $\mathbf{D}$ is called the deformation tensor because it describes how the fluid element is being deformed by the non-uniform motion field. Using Cartesian tensor notation, we can alternatively express (25.1) as

$$
\begin{equation*}
\delta v_{i}=\frac{\partial v_{i}}{\partial x_{j}} x_{j}, \text { where } i=1,2,3 \tag{25.3}
\end{equation*}
$$

We can decompose $\partial v_{i} / \partial x_{j}$ into two parts which are symmetric and antisymmetric in the suffices $i$ and $j$. They are

$$
\begin{equation*}
\varepsilon_{i j}=\frac{1}{2}\left(\frac{\partial v_{i}}{\partial x_{j}}+\frac{\partial v_{j}}{\partial x_{i}}\right), \tag{25.4}
\end{equation*}
$$

and

$$
\begin{equation*}
\xi_{i j}=\frac{1}{2}\left(\frac{\partial v_{i}}{\partial x_{j}}-\frac{\partial v_{j}}{\partial x_{i}}\right) \tag{25.5}
\end{equation*}
$$

respectively. The deformation $\mathbf{D}$ can now be expressed by

$$
\begin{equation*}
\mathbf{D}=\varepsilon+\Xi \tag{25.6}
\end{equation*}
$$

where we define two "parts" of $\mathbf{D}$ :

$$
\boldsymbol{\varepsilon} \equiv\left(\begin{array}{l}
e_{x x}
\end{array} e_{x y} e_{x z}\right), ~ \text { and } \Xi \equiv\left(\begin{array}{ccc}
0 & \xi_{x y} & \xi_{x z}  \tag{25.7}\\
e_{y x} & e_{y y} & e_{y z} \\
e_{z x} & e_{z y} & e_{z z}
\end{array}\right),\left(\begin{array}{ccc}
\xi_{y x} & 0 & \xi_{y z} \\
\xi_{z x} & \xi_{z y} & 0
\end{array}\right)
$$

We show below that $\boldsymbol{\varepsilon}$ is related to the divergence, and $\boldsymbol{\Xi}$ is related to the vorticity. Correspondingly, $\delta \mathbf{V}$ can be divided into two parts, i.e.

$$
\begin{equation*}
\delta \mathbf{V}=\delta \mathbf{V}^{(s)}+\delta \mathbf{V}^{(a)}, \text { where } \delta \mathbf{V}_{i}^{(s)}=e_{i j} X_{j}, \text { and } \delta \mathbf{V}_{i}^{(a)}=\xi_{i j} X_{j} \tag{25.8}
\end{equation*}
$$

Here the superscripts $s$ and $a$ denote "symmetric" and "antisymmetric," respectively.
We refer to $\boldsymbol{\varepsilon}$ as the rate of strain tensor, or simply as the strain tensor. The diagonal elements of $\boldsymbol{\varepsilon}$, i.e. $e_{x x}=\frac{\partial u}{\partial x}, e_{y y}=\frac{\partial v}{\partial y}, e_{z z}=\frac{\partial w}{\partial z}$, are called the normal strains, and represent the rate of volume expansion, as illustrated in Fig. 25.1 a. The sum of the normal strains, i.e., the trace of the strain tensor, is the divergence of $\mathbf{V}$, i.e.

$$
\begin{equation*}
e_{x x}+e_{y y}+e_{z z}=e_{i i}=\nabla \cdot \mathbf{V} \tag{25.9}
\end{equation*}
$$

The off-diagonal elements of $\boldsymbol{\varepsilon}$, namely $e_{x y}, e_{y z}$, and $e_{z x}$, are called the shearing strains, and express the rate of shearing deformation, as illustrated in Fig. 25.1 b. They can be written as

$$
\begin{align*}
& e_{x y}=e_{y x}=\frac{1}{2}\left(\frac{\partial u}{\partial y}+\frac{\partial v}{\partial x}\right) \\
& e_{y z}=e_{z y}=\frac{1}{2}\left(\frac{\partial v}{\partial z}+\frac{\partial w}{\partial y}\right) \\
& e_{z x}=e_{x z}=\frac{1}{2}\left(\frac{\partial w}{\partial x}+\frac{\partial u}{\partial z}\right) \tag{25.10}
\end{align*}
$$



Figure 25.1: a) The normal strains, representing the rate of volume expansion. b) The shearing strains, which represent the rate of shearing deformation.

The elements of the anti-symmetric tensor, $\Xi$, are

$$
\begin{equation*}
\xi_{z y}=-\xi_{y z}=\frac{1}{2}\left(\frac{\partial w}{\partial y}-\frac{\partial v}{\partial z}\right), \xi_{x z}=-\xi_{z x}=\frac{1}{2}\left(\frac{\partial u}{\partial z}-\frac{\partial w}{\partial x}\right), \xi_{y x}=-\xi_{x y}=\left(\frac{\partial v}{\partial x}-\frac{\partial u}{\partial y}\right) . \tag{25.11}
\end{equation*}
$$

Introducing the notation $\omega_{x}=-2 \xi_{y z}, \omega_{y}=-2 \xi_{z x}, \omega_{z}=-2 \xi_{z x}$, we see that $\left(\omega_{x}, \omega_{y}, \omega_{z}\right)$ is the vorticity vector

$$
\begin{equation*}
\omega=\nabla \times \mathbf{V} \tag{25.12}
\end{equation*}
$$

In tensor notation, (25.12) can be written as

$$
\begin{equation*}
\omega_{i}=\varepsilon_{i j k} \frac{\partial v_{k}}{\partial x_{j}} \tag{25.13}
\end{equation*}
$$

where $\varepsilon_{i j k}=1$ when $i, j, k$ are in even permutation, and $\varepsilon_{i j k}=-1$ when $i, j, k$ are in odd permutation. For example, $\omega_{z}=\omega_{3}=\varepsilon_{321} \frac{\partial v_{2}}{\partial x_{1}}+\varepsilon_{312} \frac{\partial v_{1}}{\partial x_{2}}=\frac{\partial v}{\partial x}-\frac{\partial u}{\partial y}$, which gives twice the angular velocity about the z-axis, as illustrated in Fig. 25.2. Thus


Figure 25.2: The component of the vorticity in the $z$ direction.

$$
\left(\begin{array}{c}
\delta u^{(a)}  \tag{25.14}\\
\delta v^{(a)} \\
\delta w^{(a)}
\end{array}\right)=\frac{1}{2}\left(\begin{array}{ccc}
0 & -\omega_{z} & -\omega_{y} \\
\omega_{z} & 0 & -\omega_{x} \\
-\omega_{y} & \omega_{x} & 0
\end{array}\right)\left(\begin{array}{l}
x \\
y \\
z
\end{array}\right)
$$

or

$$
\begin{equation*}
\delta \mathbf{V}^{(a)}=\frac{1}{2}(\boldsymbol{\omega} \times \mathbf{r}) . \tag{25.15}
\end{equation*}
$$

### 25.2 Invariants of the strain tensor

Consider the scalar product

$$
\begin{align*}
\mathbf{r} \cdot \varepsilon \cdot \mathbf{r} & =\mathbf{r} \cdot(\varepsilon \cdot \mathbf{r}) \\
& =e_{x x} x^{2}+e_{y y} y^{2}+e_{z z} z^{2}+2\left(e_{x y} x y+e_{y z} y z+e_{z x} z x\right) \\
& =f(x, y, z) . \tag{25.16}
\end{align*}
$$

By putting $f(x, y, z)=$ constant, we obtain a surface of second order, the strain quadratic, also called the ellipsoid of strain.

We refer the strain quadratic to its principal axes $\left(X_{1}, X_{2}, X_{3}\right)$. Then (25.16) takes the form

$$
\begin{equation*}
e_{1} X_{1}^{2}+e_{2} X_{2}^{2}+e_{3} X_{3}^{2}=F\left(X_{1}, X_{2}, X_{3}\right)=\text { constant } \tag{25.17}
\end{equation*}
$$

Here $e_{1}, e_{2}$, and $e_{3}$ are the principal values of the strain tensor, and are called the principal normal strains.

Using (25.16), we see that

$$
\left.\begin{array}{l}
\delta u^{(s)}=\frac{1}{2} \frac{\partial f}{\partial x}=e_{x x} x+e_{x y} y+e_{x z} z \\
\delta v^{(s)}=\frac{1}{2} \frac{\partial f}{\partial y}=e_{y x} x+e_{y y} y+e_{y z} z  \tag{25.18}\\
\delta w^{(s)}=\frac{1}{2} \frac{\partial f}{\partial z}=e_{z x} x+e_{z y} y+e_{z z} z
\end{array}\right\}
$$

In terms of the principal axes $\left(X_{1}, X_{2}, X_{3}\right)$,

$$
\begin{equation*}
\delta v_{1}^{(s)}=\frac{1}{2} \frac{\partial F}{\partial X_{1}}=e_{1} X_{1}, \delta v_{2}^{(s)}=e_{2} X_{2}, \delta v_{3}^{(s)}=e_{3} X_{3} \tag{25.19}
\end{equation*}
$$

$\left(\delta v_{1}^{(s)}, \delta v_{2}^{(s)}, \delta v_{3}^{(s)}\right)$ are the projections of $\delta v^{(s)}$ upon the principal axes. Therefore, the symmetric part of $\delta \mathbf{V}$ is composed of three expansions (or contractions) in the mutually orthogonal directions of the principal axes of the strain quadratic.

We next consider the sum of the diagonal elements of ${ }^{\mathbf{e}}$, i.e.

$$
\begin{equation*}
e_{x x}+e_{y y}+e_{z z}=e_{i i}=\nabla \cdot \mathbf{V} \tag{25.20}
\end{equation*}
$$

This quantity is an invariant under the rotation of the axes of reference. Consider the
transformation by which the surface $f(x, y, z)=$ the constant of (25.16) is transformed into $\boldsymbol{F}\left(X_{1}, X_{2}, X_{3}\right)=$ the constant of (25.17). We can write the transformation between the two Cartesian coordinates in the schematic, tabular form shown in Table 25.1

|  | X | y | Z |
| :--- | :--- | :--- | :--- |
| $\mathrm{X}^{1}$ | a 1 | b 1 | g 1 |
| $\mathrm{X}^{2}$ | a 2 | b 2 | g 2 |
| $\mathrm{X}^{3}$ | a3 | b 3 | g 3 |

Table 25.1: The transformation between two Cartesian coordinate systems. Here ${ }^{\text {ai }}$, bi ${ }^{\text {gi }}$, are the direction cosines between the axes.

Here ${ }^{\text {ai }}{ }^{\text {bi }},{ }^{\mathbf{g i}}$, are the direction cosines between the axes. We find that

$$
\left.\begin{array}{c}
\sum_{i=1}^{3} \alpha_{i}^{2}=1, \sum_{i=1}^{3} \alpha_{i} \beta_{i}=0  \tag{25.21}\\
\alpha_{i} \alpha_{j}+\beta_{i} \beta_{j}+\gamma_{i} \gamma_{j}=\delta_{i j}
\end{array}\right\}
$$

where $\delta_{i j}$ is Kronecker's delta $\left(\delta_{i j}=1\right.$ when $i=j, \delta_{i j}=0$ when $\left.i \neq j\right)$.
Substituting the expression

$$
\begin{equation*}
X_{i}=\alpha_{i} x+\beta_{i} y+\gamma_{i} z \text { where } i=1,2,3 \tag{25.22}
\end{equation*}
$$

into (25.17), we obtain

$$
\left.\begin{array}{c}
\sum_{i=1}^{3} \alpha_{i}^{2}=1, \sum_{i=1}^{3} \alpha_{i} \beta_{i}=0  \tag{25.23}\\
\alpha_{i} \alpha_{j}+\beta_{i} \beta_{j}+\gamma_{i} \gamma_{j}=\delta_{i j}
\end{array}\right\}
$$

This expression must be identical with (25.16), so that

$$
\left.\begin{array}{c}
e_{x x}=\alpha_{1}^{2} e_{1}+\alpha_{2}^{2} e_{2}+\alpha_{3}^{2} e_{3}, e_{x y}=\alpha_{1} \beta_{1} e_{1}+\alpha_{2} \beta_{2} e_{2}+\alpha_{3} \beta_{3} e_{3}, \\
e_{y y}=\beta_{1}^{2} e_{1}+\beta_{2}^{2} e_{2}+\beta_{3}^{2} e_{3}, e_{y z}=\beta_{1} \gamma_{1} e_{1}+\beta_{2} \gamma_{2} e_{2}+\beta_{3} \gamma_{3} e_{3}  \tag{25.24}\\
e_{z z}=\gamma_{1}^{2} e_{1}+\gamma_{2}^{2} e_{2}+\gamma_{3}^{2} e_{3}, e_{z y}=\gamma_{1} \alpha_{1} e_{1}+\gamma_{2} \alpha_{2} e_{2}+\gamma_{3} \alpha_{3} e_{3},
\end{array}\right\}
$$

It follows that

$$
\begin{equation*}
\Delta=e_{x x}+e_{y y}+e_{z z}=\sum_{i=1}^{3}\left(\alpha_{i}^{2}+\beta_{i}^{2}+\gamma_{i}^{2}\right) e_{i}=e_{1}+e_{2}+e_{3} \tag{25.25}
\end{equation*}
$$

i.e., the sum $e_{x x}+e_{y y}+e_{z z}=\nabla \cdot \mathbf{V}$ is independent of the choice of reference. Of course, this quantity is called the divergence, and we "knew already" that it is independent of the choice of reference.

Another invariant of the strain tensor is

$$
\begin{equation*}
e_{x x} e_{y y}+e_{y y} e_{z z}+e_{z z} e_{x x}-e_{x y}^{2}-e_{y z}^{2}-e_{z x}^{2}=\text { constant } \tag{25.26}
\end{equation*}
$$

The proof is left as an exercise.

### 25.3 Stress

In a moving viscous fluid, forces act not only normal to a surface but also tangential to it. In Fig. 25.3, $\tau_{x}, \tau_{y}$ and $\tau_{z}$ denote respectively the forces per unit area acting upon the surfaces normal to the positive $x, y$, and $z$ directions, respectively. In


Figure 25.3: Sketch illustrating the normal and tangential forces acting on an element of fluid.
general, each force is a vector with 3 components, so that

$$
\left.\begin{array}{l}
\tau_{x}=\tau_{x x} \mathbf{i}+\tau_{y x} \mathbf{j}+\tau_{z x} \mathbf{k}, \\
\tau_{y}=\tau_{x y} \mathbf{i}+\tau_{y y} \mathbf{j}+\tau_{z y} \mathbf{k},  \tag{25.27}\\
\tau_{z}=\tau_{x z} \mathbf{i}+\tau_{y z} \mathbf{j}+\tau_{z z} \mathbf{k} .
\end{array}\right\} .
$$

Symbolically we can write an element of the stresses as $\tau_{i j}(i=1,2,3 ; j=1,2,3)$. Here $\tau_{i j}$ is the $i$-component of the force per unit area exerted across a plane surface element normal to the $j$-direction. The stress is thus a tensor with nine elements, and can be represented by the matrix

$$
\mathbf{T}=\left(\begin{array}{lll}
\tau_{x x} & \tau_{x y} & \tau_{x z}  \tag{25.28}\\
\tau_{y x} & \tau_{y y} & \tau_{y z} \\
\tau_{z x} & \tau_{z y} & \tau_{z z}
\end{array}\right)
$$

For an arbitrarily chosen plane surface, whose normal vector is $\mathbf{n}$, the force acting per unit area is $\tau_{n}$. See Fig. 25.4. The equilibrium condition is

$$
\begin{gather*}
\tau_{-y}=-\tau_{y}  \tag{25.29}\\
\tau_{n} \Delta \sigma-\tau_{x} \Delta \sigma_{x}-\tau_{y} \Delta \sigma_{y}-\tau_{z} \Delta \sigma_{z}=0,
\end{gather*}
$$

where $\Delta \sigma$ is the area of the oblique surface, $\Delta \sigma_{x}, \Delta \sigma_{y}, \Delta \sigma_{z}$, are the areas of the faces lying in the planes $x=0, y=0$ and $z=0$. Denoting the direction cosines of $\mathbf{n}$ by $\alpha$, $\beta$ and $\gamma$, we have $\frac{\Delta \sigma_{x}}{\Delta \sigma}=\alpha, \frac{\Delta \sigma_{y}}{\Delta \sigma}=\beta, \frac{\Delta \sigma_{z}}{\Delta \sigma}=\gamma$. Therefore (25.29) can be written as

$$
\begin{equation*}
\tau_{n}=\alpha \tau_{x}+\beta \tau_{y}+\gamma \tau_{z} \tag{25.30}
\end{equation*}
$$

or

$$
\left(\begin{array}{c}
\tau_{x n}  \tag{25.31}\\
\tau_{y n} \\
\tau_{z n}
\end{array}\right)=\left(\begin{array}{lll}
\tau_{x x} & \tau_{x y} & \tau_{x z} \\
\tau_{y x} & \tau_{y y} & \tau_{y z} \\
\tau_{z x} & \tau_{z y} & \tau_{z z}
\end{array}\right)\left(\begin{array}{c}
\alpha \\
\beta \\
\gamma
\end{array}\right),
$$

or

$$
\begin{equation*}
\tau_{n}=\mathbf{T} \cdot \mathbf{n} . \tag{25.32}
\end{equation*}
$$



Figure 25.4: Sketch illustrating the normal force acting on a plane surface.

Equation (25.32) shows that matrix $\mathbf{T}$ transforms a vector $\mathbf{n}$ into another vector $\boldsymbol{\tau}_{n}$, so that $\mathbf{T}$ is a tensor, called the stress tensor. See Fig. 25.5. The three diagonal elements, $\tau_{x x}, \tau_{y y}$, and $\tau_{z z}$, are normal stresses. The six off-diagonal elements are tangential stresses.

An important property of $\mathbf{T}$ is its symmetry, i.e. $\tau_{i j}=\tau_{j i}$. This symmetry can be deduced from the condition of moment equilibrium. Consider for example a moment about the z -axis due to $\tau_{y x}$ and $\tau_{x y}$ :

$$
\begin{equation*}
\left(\tau_{y x} \Delta y \Delta z\right) \frac{1}{2} \Delta x-\left(\tau_{x y} \Delta x \Delta z\right) \frac{1}{2} \Delta y=\frac{1}{2}\left(\tau_{y x}-\tau_{x y}\right) \Delta x \Delta y \Delta z . \tag{25.33}
\end{equation*}
$$

The shear stresses acting on the negative $x$ - and $y$-surfaces contribute also, so that the total moment about the z -axis is

$$
\begin{equation*}
\left(\tau_{y x}-\tau_{x y}\right) \Delta x \Delta y \Delta z . \tag{25.34}
\end{equation*}
$$

Euler's equation (for a rotating rigid body) states that


Figure 25.5: Sketch illustrating the components of the stress tensor.

$$
\begin{equation*}
I_{z} \frac{d \Omega_{z}}{d t}=\left(\tau_{y x}-\tau_{x y}\right) \Delta x \Delta y \Delta z \tag{25.35}
\end{equation*}
$$

where $I_{z}=\frac{(\Delta x)^{2}+(\Delta y)^{2}}{12}=\frac{\rho}{12} \Delta x \Delta y \Delta z\left[(\Delta x)^{2}+(\Delta y)^{2}\right]$ is the moment of inertia of the fluid element $\rho \Delta x \Delta y \Delta z$, and $\Omega_{z}$ is the angular velocity about the positive z-axis. Then (25.35) reduces to

$$
\begin{equation*}
\frac{\rho}{12}\left\{(\Delta x)^{2}+(\Delta y)^{2}\right\} \frac{d \Omega_{z}}{d t}=\tau_{y x}-\tau_{x y} . \tag{25.36}
\end{equation*}
$$

In order that $\frac{d \Omega_{z}}{d t}$ remains finite, we need $\left(\tau_{y x}-\tau_{x y}\right) \rightarrow 0$ when $\Delta x, \Delta y \rightarrow 0$. It follows that $\tau_{y x}=\tau_{x y}$. Similar considerations establish that the stress tensor is symmetric, i.e.,

$$
\begin{equation*}
\tau_{x y}=\tau_{y x}, \tau_{y z}=\tau_{z y}, \tau_{z x}=\tau_{x z} . \tag{25.37}
\end{equation*}
$$

### 25.4 Invariants of the stress tensor

As in the case of the strain tensor, we have a scalar product

$$
\begin{equation*}
\mathbf{r} \cdot \mathbf{T} \cdot \mathbf{r}=\tau_{1} X_{1}^{2}+\tau_{2} X_{2}^{2}+\tau_{3} X_{3}^{2}=\text { constant }, \tag{25.38}
\end{equation*}
$$

where $\tau_{1}, \tau_{2}$, and $\tau_{3}$ are the principal stresses acting on the surface elements normal to the principal axes $\left(\mathrm{X}^{\mathbf{1}}, \mathrm{X}^{\mathbf{2}}, \mathrm{X}^{\mathbf{3}}\right)$ of the ellipsoid of stress. It can be shown that $\mathbf{r} \cdot \mathbf{T} \cdot \mathbf{r}$ is an invariant under the rotation of the axes of reference. The sum of the normal stresses, i.e., the trace of the stress tensor,

$$
\begin{equation*}
\tau_{x x}+\tau_{y y}+\tau_{z z}=\tau_{i i}=\tau_{1}+\tau_{2}+\tau_{3}=-3 p, \tag{25.39}
\end{equation*}
$$

is also an invariant. For a moving fluid, (25.39) is the definition of pressure $p$, which reduces to the static pressure when the fluid is at rest.

### 25.5 The resultant forces due to the spatial variation of the stresses

The resultant force acting in the x-direction on a volume element of fluid $\Delta x \Delta y \Delta z$ is

$$
\begin{gather*}
\left(\tau_{x x}+\frac{\partial \tau_{x x}}{\partial x} \Delta x\right) \Delta y \Delta z-\tau_{x x} \Delta y \Delta z+\left(\tau_{x y}+\frac{\partial \tau_{x y}}{\partial y} \Delta y\right) \Delta x \Delta z \\
-\tau_{x y} \Delta y \Delta z+\left(\tau_{x z}+\frac{\partial \tau_{x z}}{\partial z} \Delta z\right) \Delta x \Delta y-\tau_{x z} \Delta x \Delta y=\left(\frac{\partial \tau_{x x}}{\partial x}+\frac{\partial \tau_{x y}}{\partial y}+\frac{\partial \tau_{x z}}{\partial z}\right) \Delta x \Delta y \Delta z \tag{25.40}
\end{gather*}
$$

Therefore the three components of the resultant force (vector) per unit volume are

$$
\left.\begin{array}{l}
X=\frac{\partial \tau_{x x}}{\partial x}+\frac{\partial \tau_{x y}}{\partial y}+\frac{\partial \tau_{x z}}{\partial z}=\frac{\partial \tau_{x j}}{\partial x_{j}} \\
Y=\frac{\partial \tau_{y x}}{\partial x}+\frac{\partial \tau_{y y}}{\partial y}+\frac{\partial \tau_{y z}}{\partial z}=\frac{\partial \tau_{y j}}{\partial x_{j}}  \tag{25.41}\\
Z=\frac{\partial \tau_{z x}}{\partial x}+\frac{\partial \tau_{z y}}{\partial y}+\frac{\partial \tau_{z z}}{\partial z}=\frac{\partial \tau_{z j}}{\partial x_{j}}
\end{array}\right\}
$$

Equation (25.41) can be compactly written as

$$
\begin{align*}
& \operatorname{Div} \mathbf{T}=\frac{\partial \tau_{x}}{\partial x}+\frac{\partial \tau_{y}}{\partial y}+\frac{\partial \tau_{z}}{\partial z} \\
& =\mathbf{i} \frac{\partial \tau_{x j}}{\partial x_{j}}+\mathbf{j} \frac{\partial \tau_{y j}}{\partial y_{j}}+\mathbf{k} \frac{\partial \tau_{z j}}{\partial z j} . \tag{25.42}
\end{align*}
$$

$\operatorname{Div} \mathbf{T}$ is a tensor divergence and, therefore, is a vector.

### 25.6 Physical laws connecting the stress and strain tensors

The relation between the stress tensor and the strain tensor may be established by the following assumptions.
i)

$$
\begin{equation*}
\tau_{i j}=-p \delta_{i j}+\mathbf{F}_{i j}, \tag{25.43}
\end{equation*}
$$

where $\mathbf{F}_{i j}$ is the frictional stress tensor which depends on the space derivatives of the velocity, i.e. the strain tensor.
ii) The relationship between $\mathbf{F}_{i j}$ and $\mathbf{e}^{\mathrm{ij}}$ is linear.
iii) The relationship does not depend on the frame of reference.

We have already shown that, with reference to the respective principal axes,

$$
\mathbf{T}=\left(\begin{array}{ccc}
\tau_{1} & 0 & 0  \tag{25.44}\\
0 & \tau_{2} & 0 \\
0 & 0 & \tau_{3}
\end{array}\right) \text { and } \boldsymbol{\varepsilon}=\left(\begin{array}{ccc}
e_{1} & 0 & 0 \\
0 & e_{2} & 0 \\
0 & 0 & e_{3}
\end{array}\right)
$$

Because $\tau_{1}, \tau_{2}$, and $\tau_{3}$ are normal stresses and $e^{1}, e^{2}, e^{3}$ are normal strains, it is natural to assume that the principal axes of both tensors are the same. We therefore assume that

$$
\left.\begin{array}{l}
\tau_{1}=-p+\lambda \nabla \cdot \mathbf{V}+2 \mu e_{1}  \tag{25.45}\\
\tau_{2}=-p+\lambda \nabla \cdot \mathbf{V}+2 \mu e_{2} \\
\tau_{3}=-p+\lambda \nabla \cdot \mathbf{V}+2 \mu e_{3}
\end{array}\right\}
$$

where $p$ satisfies (25.39).
Writing direction cosines between the principal axes $\left(\mathrm{X}^{1}, \mathrm{X}^{2}, \mathrm{X}^{\mathbf{3}}\right)$ and axes of a Cartesian coordinate system $(x, y, z)$ as shown in Table 25.2,

|  | x | y | z |
| :---: | :---: | :---: | :---: |
| $\mathrm{X}^{1}$ | a1 | b 1 | g 1 |
| $\mathrm{X}^{2}$ | a2 | b 2 | g 2 |
| $\mathrm{X}^{3}$ | a3 | b 3 | $\mathrm{~g}^{3}$ |

## Table 25.2: Direction cosines between principle axes.

we find that

$$
\begin{align*}
& \tau_{x x}=\alpha_{1}^{2} \tau_{1}+\alpha_{2}^{2} \tau_{2}+\alpha_{3}^{2} \tau_{3}  \tag{25.46}\\
& \tau_{x y}=\alpha_{1} \beta_{1} \tau_{1}+\alpha_{2} \beta_{2} \tau_{2}+\alpha_{3} \beta_{3} \tau_{3}
\end{align*}
$$

etc. Substituting (25.45) into (25.46), and using (25.24), we obtain

$$
\left\{\begin{array}{c}
\tau_{x x}=\left[-p+\lambda(\nabla \cdot \mathbf{V})+2 \mu e_{1}\right] \alpha_{1}^{2}+\left[-p+\lambda(\nabla \cdot \mathbf{V})+2 \mu e_{2}\right] \alpha_{2}^{2}  \tag{25.47}\\
+\left[-p+\lambda(\nabla \cdot \mathbf{V})+2 \mu e_{3}\right] \alpha_{3}^{2}-p+\lambda \Delta+2 \mu e_{x x} \\
\tau_{x y}=\left[-p+\lambda(\nabla \cdot \mathbf{V})+2 \mu e_{1}\right] \alpha_{1} \beta_{1}+\left[-p+\lambda(\nabla \cdot \mathbf{V})+2 \mu e_{2}\right] \alpha_{2} \beta_{2} \\
+\left[-p+\lambda(\nabla \cdot \mathbf{V})+2 \mu e_{3}\right] \alpha_{3} \beta_{3}=2 \mu e_{x y}
\end{array}\right.
$$

etc. In general we have

$$
\begin{equation*}
\tau_{i j}=-p \delta_{i j}+\lambda \nabla \cdot \mathbf{V} \delta_{i j}+2 \mu e_{i j} \tag{25.48}
\end{equation*}
$$

This expression gives

$$
\begin{align*}
\tau_{i i} & =-3 p+\lambda \nabla \cdot \mathbf{V}+2 \mu \nabla \cdot \mathbf{V} \\
& =-3 p+(3 \lambda+2 \mu) \nabla \cdot \mathbf{V} \tag{25.49}
\end{align*}
$$

Because we have defined $\tau_{i j}=-3 p$, we conclude that

$$
\begin{equation*}
3 \lambda+2 \mu+0 \tag{25.50}
\end{equation*}
$$

Thus we have the final expression

$$
\begin{align*}
\tau_{i j} & =-p \delta_{i j}+2 \mu\left(e_{i j}-\frac{1}{3} \nabla \cdot \mathbf{V} \delta_{i j}\right) \\
& =-p \delta_{i j}+F_{i j} \tag{25.51}
\end{align*}
$$

### 25.7 The Navier-Stokes equation

Substitution of (25.51) into (25.42) gives the resultant forces per unit volume, as expressed by the divergence of the stress tensor:

$$
\begin{equation*}
\operatorname{Div} \mathbf{T}=-\nabla p+\operatorname{Div} \mathbf{F} \tag{25.52}
\end{equation*}
$$

The equation of motion in the inertial frame (with subscript $a$ omitted) is

$$
\begin{equation*}
\frac{D \mathbf{V}}{D t}=-\nabla \phi-\alpha \nabla p+\alpha D i v \mathbf{F} \tag{25.53}
\end{equation*}
$$

or, in Cartesian tensor notation,

$$
\begin{align*}
\frac{D v_{i}}{D t}=\frac{\partial v_{i}}{\partial t} & +v_{j} \frac{\partial v_{i}}{\partial x_{j}}=-\frac{\partial \phi}{\partial x_{i}}-\alpha \frac{\partial p}{\partial x_{i}}+\alpha \frac{\partial}{\partial x_{j}}\left\{2 \mu\left[e_{i j}-\frac{1}{3}(\nabla \cdot \mathbf{V}) \delta_{i j}\right]\right\} \\
& =-\frac{\partial \phi}{\partial x_{i}}-\alpha \frac{\partial p}{\partial x_{i}}+\alpha \frac{\partial}{\partial x_{j}}\left\{\mu\left(\frac{\partial v_{i}}{\partial x_{j}}+\frac{\partial v_{j}}{\partial x_{i}}\right)-\frac{2}{3} \mu \frac{\partial v_{k}}{\partial x_{k}}\right\} \tag{25.54}
\end{align*}
$$

This is called the Navier-Stokes equation.
When the fluid is incompressible $(\nabla \cdot \mathbf{V}=0)$, and $\mu$ is spatially constant, (25.54) reduces to

$$
\begin{equation*}
\frac{D v_{i}}{D t}=-\frac{\partial \phi}{\partial x}-\alpha \frac{\partial p}{\partial x_{i}}+\alpha \mu\left(\frac{\partial^{2} v_{i}}{\partial x_{j}^{2}}\right) \tag{25.55}
\end{equation*}
$$

or, in vector form,

$$
\begin{equation*}
\frac{D \mathbf{V}}{D t}=-\nabla \phi-\alpha \nabla p+\alpha \mu \nabla^{2} \mathbf{V} \tag{25.56}
\end{equation*}
$$

Here we see the Laplacian of the vector $\mathbf{V}$.

It should be noted that $e_{i j}=\frac{1}{2}\left(\frac{\partial v_{i}}{\partial x_{j}}+\frac{\partial v_{j}}{\partial x_{i}}\right)$ vanishes when $\mathbf{V}=\Omega \times \mathbf{r}$ (rigid rotation), and that $\nabla \cdot(\Omega \times \mathbf{r})=0$. This means that we do not have to worry about the distinction between $\mathbf{V}_{a}=\mathbf{V}+\Omega \times \mathbf{r}$ and $\mathbf{V}$ in the expression $\mathbf{F}=\operatorname{Div} \mathbf{F}$ in the equation of relative motion. The frictional force is the same in the rotating frame as in the inertial frame.

### 25.8 A proof that the dissipation is non-negative

The dissipation rate is given by

$$
\begin{align*}
& (\mathbf{F} \cdot \nabla) \cdot \mathbf{V}=\mathbf{F}_{i j} \frac{\partial v_{i}}{\partial x_{j}} \equiv \delta \\
& =\mathbf{F}_{11} \frac{\partial u}{\partial x}+\mathbf{F}_{12} \frac{\partial u}{\partial y}+\mathbf{F}_{13} \frac{\partial u}{\partial z}  \tag{25.57}\\
& +\mathbf{F}_{21} \frac{\partial v}{\partial x}+\mathbf{F}_{22} \frac{\partial v}{\partial y}+\mathbf{F}_{23} \frac{\partial v}{\partial z} \\
& +\mathbf{F}_{31} \frac{\partial w}{\partial x}+\mathbf{F}_{32} \frac{\partial w}{\partial y}+\mathbf{F}_{33} \frac{\partial w}{\partial z} .
\end{align*}
$$

Since $\mathbf{F}_{i j}=\mathbf{F}_{j i}$, we have

$$
\begin{equation*}
\delta=\boldsymbol{F}_{i j} \frac{\partial v_{i}}{\partial x_{j}}=\mathbf{F}_{j i} \frac{\partial v_{i}}{\partial x_{j}}=\mathbf{F}_{i j} \frac{\partial v_{j}}{\partial x_{i}}, \tag{25.58}
\end{equation*}
$$

so we can write

$$
\begin{equation*}
2 \delta=\mathbf{F}_{i j}\left(\frac{\partial v_{i}}{\partial x_{j}}+\frac{\partial v_{j}}{\partial x_{i}}\right) \tag{25.59}
\end{equation*}
$$

But

$$
\begin{align*}
\mathbf{F}_{i j} & =2 \mu\left[\frac{1}{2}\left(\frac{\partial v_{i}}{\partial x_{j}}+\frac{\partial v_{j}}{\partial x_{i}}\right)-\frac{1}{3} \frac{\partial v_{i}}{\partial x_{i}} \delta_{i j}\right] \\
& =2 \mu\left\{\frac{1}{2}\left(\frac{\partial v_{i}}{\partial x_{j}}+\frac{\partial v_{j}}{\partial x_{i}}\right)\left(1-\delta_{i j}\right)+\left[\frac{1}{2}\left(\frac{\partial v_{i}}{\partial x_{j}}+\frac{\partial v_{j}}{\partial x_{i}}\right)-\frac{1}{3} \frac{\partial v_{i}}{\partial x_{i}}\right] \delta_{i j}\right\}, \tag{25.60}
\end{align*}
$$

or

$$
\begin{equation*}
\mathbf{F}_{i j}=2 \mu\left[\frac{1}{2}\left(\frac{\partial v_{i}}{\partial x_{j}}+\frac{\partial v_{j}}{\partial x_{i}}\right)\left(1-\delta_{i j}\right)+\frac{2}{3} \frac{\partial v_{i}}{\partial x_{j}} \delta_{i j}\right] \tag{25.61}
\end{equation*}
$$

Substituting (25.61) into (25.59), we find that

$$
\begin{align*}
\delta & =\mu\left[\frac{1}{2}\left(\frac{\partial v_{i}}{\partial x_{j}}+\frac{\partial v_{j}}{\partial x_{i}}\right)\left(1-\delta_{i j}\right)+\frac{2}{3} \frac{\partial v_{i}}{\partial x_{j}} \delta_{i j}\right]\left(\frac{\partial v_{i}}{\partial x_{j}}+\frac{\partial v_{j}}{\partial x_{i}}\right) \\
& =\mu\left[2 e_{i j} e_{i j}\left(1-\delta_{i j}\right)+\frac{4}{3}(\nabla \cdot \mathbf{V})^{2} \delta_{i j}\right] . \tag{25.62}
\end{align*}
$$

This expression is obviously non-negative, since $\left(1-\delta_{i j}\right) \geq 0$ and $\delta_{i j} \geq 0$.
For a nondivergent flow, the dissipation can be expanded, using Cartesian coordinates, as

$$
\begin{align*}
\delta= & 2 \mu e_{i j} e_{i j} \\
& =\frac{1}{2} \mu\left[\left(\frac{\partial u}{\partial y}+\frac{\partial v}{\partial x}\right)^{2}+\left(\frac{\partial u}{\partial z}+\frac{\partial w}{\partial x}\right)^{2}\right. \\
& +\left(\frac{\partial v}{\partial x}+\frac{\partial u}{\partial y}\right)^{2}+\left(\frac{\partial v}{\partial z}+\frac{\partial w}{\partial y}\right)^{2} \\
& \left.+\left(\frac{\partial w}{\partial x}+\frac{\partial u}{\partial z}\right)^{2}+\left(\frac{\partial w}{\partial z}+\frac{\partial v}{\partial z}\right)^{2}\right] \\
& =\mu\left[\left(\frac{\partial u}{\partial y}+\frac{\partial v}{\partial x}\right)^{2}+\left(\frac{\partial u}{\partial z}+\frac{\partial w}{\partial x}\right)^{2}+\left(\frac{\partial w}{\partial y}+\frac{\partial v}{\partial z}\right)^{2}\right] . \tag{25.63}
\end{align*}
$$

This shows that $\delta$ is the sum of squares; again, it cannot be negative.

