

# Data Assimilation and Superparameterization

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STC Planning Workshop  
Silver Spring 15 December 2003

# Overview

- Data assimilation
  - Basics
  - Clouds and precipitation
  - NASA-NOAA-DOD Joint Center for Satellite Data Assimilation
  - Observing System Simulation Experiments (OSSEs)
- NCEP's global forecast system
  - Short-term drift (< 1 year)
  - Current performance of coupled forecast system
- Uses of Super-parameterization

# Data Assimilation (for the atmosphere)

- Data assimilation brings together all available information to make the best possible estimate of:
  - The atmospheric state
  - The initial conditions to a model which will produce the best forecast.

# Data Assimilation Context

- Information sources
  - Observations
  - Background (forecast)
  - Dynamics (e.g., balances between variables)
  - Physical constraints (e.g.,  $q > 0$ )
  - Statistics
  - Climatology

# Overview

- **Basic analysis equation**

$$J = (x-x_b)^T B^{-1} (x-x_b) + (H(x)-O)^T (E+F)^{-1} (H(x)-O) + \dots$$

J = Fit to background + Fit to observations + other constraints

x = Analysis

$x_b$  = Background

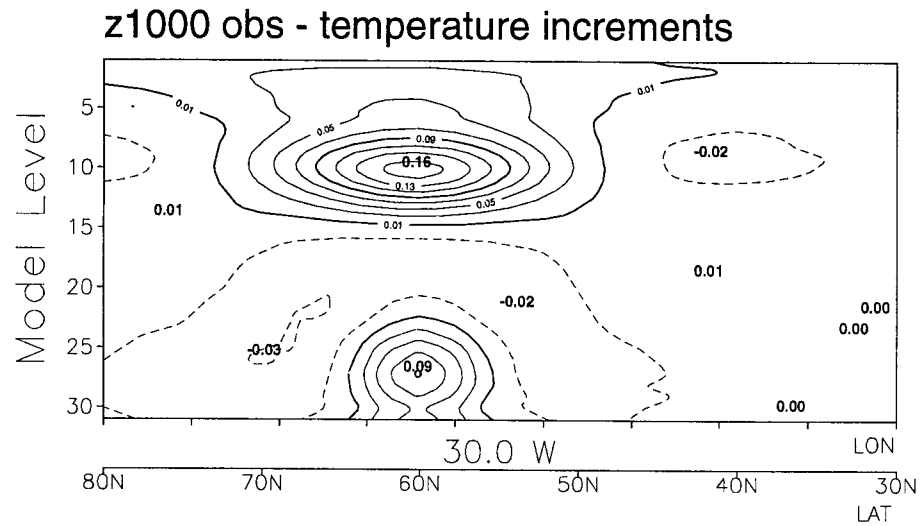
B = Background error covariance

H = Forward model

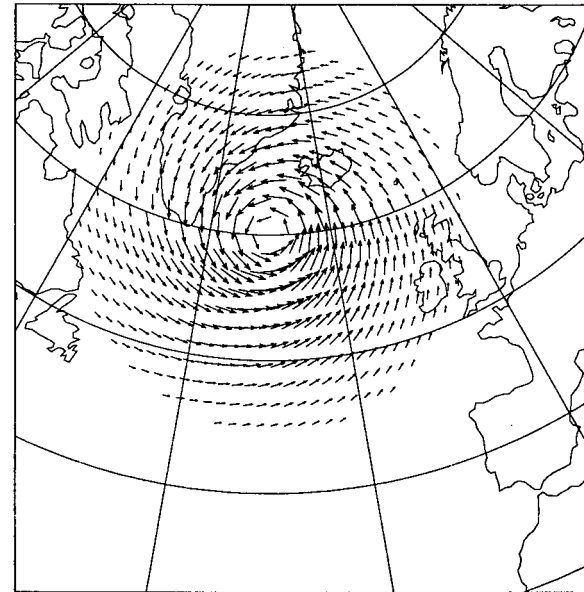
O = Observations

E+F = Instrument error + Representativeness error

# Sample background error structure



new Jb - z1000 obs - L30 wind increments  
→ 0.5 m/s



# Overview (cont)

- Current data assimilation systems have been developed for synoptic scale weather systems
  - Mesoscale applications ported down scale
  - Clouds and precipitation are inherently mesoscale
- There is a lot of mesoscale data which we already have that we cannot use properly
  - Satellite data is thought to be mesoscale
  - “high resolution” refers to
    - Horizontal pixel size
    - Number of channels
- There is a perception that “going to high resolution” will solve our problems
  - Perhaps we don’t know what problems will face us at “high resolution”

# Overview (cont)

- For mesoscale data assimilation improved will be techniques necessary before we can use much of the data properly
- A 10+ year problem

In NCEP's opinion: the bottom line is:

- At the mesoscale “you have to get it all right”.



# Improvements to assimilation techniques (1)

- **Background error covariances.**
  - Determine structures, smoothing, scales and inter-variable relationships within analysis.
  - Techniques for efficient computation.
  - Techniques for improved estimation.
- **Dynamical/Thermodynamical balance.**
  - Mass/moisture/momentum – Spin up, Spin down.
  - Gravity waves important.
  - Loss of simple balance implies increased observational requirements.

## Improvements to assimilation techniques (2)

- **Additional analysis variables.**
  - Clouds/precipitation.
  - Turbulence.
  - Aerosols.
  - Ozone, methane, CO<sub>2</sub>, etc.
  - Surface quantities (soil moisture, temperature, etc.).
- **Improved forecast models.**
  - WRF model.
  - Must include all analysis quantities.
  - Improved model forecast makes assimilation easier.

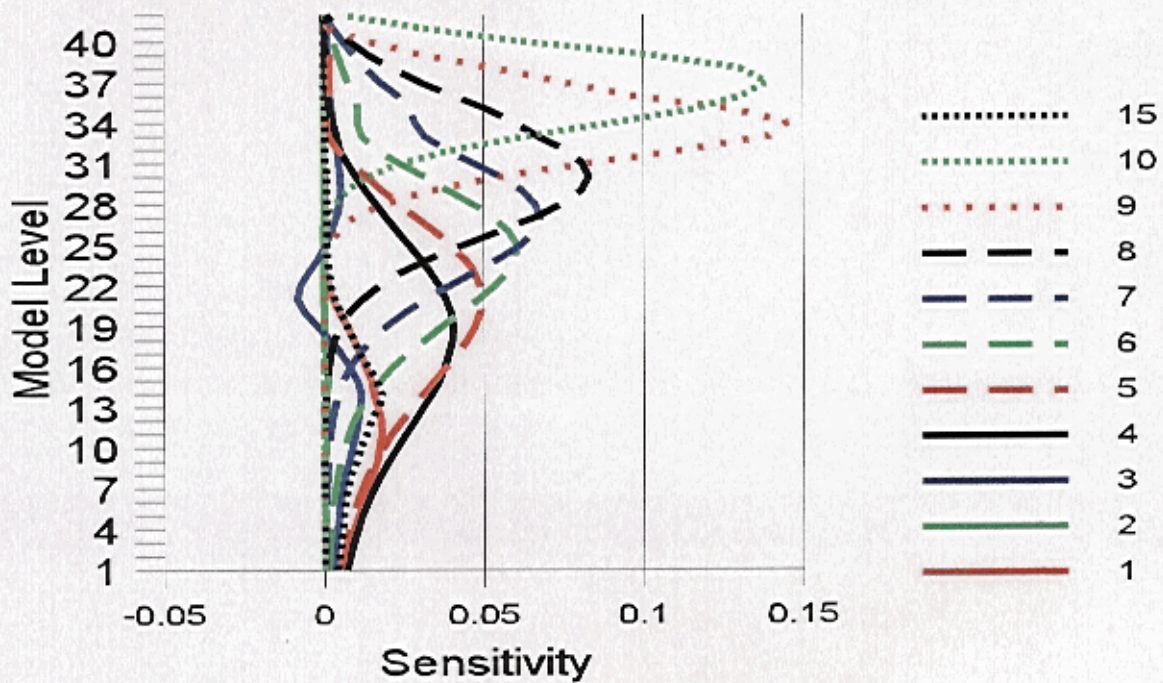
## Improvements to assimilation techniques (3)

- Explicit bias correction of background field.
- Moving misplaced systems without destroying structure.
  - e.g. hurricanes.
- Advanced assimilation techniques.
  - Kalman filtering, others.
  - Boundary control.
  - Applicability over timescales used not clear.
  - Cost?.

# Satellite Radiance Observations

- Measure upwelling radiation at top of atmosphere
- Measure deep layers
  - IR not quite as deep as microwave
  - New IR instruments (AIRS, IASI, GIFTS) narrower, but still quite deep layers
  - Deep layers generally implies large horizontal scale

# Sensitivity of Tb to T AMSU-A



# Satellite data use

- Key to using data is to have good characterization of  $K$  – forward model. If unknowns in  $K(x,z)$  – either in formulation of  $K$  or in unknown variables ( $z$ ) are too large data cannot be reliably used.
  - If situations where data cannot be reliably used they must be removed by the quality control. For example, currently we cannot use radiances containing cloud signal – thus we attempt to not use these observations.
- Note that errors in formulation or unknown variables generally produce correlated errors. This is a major source

# Satellite data requirements

- Requirements for operational use of observations
  - Accurate forward model (and adjoint) available
  - Available in real time in acceptable format
  - Assurance of stable data source
  - Quality control procedures defined (conservative)
  - Observational errors defined (and bias removed if necessary)
  - Evaluation and testing to ensure

# Satellite Radiance Observations

- Radiative transfer
- Quality Control
- Bias correction
- Monitoring
- Impact



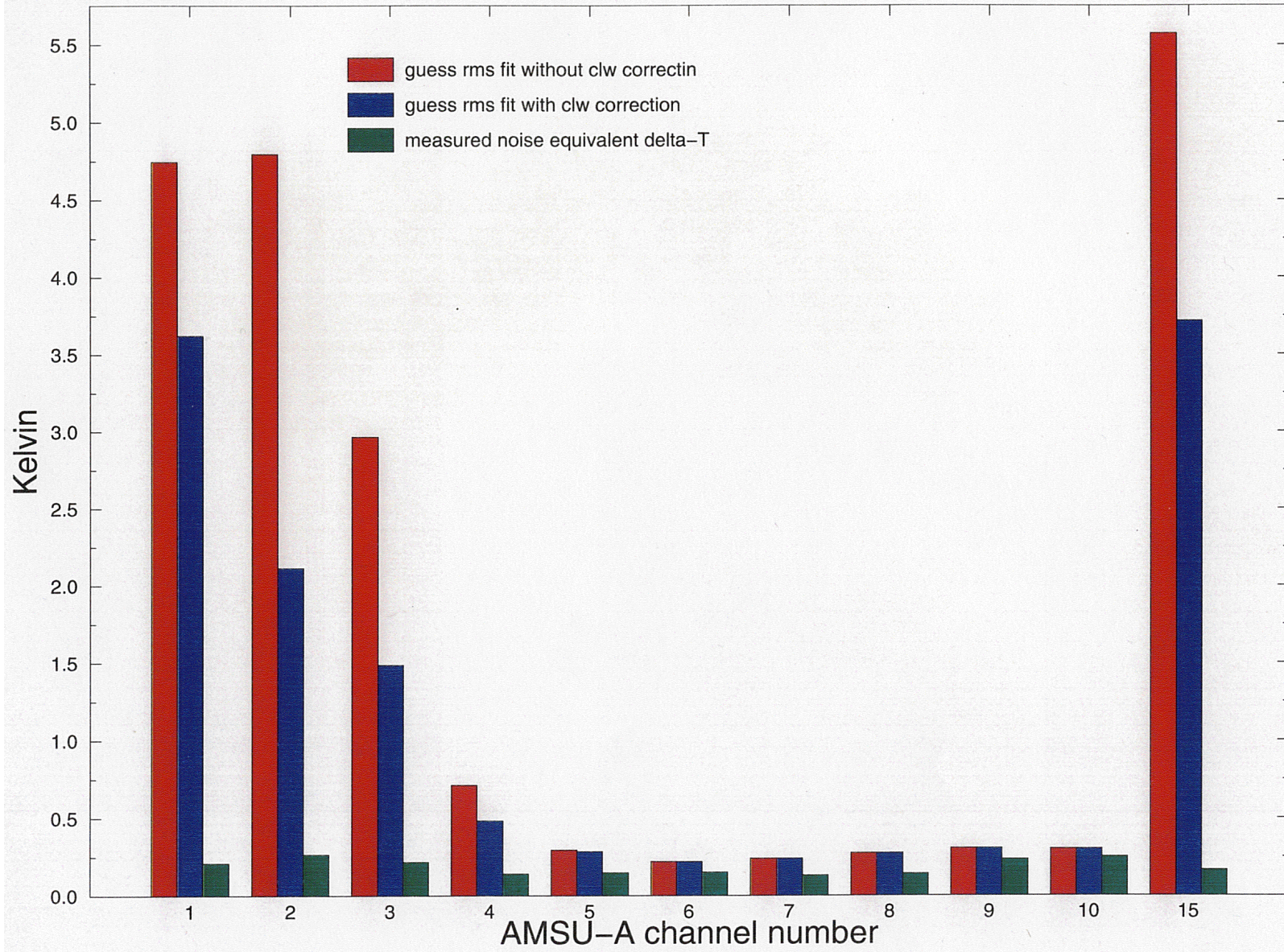
# Satellite Radiance Observations

## Radiative transfer

- Need fast radiative transfer function (and tangent linear, adjoint and Jacobian) to use observations (LBL codes much too slow)
  - Reflected and emitted radiation from surface (emissivity, temperature, polarization, etc.)
  - Atmospheric transmittances dependent on moisture, temperature, ozone, **clouds, aerosols, CO<sub>2</sub>, methane, ...**
  - Cosmic background radiation (important for microwave)
  - View geometry (local zenith angle, view angle (polarization))

# T62L28 Global Analysis

VT: 2000081500





# Joint Center for Satellite Data Assimilation

Stephen J. Lord (NCEP/EMC)

Fuzhong Weng (NESDIS/ORR)

L.P. Riishojgaard (NASA/DAO)

JCSDA Ocean Data Assimilation Workshop

June 16, 2003



# Mission

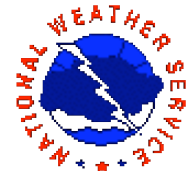
*The mission of the Joint Center for Satellite Data Assimilation is to accelerate and improve the quantitative use of research and operational satellite data in weather and climate prediction models.*

# Goals

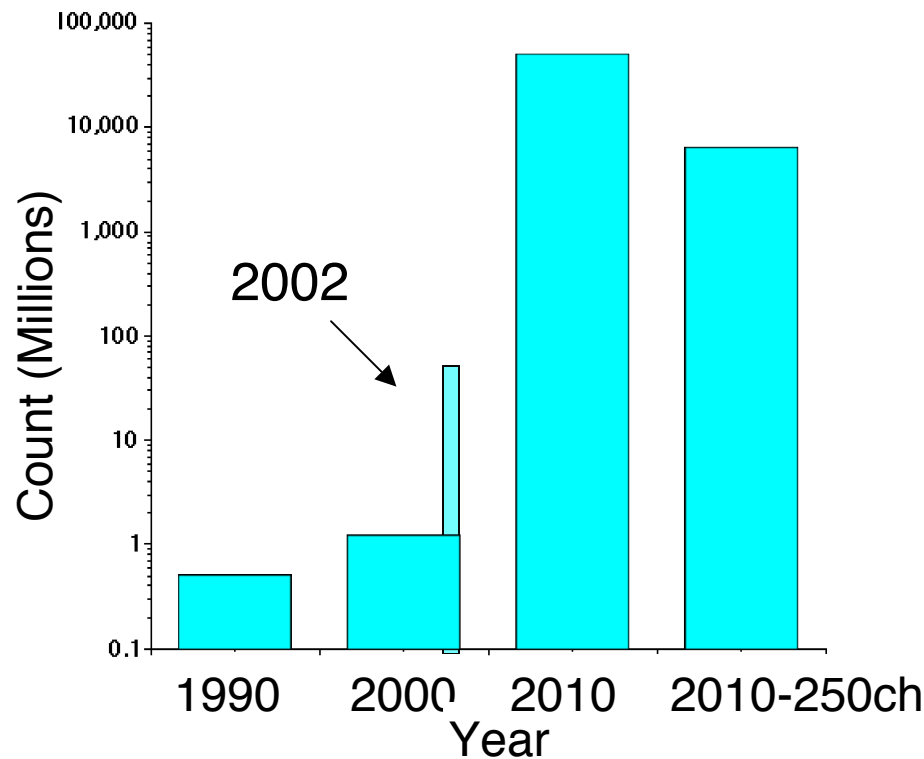
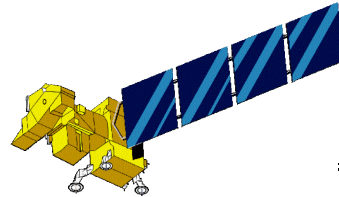
- Reduce from two years to one year the average time for operational implementation of new satellite technology
- Increase use of current satellite data in Weather and Climate Forecast Systems
- Assess the impacts of satellite data on Weather and Climate predictions



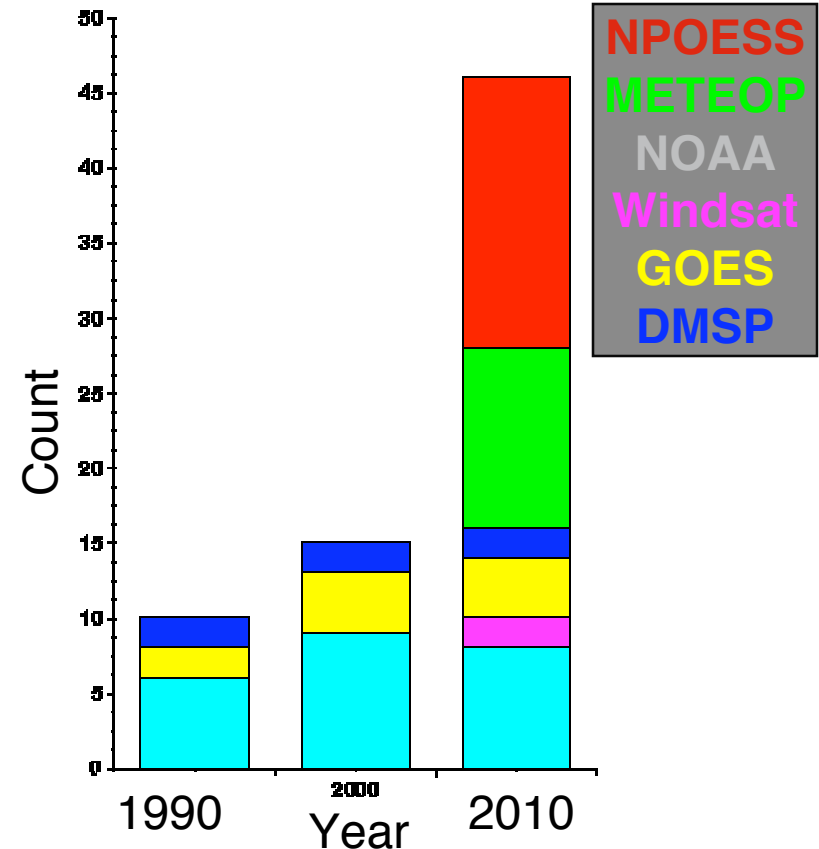
# 5-Order Magnitude Increase in Satellite Data Over 10 Years



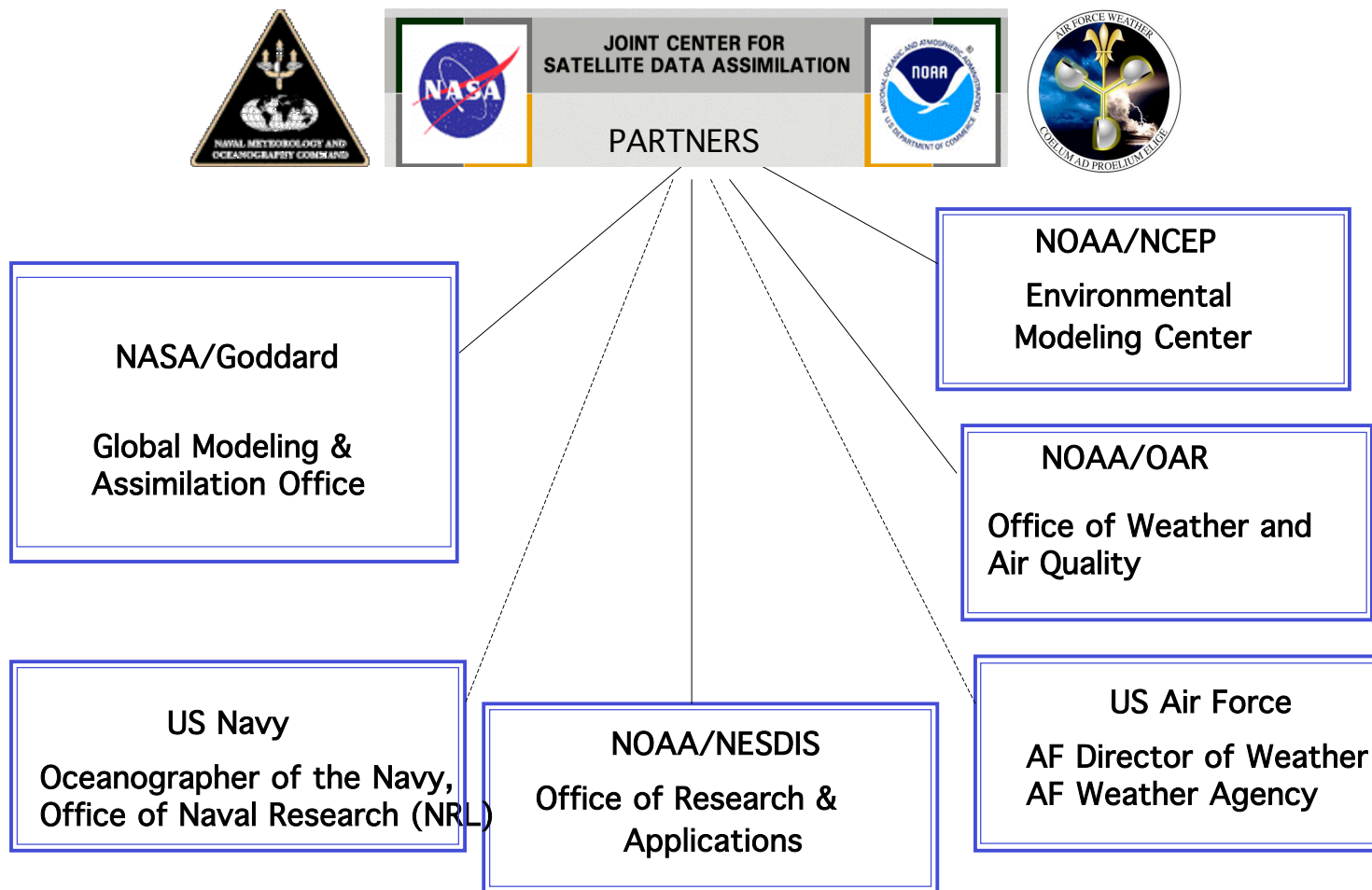
### Daily Upper Air Observation Count



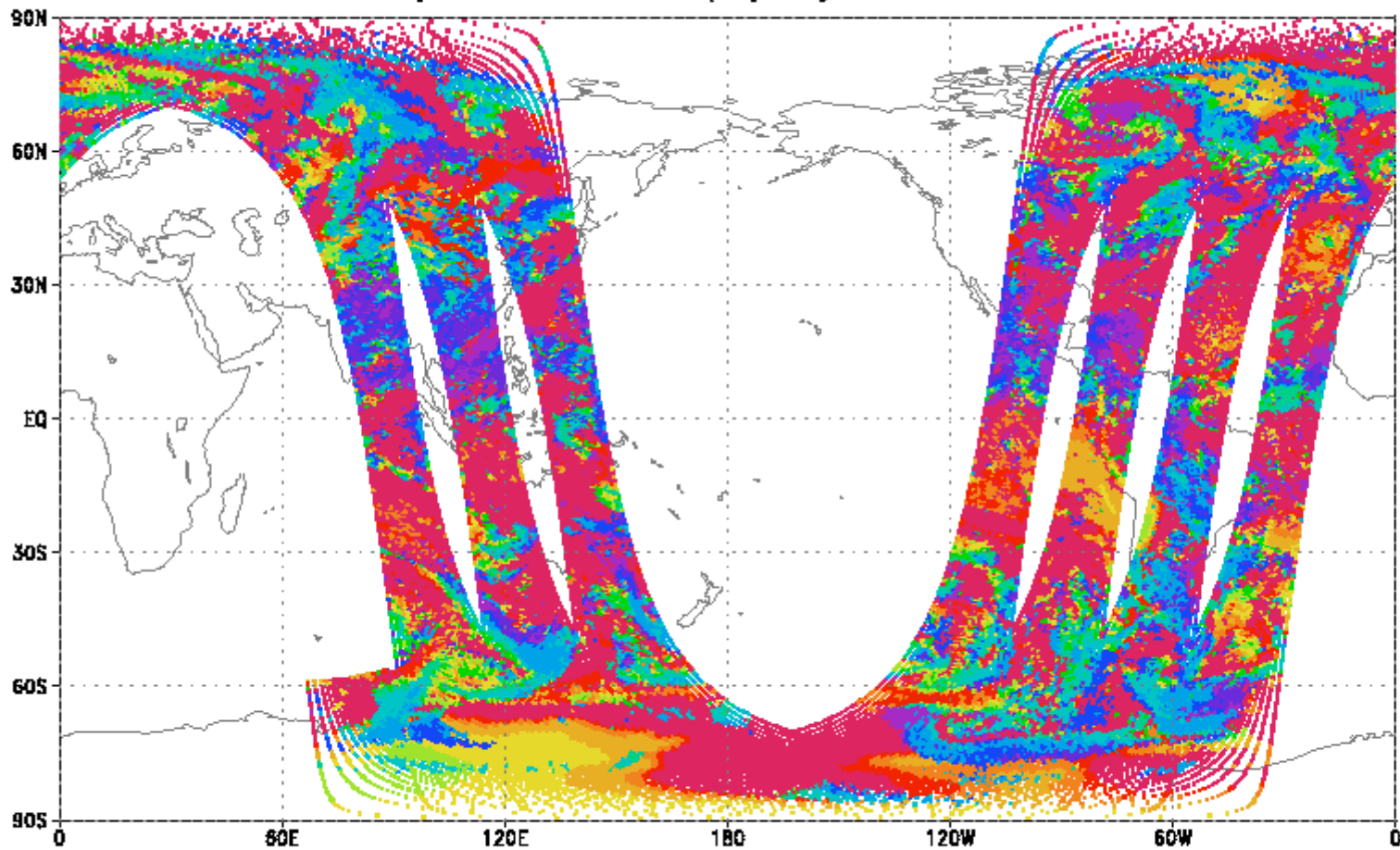
### Satellite Instruments by Platform



# JCSDA Partners

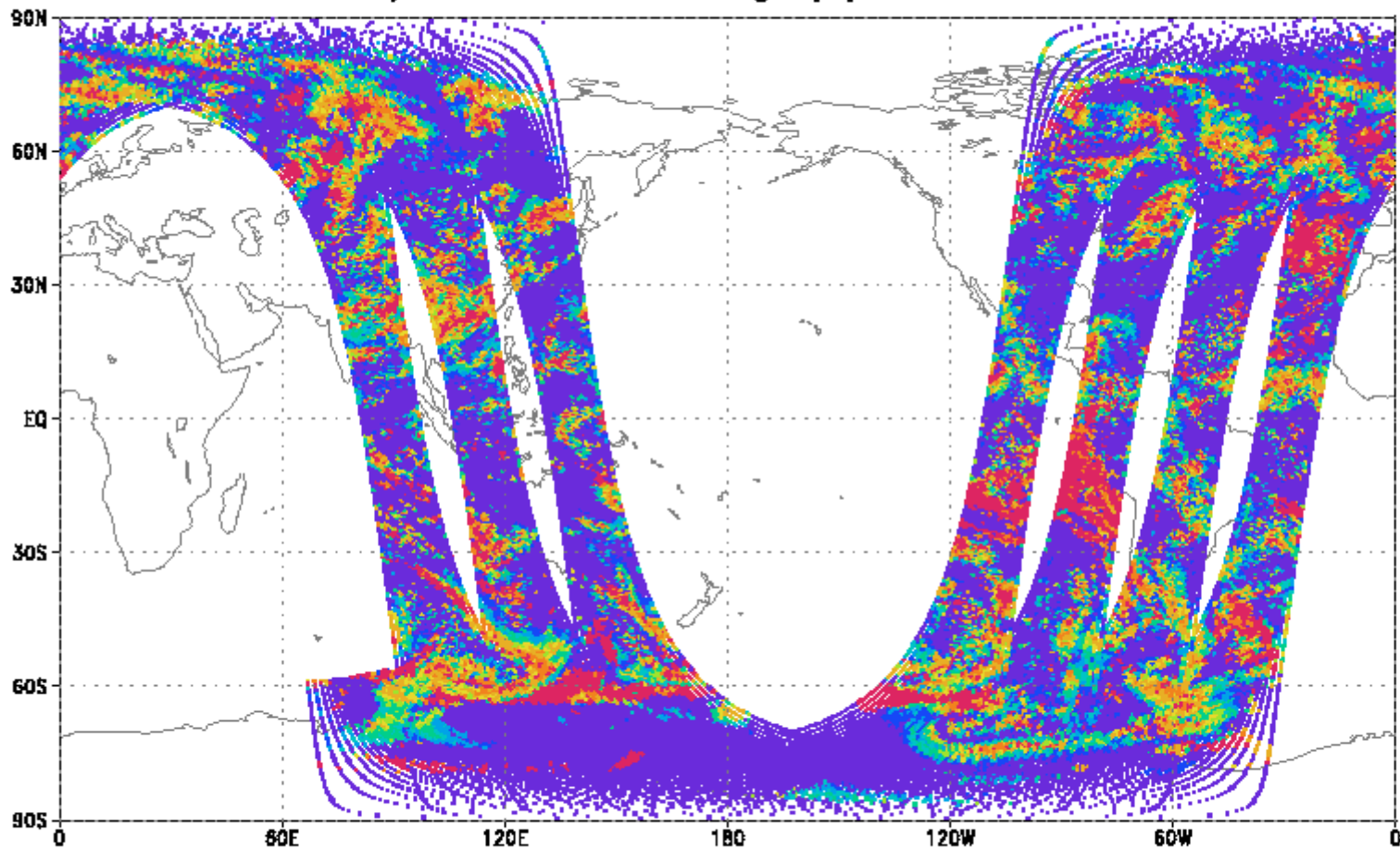


AQUA/AIRS Cloud Top (hPa) 06Z20JUL2002





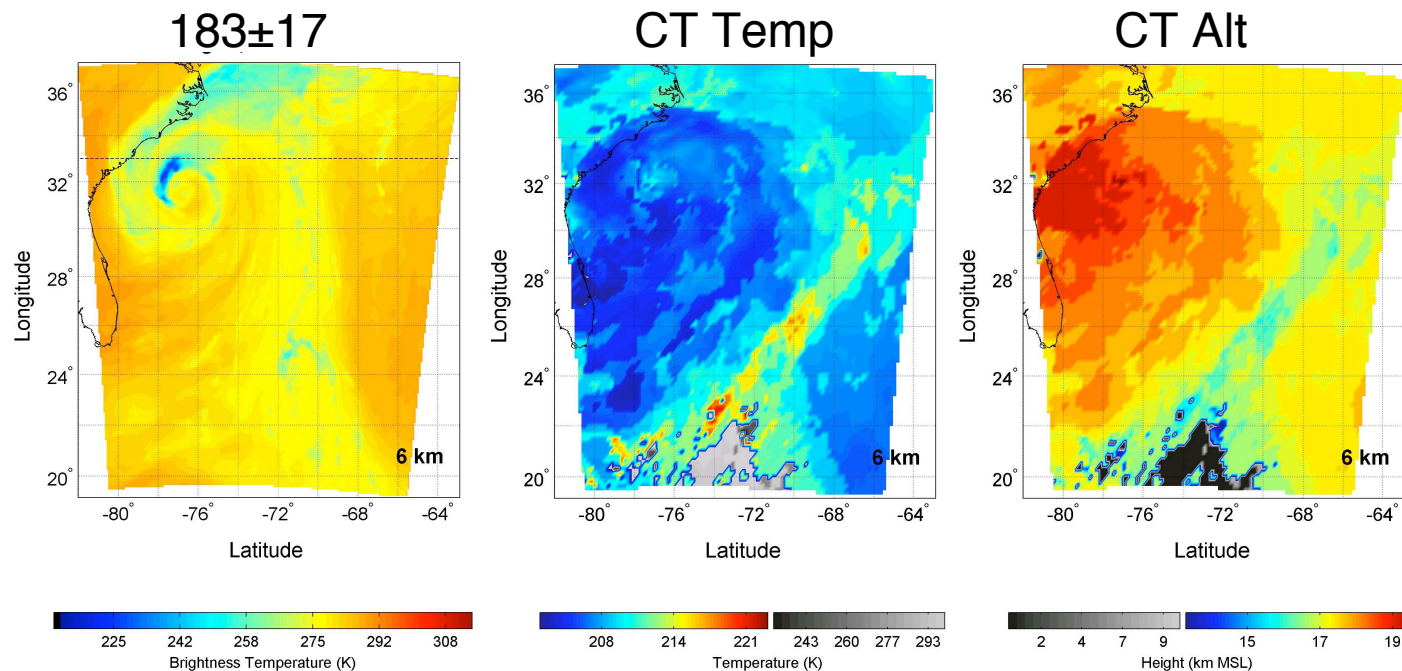
AQUA/AIRS Cloud Coverage (%) 06Z20JUL2002



# 24-Hr Simulation of AMSU 183 GHz $T_B$

Hurricane Bonnie August 26, 1998 0300 UTC

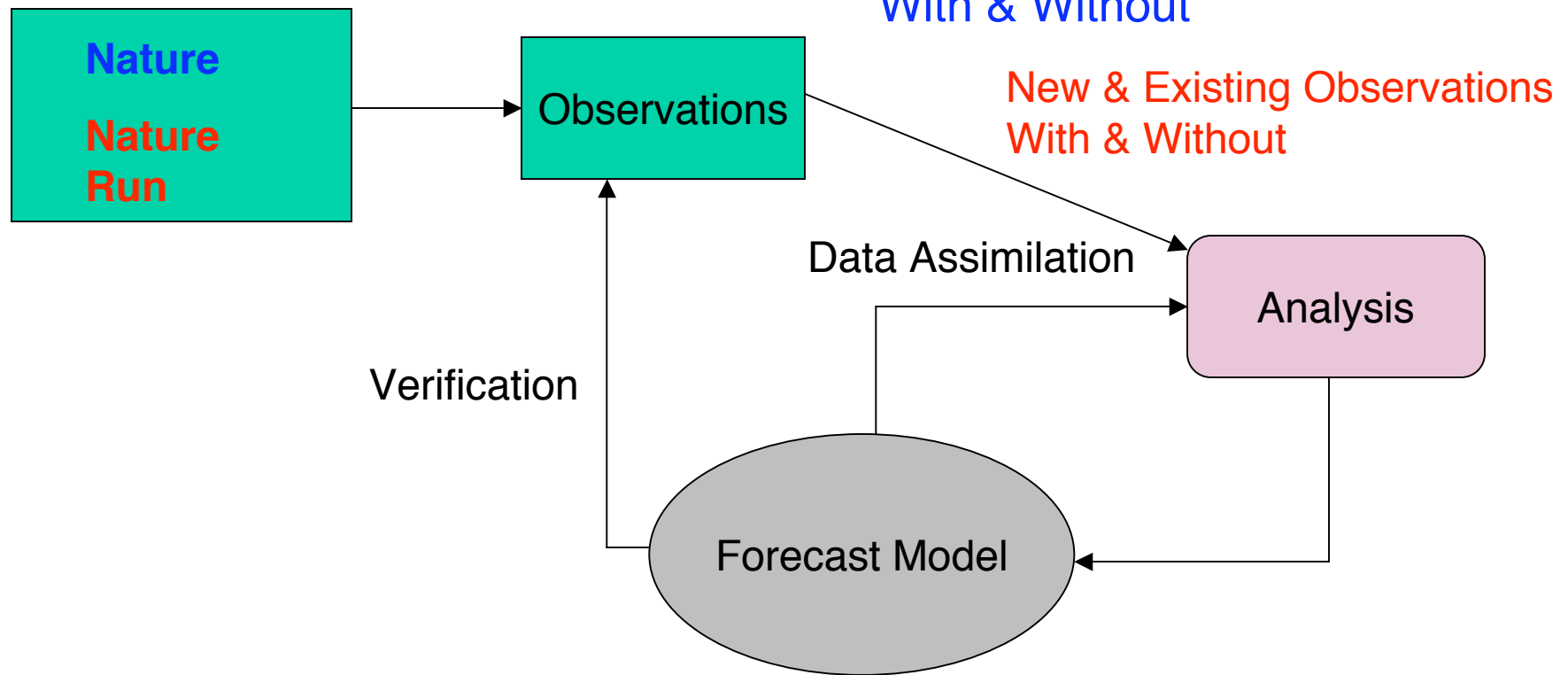
- Microwave response is more representative of sub-cloud hydrometeor structure than cloud-top temperature (e.g., GOES IR).
- Strong  $T_B$  contrast associated with precipitation structure expected at 183 GHz, somewhat weaker but important at other AMSU bands.
- Significant precipitation evolution occurs on ~15-30 minute time scales – 3-hour time steps show large changes in rainband structure.



# Introduction to OSSEs

## Basic Concepts

- Real/OSSE Data Assimilation System



# Introduction to OSSEs

## Basic Concepts (cont)

- In OSSEs
  - “Nature Run” is proxy for Real Nature
    - Free run of forecast model
      - Realistic phenomenology and variability vs. Nature
    - As independent as possible from Data Assimilation system model
      - Correlated biases introduce optimism
      - Construction of observations from Nature Run should also be independent
  - Truth is known
    - Verification vs truth can reveal characteristics of data assimilation system
  - New observations can be simulated

# Introduction to OSSEs

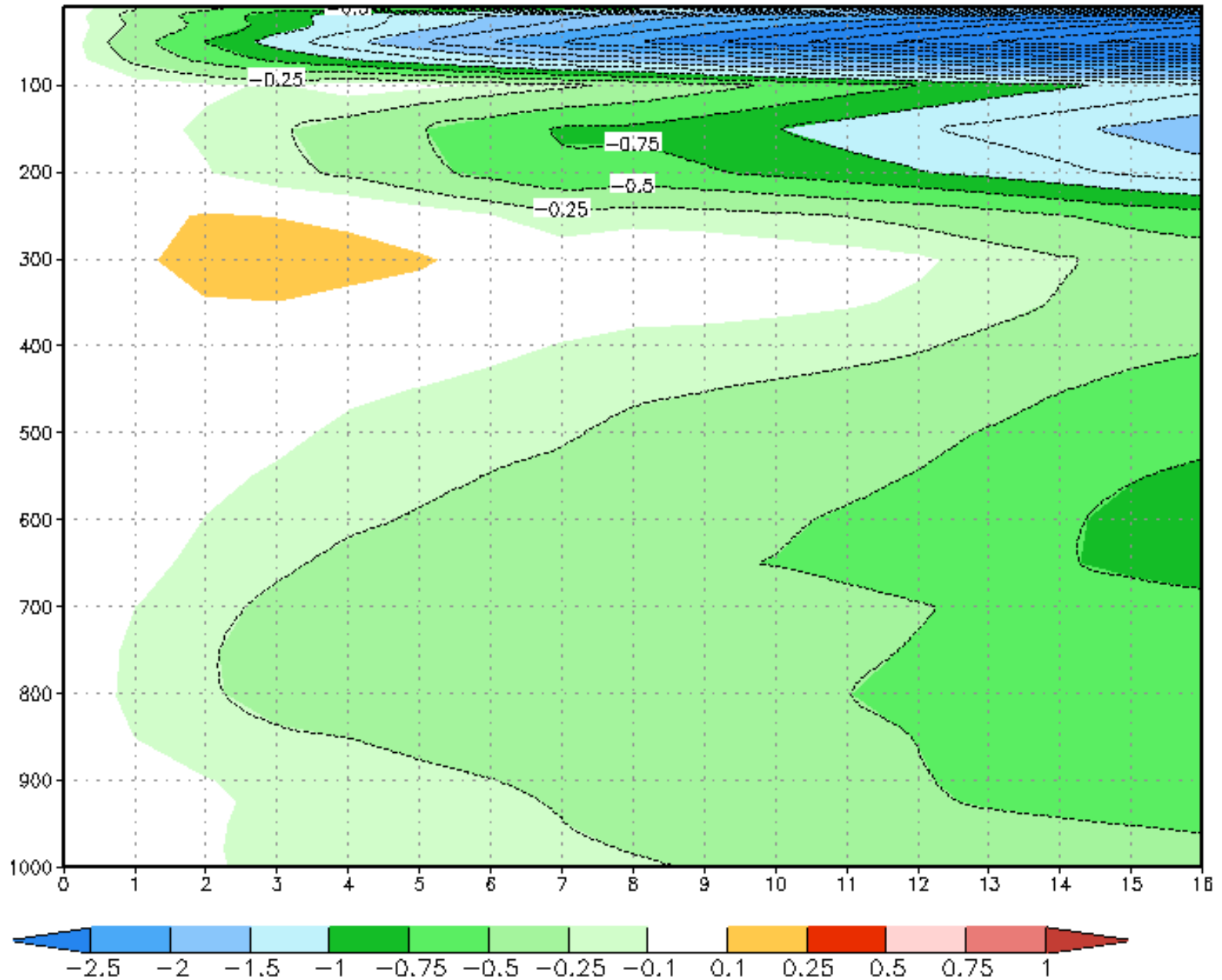
## Basic Concepts (cont)

- Simulated observations should
  - Exhibit same system impact as real observations
  - Contain same kinds of errors as real observations (e.g., representativeness)
    - Nature Run is truncated spectrally in space & time
    - Real Nature is not truncated
  - Be produced by different instrument models than used in data assimilation system (e.g., radiances)
- For application to advanced observing systems
  - The Data Assimilation System should be leading edge but well tested
  - OSSEs should be run periodically leading up to

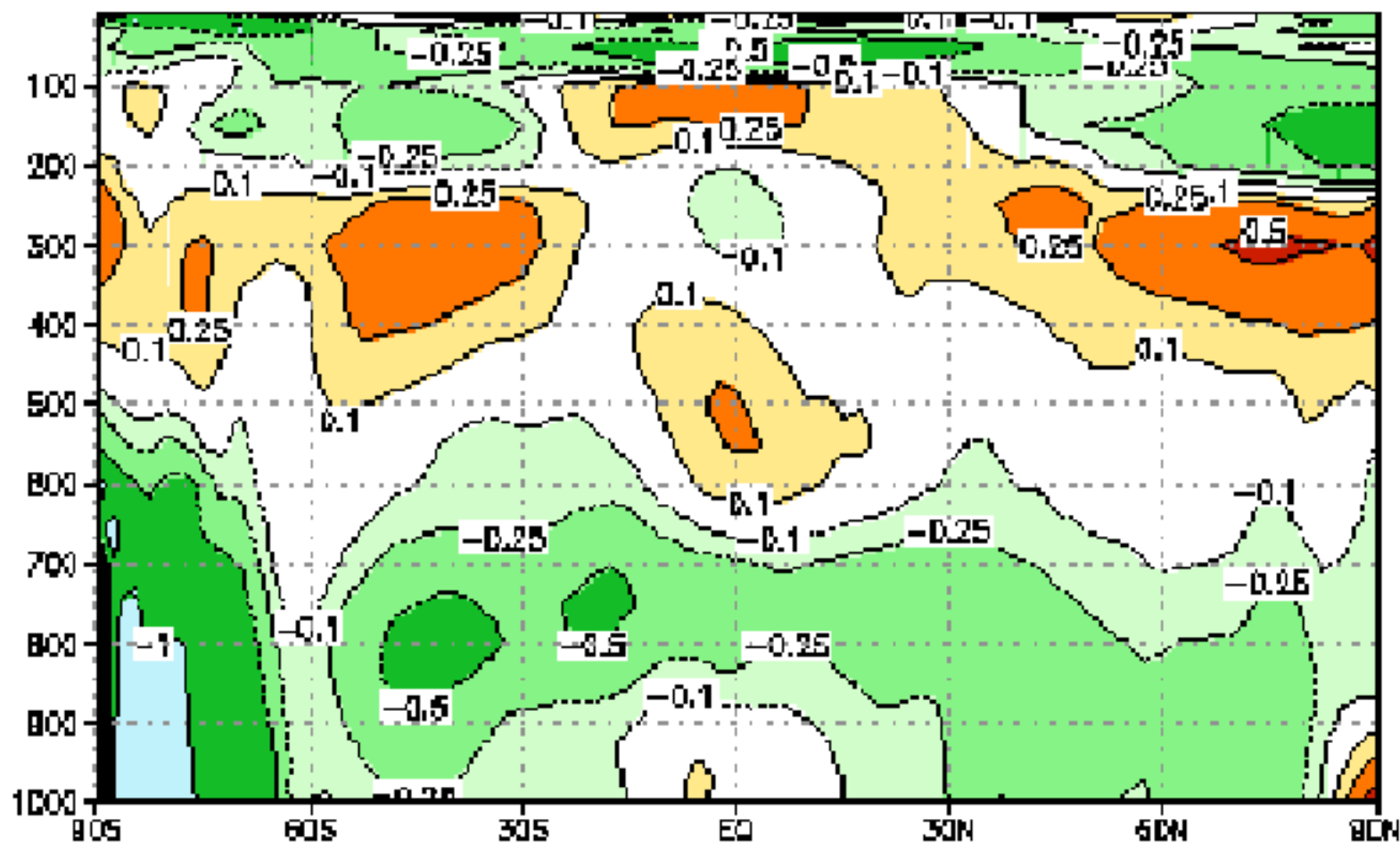
# Super-parameterization (SP) Approach

- Assertion
  - Using Cloud System Resolving Model (CSRМ) will produce a simulated model climate closer to Nature than current parameterizations
  - Some temperature drift results follow
  - Some results on NCEP's coupled climate runs follow
- The SP approach will provide data for many types of studies
  - Observing System Simulation Experiments

gbl mean T Oct03op 0-16dy

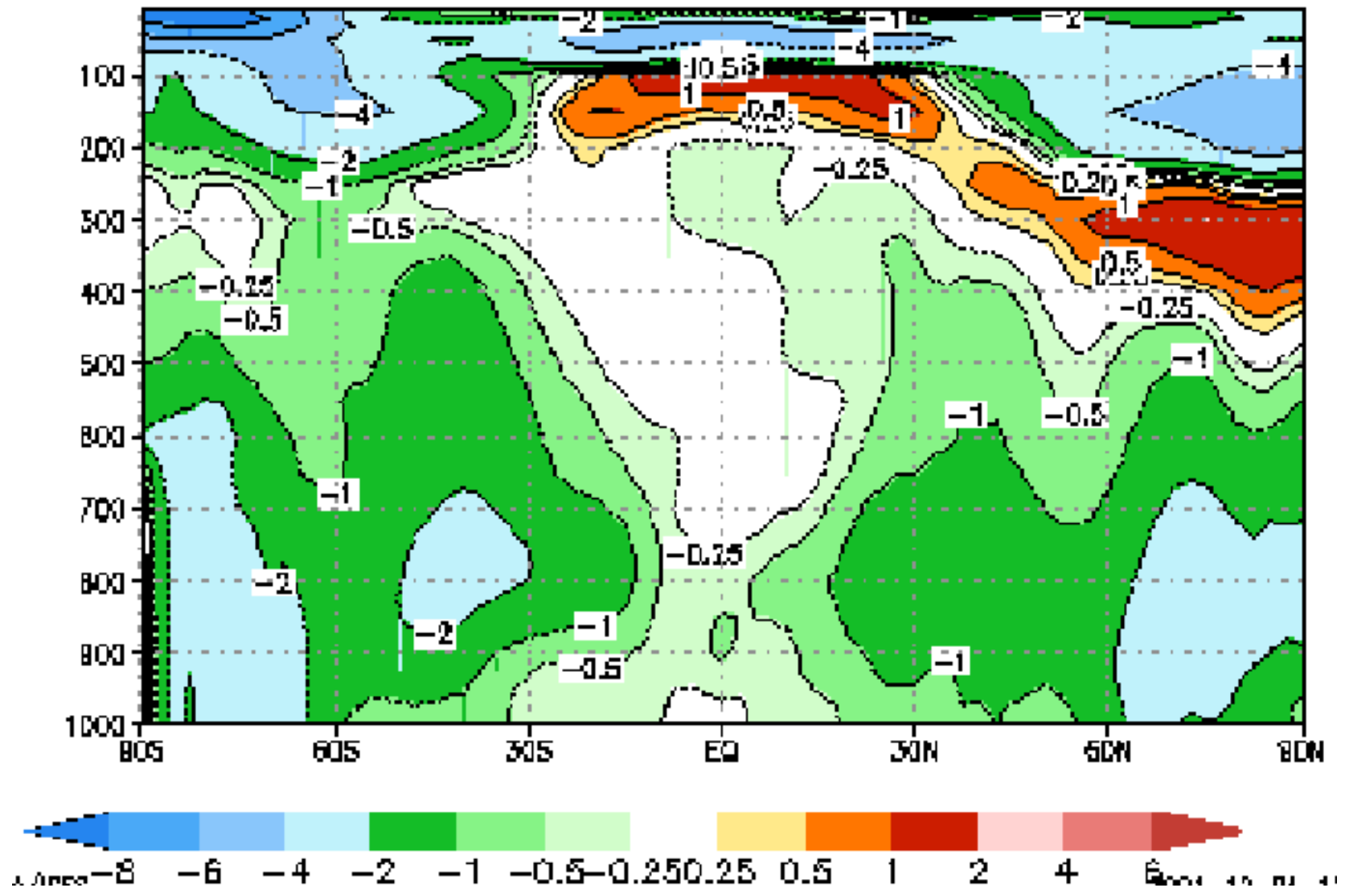


# znl mean T 1day er SON01



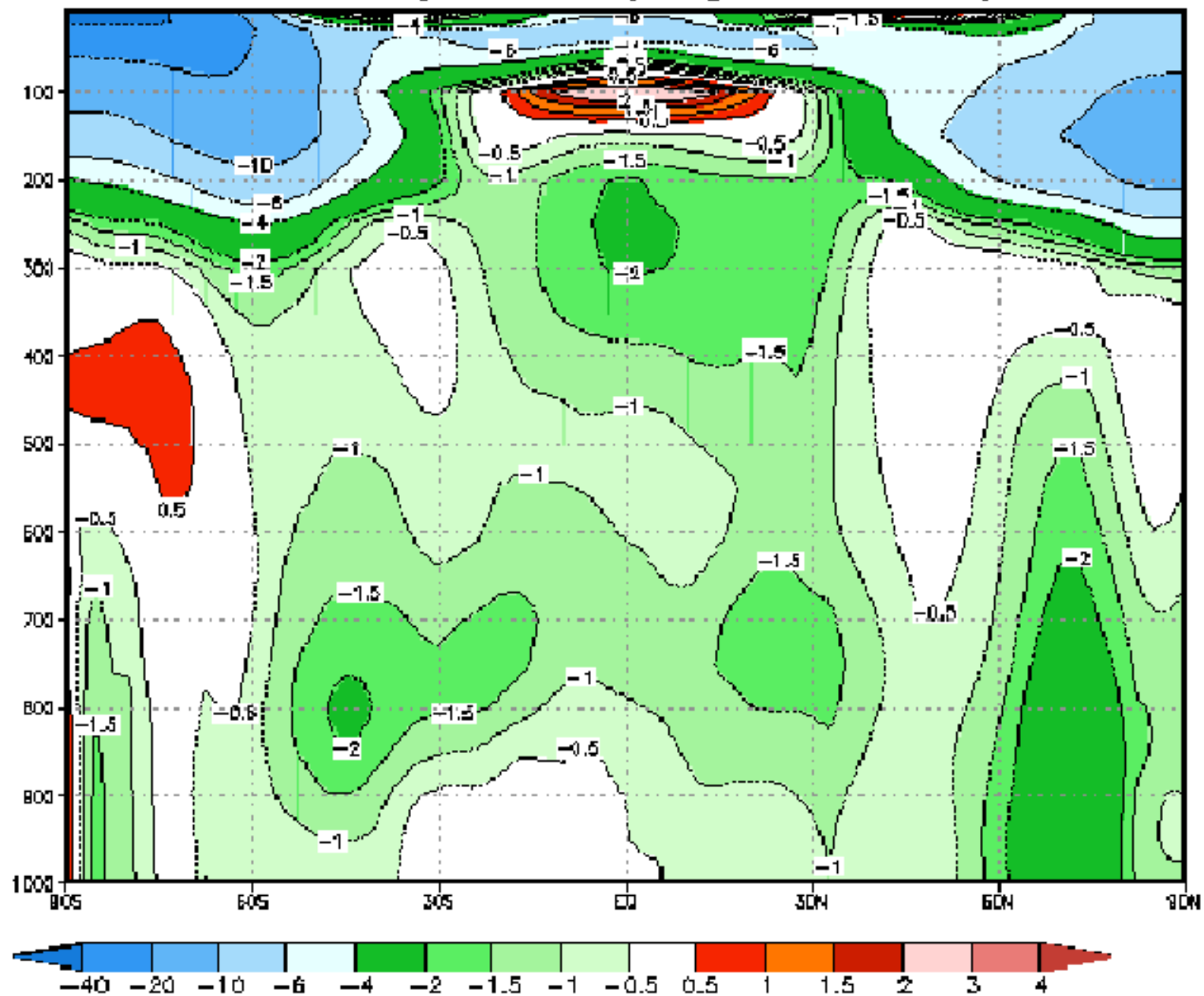


# znl mean T 15day er SON01



# Temperature Drift 3 month mean after 11.5 month A

zonal mean temperature opr-gdas T170amip SON01





**E**

# Hindcast Skill in the New Coupled NCEP Ocean-Atmosphere Model

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Pan

**M**

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Environmental Modeling Center,  
NCEP/NWS/NOAA

**Special Acknowledgements** : Sudhir Nadiga, Jiande Wang, Qin Zhang, Shrinivas Moorthi, Huug van den Dool

# Introduction

A new global coupled atmosphere-ocean model has recently been developed at NCEP/EMC.

## Components

a) the T62/64-layer version of the current NCEP atmospheric GFS (Global Forecast System) model and

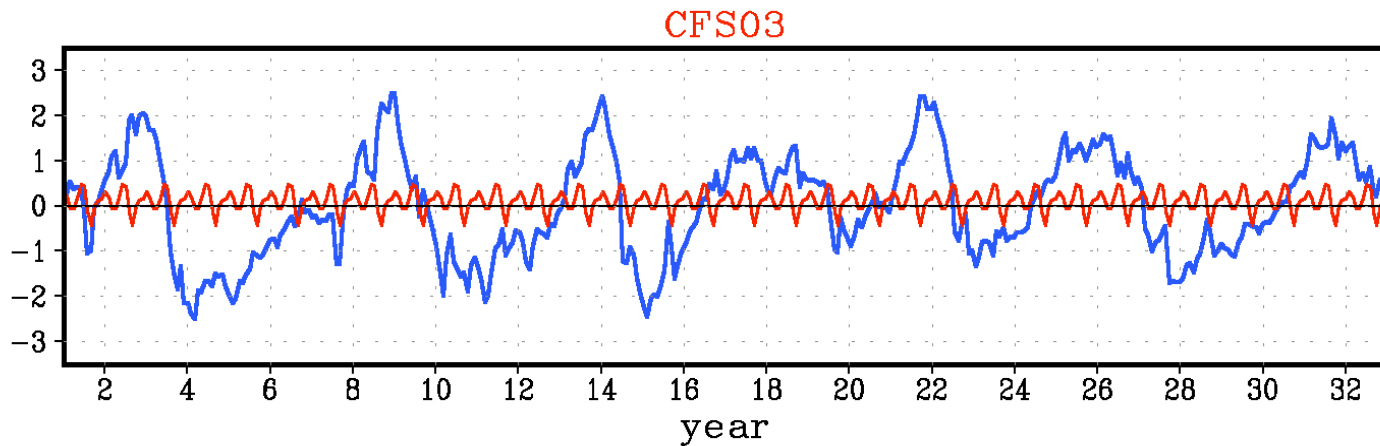
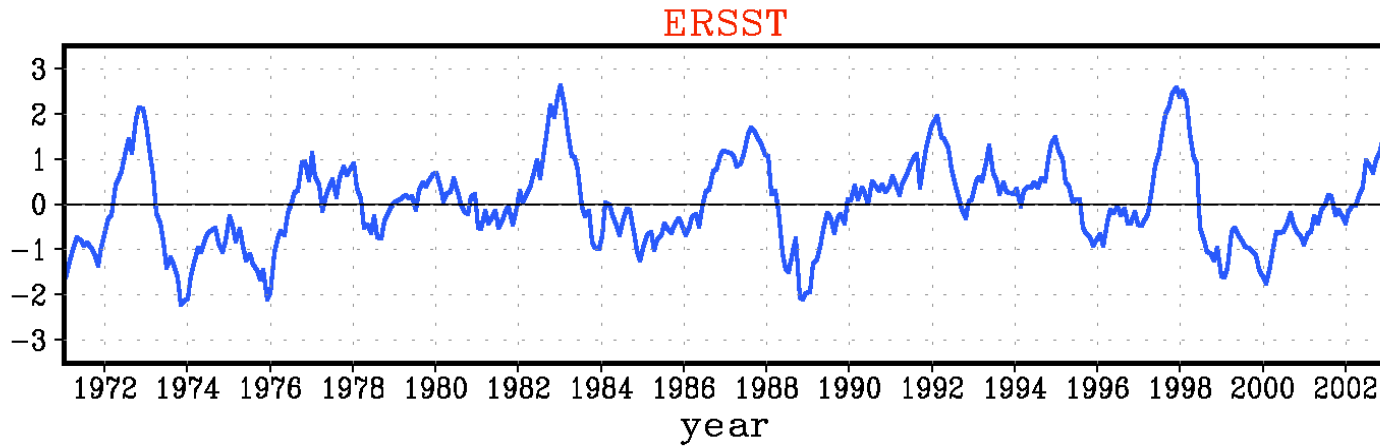
b) the 40-level GFDL Modular Ocean Model (version 3)

## Note:

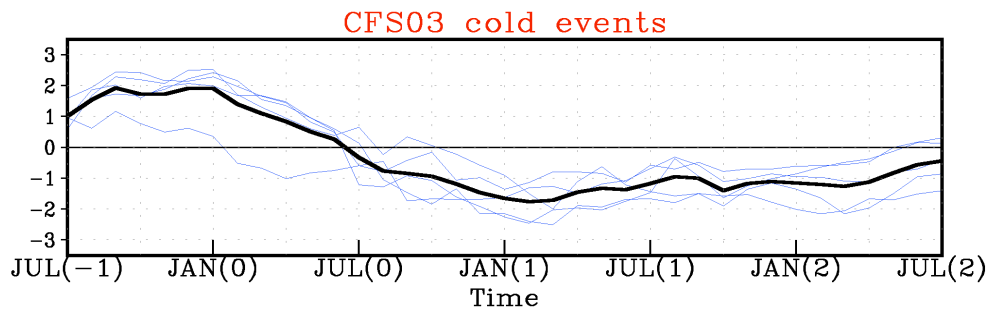
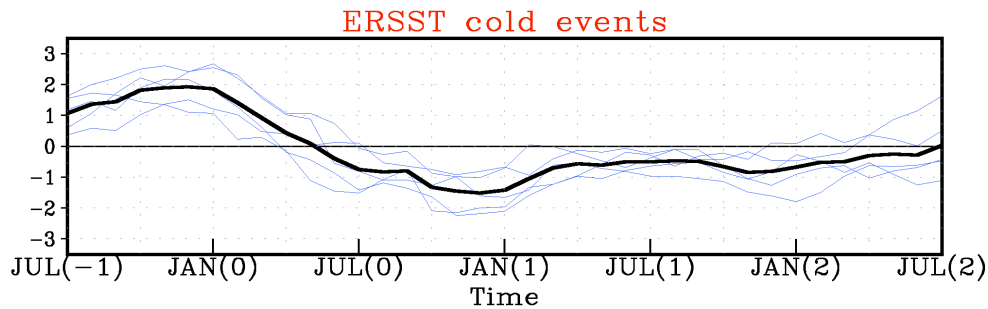
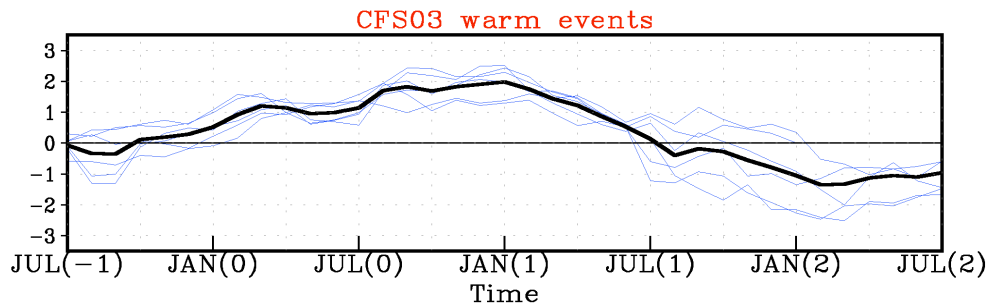
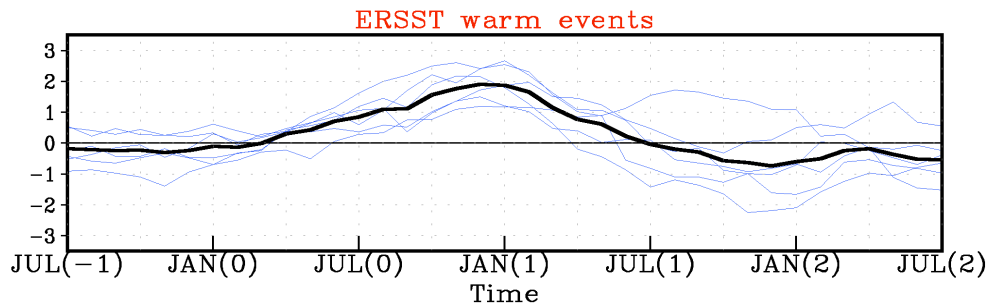
Direct coupling with no flux correction

**This model will replace the current operational NCEP**

Nino34 (190:240,-5:5) SST anomalies (K)



# Nino34 (190:240,-5:5) SST anomalies (K)



| - 36 mo

| Peak

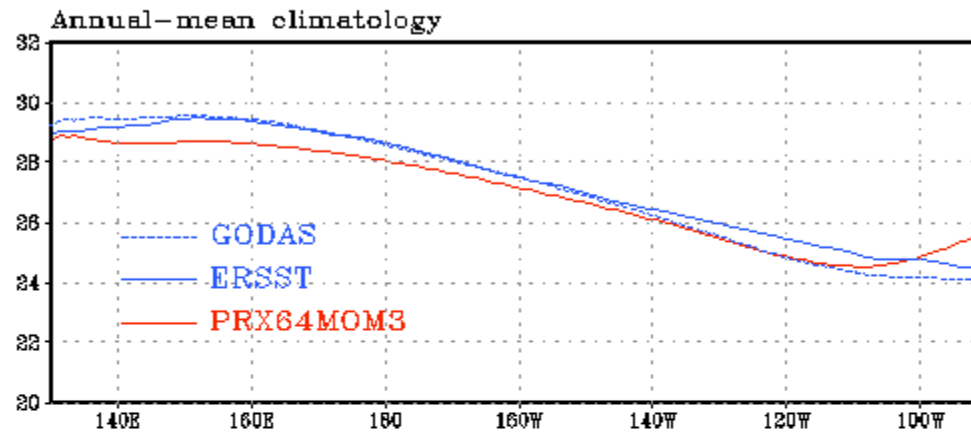
| +36 mo

## Composite Warm and Cold Events

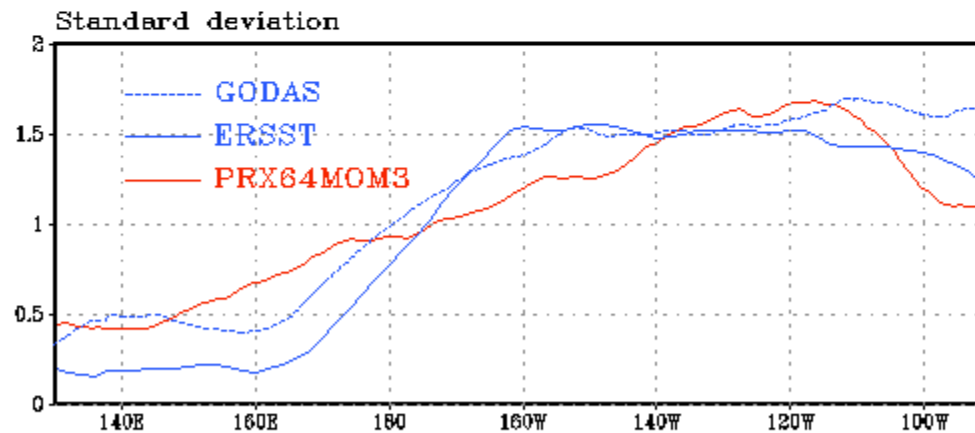
- Events exceed ERSST variance by
  - 1.0 SD (warm)
  - 0.75 SD (cold)
- Heavy black line is mean

# SST Climatology on Equator

2S-2N SST (K)



Red: coupled model



## Hindcast Skill Assessment

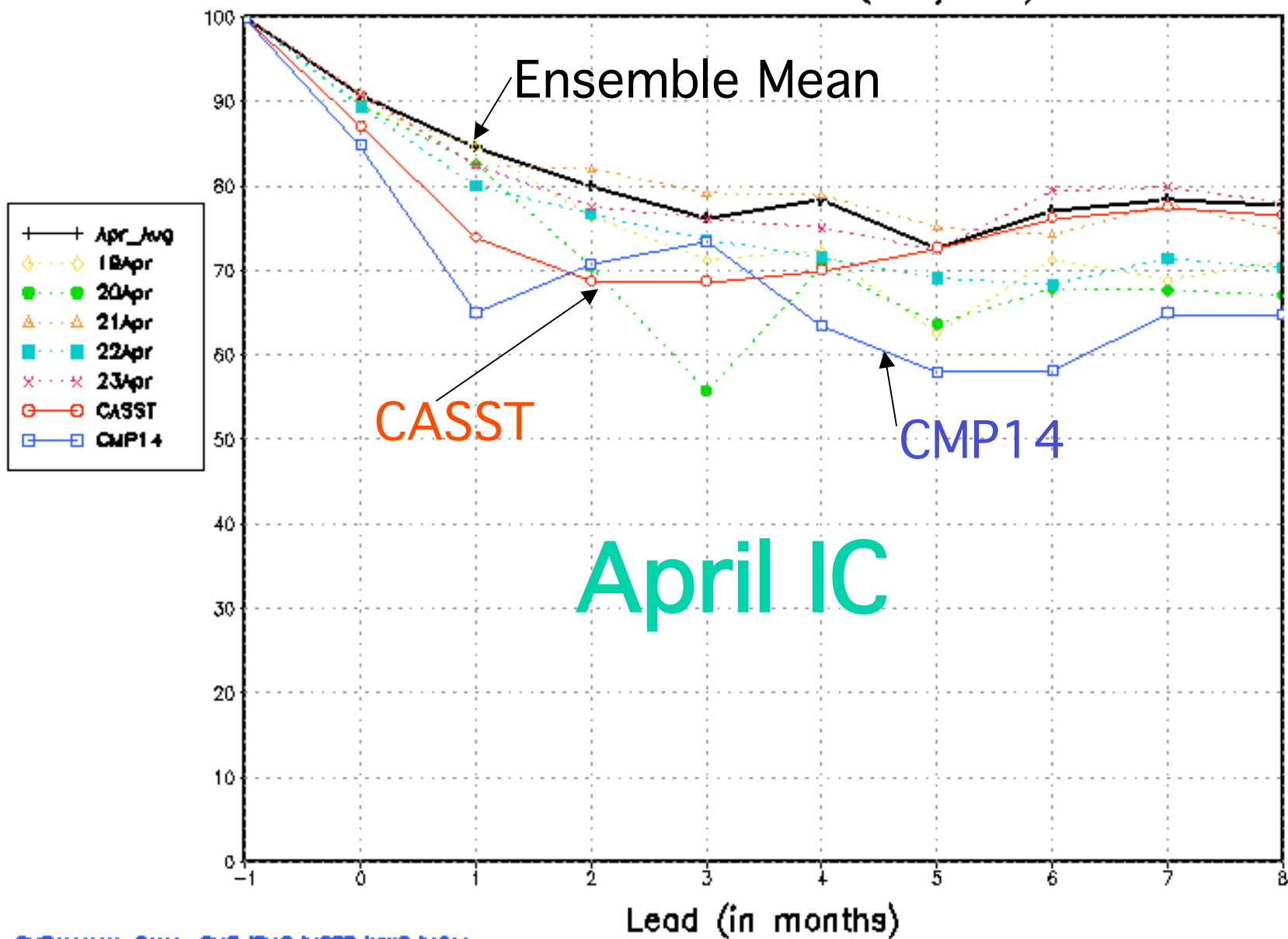
- 5-member ensemble over 22 years from 1981-2002
- January and April initial conditions
  - Other months to follow
- 9 month runs
- Initial atmospheric states 0000 GMT 19, 20, 21, 22, and 23 for each month
  - Reanalysis-2 archive
- Initial ocean states NCEP GODAS (Global Ocean Data Assimilation System) 0000 GMT 21st of each month
  - Same for all runs
  - GODAS operational September 2003



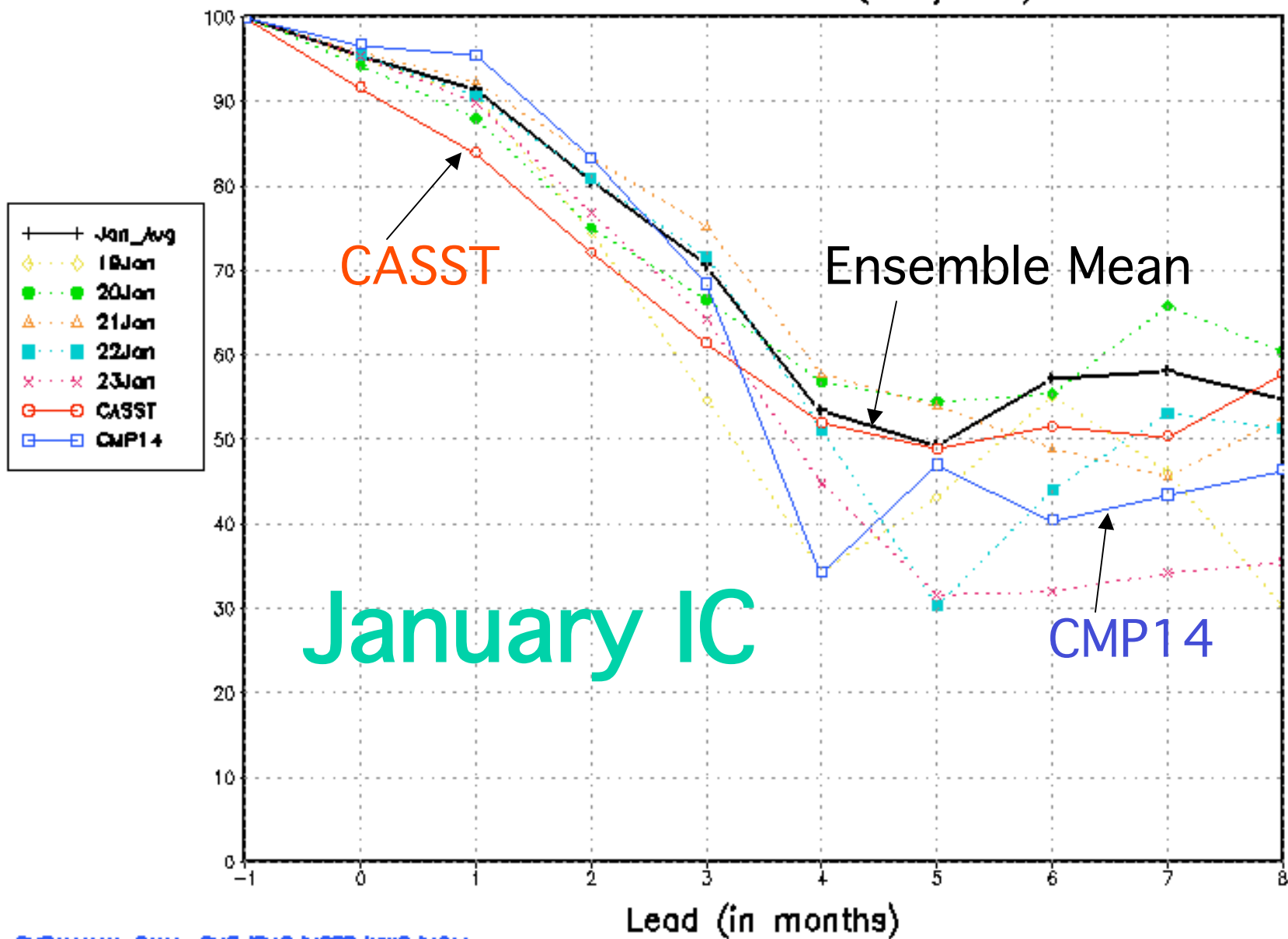
## Hindcast Skill Assessment (cont)

- So far 220 runs have been made
- Hindcast skill
  - Estimated after doing a bias correction for each year
  - Uses model climatology based on the other years
- Anomaly correlation skill score for Nino 3.4 region SST prediction
- Skill maps
  - Global SST
  - U.S. temperature and precipitation.

# Hindcast Skill : Anomaly Corr (%) for SST Anom Nino3.4 APR for 1981-2002 (22 years)



Hindcast Skill : Anomaly Corr (%) for SST Anom Nino3.4  
 JAN for 1981-2002 (22 years)



# Proposal for NCEP

## Participation

1. Test any potential improvements to “classical” parameterizations with NCEP models
2. Introduce CSRM into NCEP global model
3. Run Parameterized model (NCEP-P) and SP model NCEP-SP for at least one year with AMIP forcing
4. Provide output samples appropriate for diagnosing parameterized and SP diabatics
5. Provide output samples appropriate for OSSE simulated observations
6. Coordinate data assimilation activities (if