

# **QUASI-3D MMF AND GLOBAL CRM**

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# ABSTRACT

## I. Introduction

Multiscale Modeling Framework is an attempt to link GCM and CRM.

## II. Unification of the system of dynamics equations

- For such a link, the system of equations must be unified to cover a broad spectrum from turbulence to planetary waves.
- With this objective, a unified system of equations is developed.

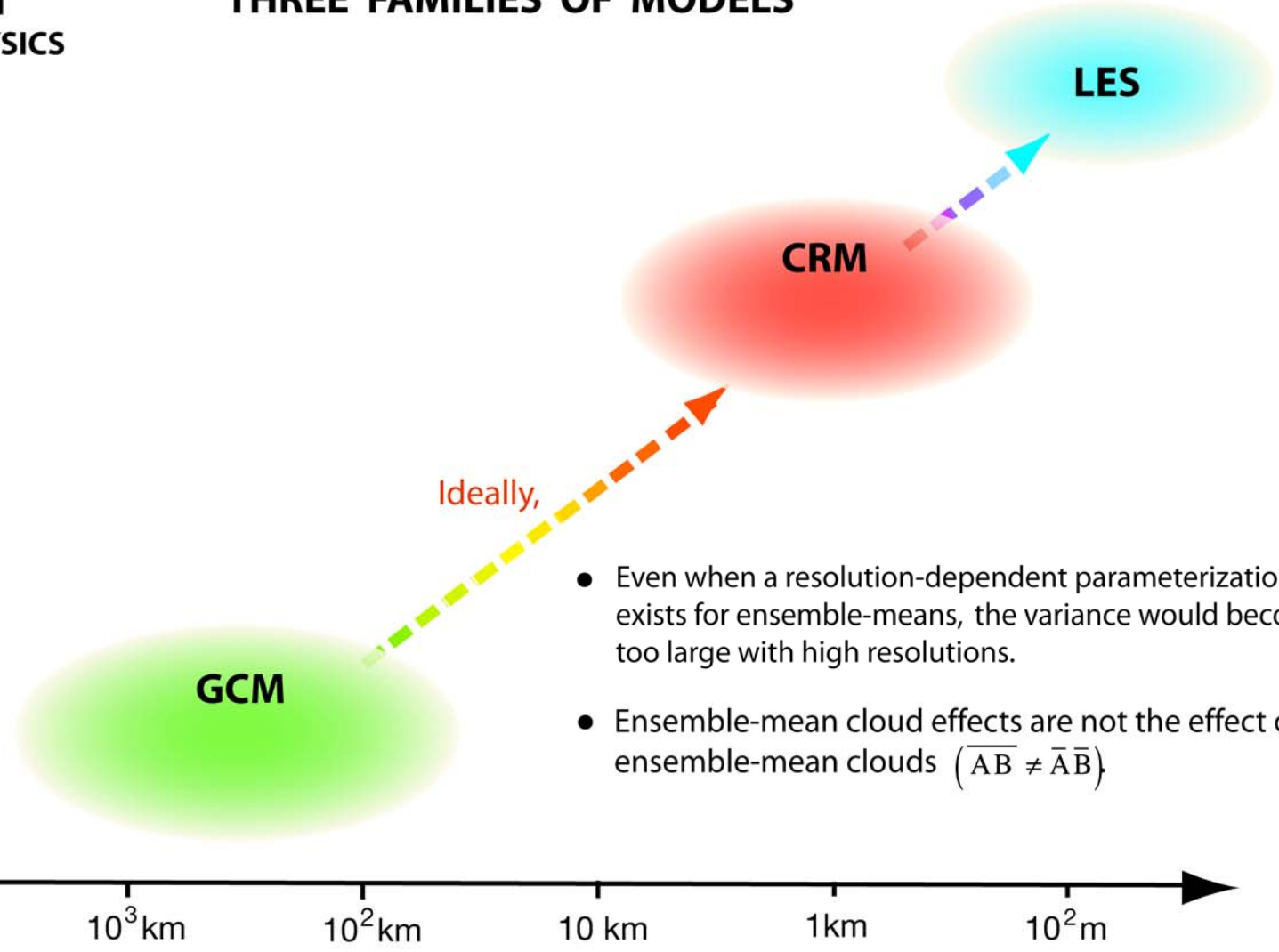
## III. The quasi-3D multi-scale modeling framework

- If we wish to include the dynamical interactions between CRM and GCM, the CRM must be at least quasi-3D.
- Progress has been made in our understanding of the problems involved.  
( Some of them are related to the basic question of diagnostic parametrizability and the use of "Double-Scale Modeling Framework".)

# THREE FAMILIES OF MODELS

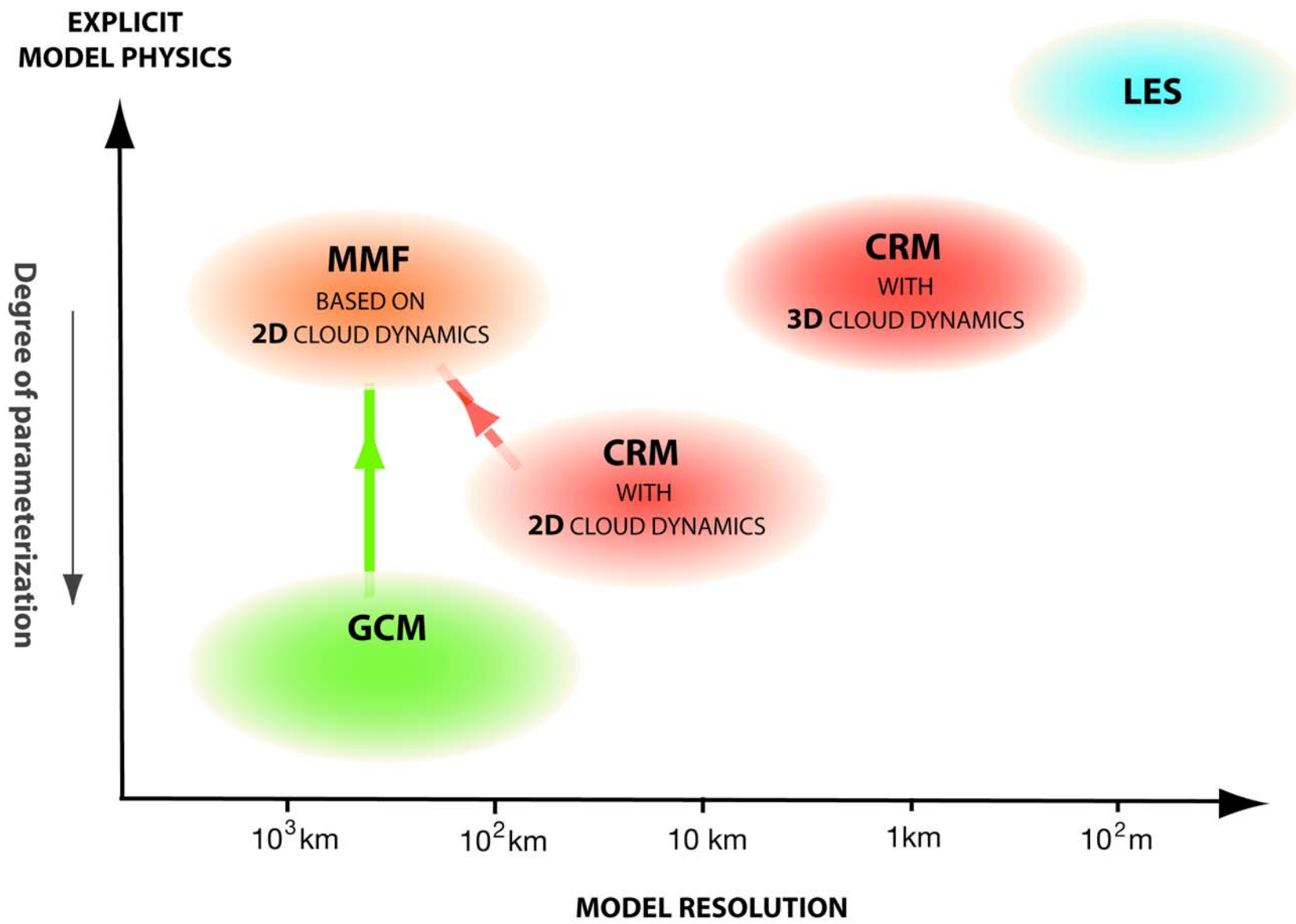
EXPLICIT  
MODEL PHYSICS

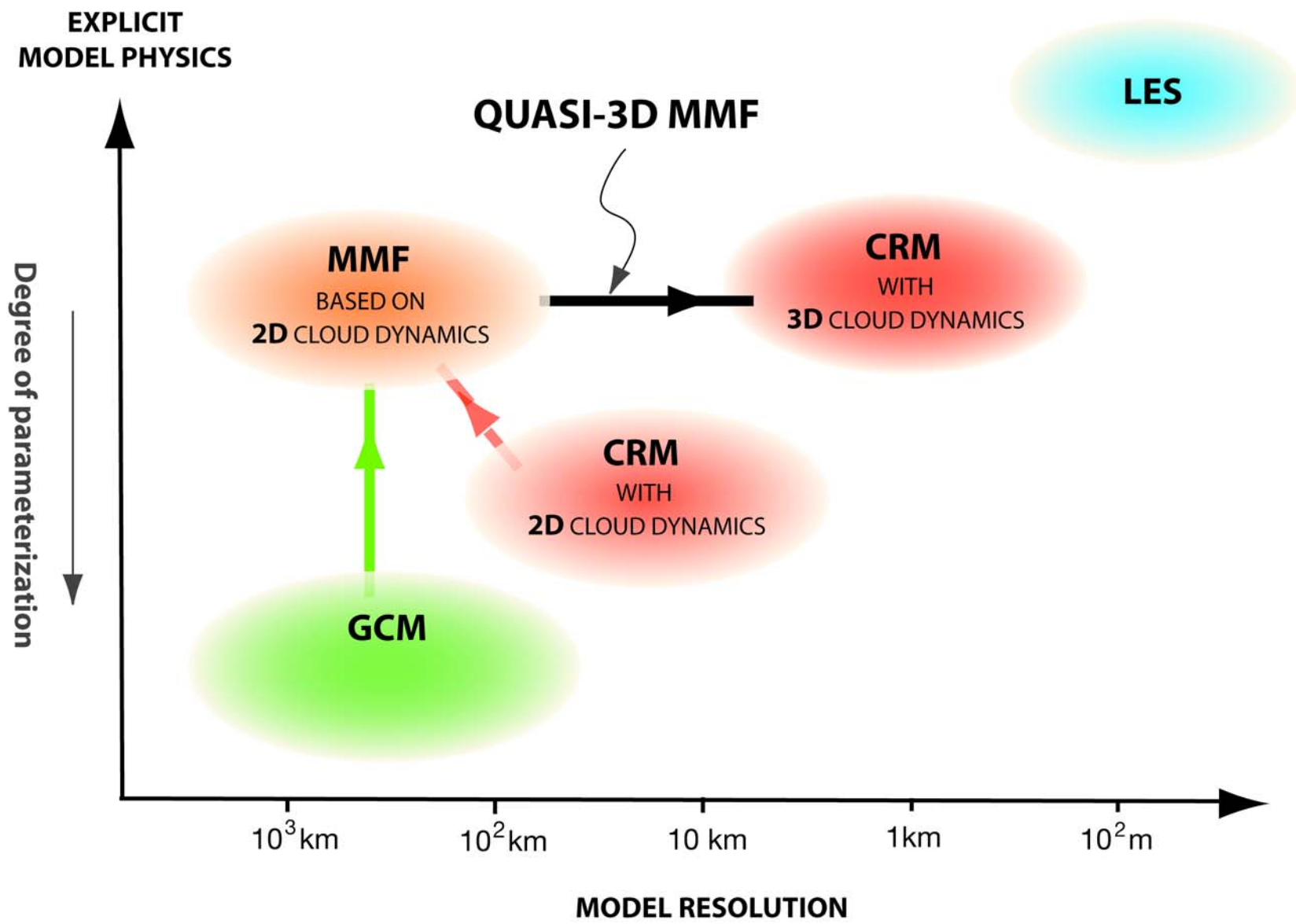
Degree of parameterization

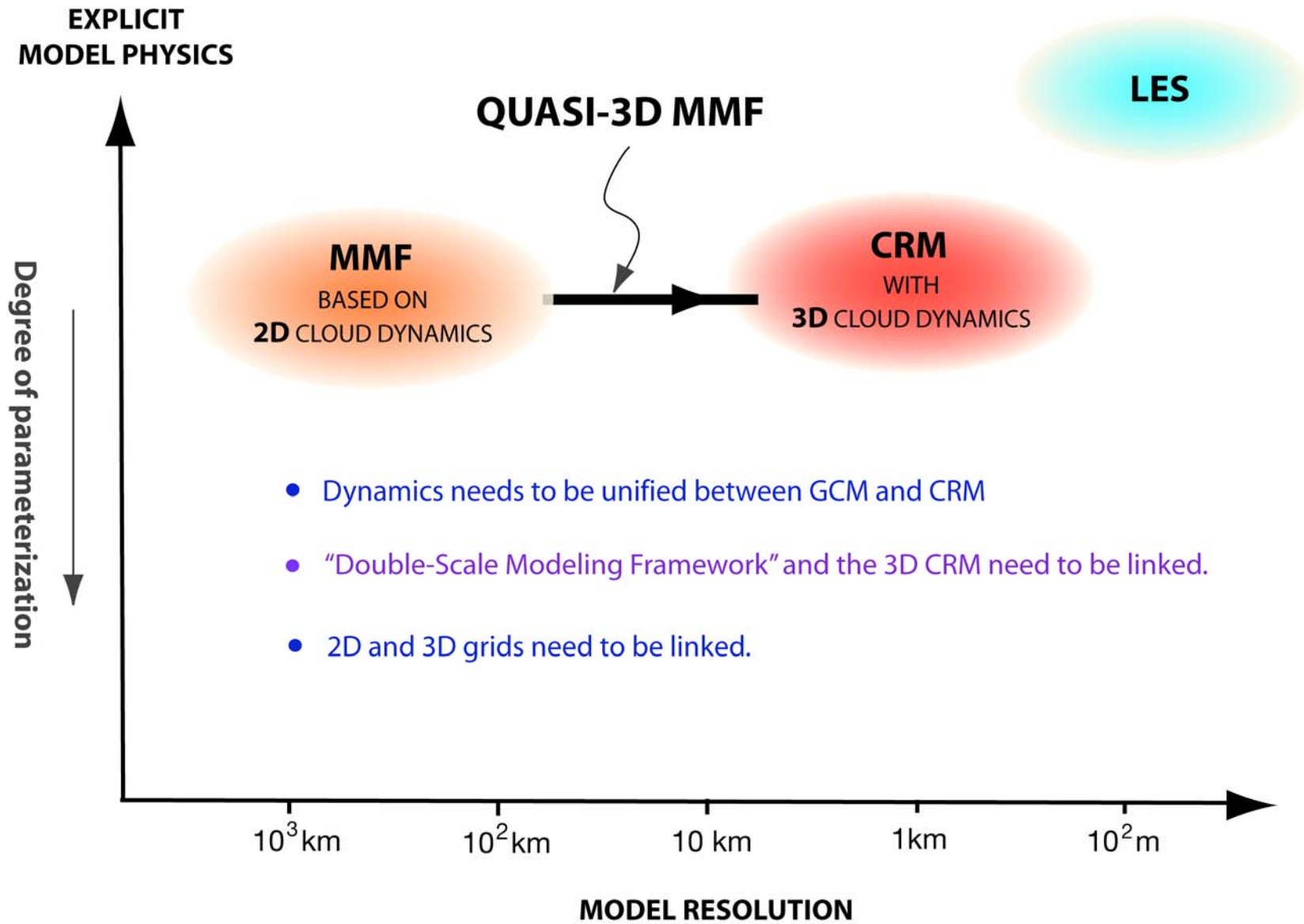


- Even when a resolution-dependent parameterization exists for ensemble-means, the variance would become too large with high resolutions.
- Ensemble-mean cloud effects are not the effect of ensemble-mean clouds ( $\overline{AB} \neq \overline{A}\overline{B}$ )

MODEL RESOLUTION







# Unification of the System of Dynamics Equations between GCM and CRM

This is necessary for the convergence of Quasi-3D MMF to a 3D CRM .

## Possibilities :

### *I. Use of the fully-compressible nonhydrostatic system of equations*

Modification of 3D dynamics to Q3D dynamics will be extremely difficult.

### *II. Use of a system of equations that filters vertically-propagating sound waves*

- In the quasi-hydrostatic system of equations (primitive equations), the vertical component of the momentum equation is diagnostic.
- In the anelastic system of equations, the continuity equation is diagnostic.

*Our approach unifies these two ways of filtering.*

## Continuity Equation

$$\frac{\partial \rho}{\partial t} + \nabla \cdot (\rho \mathbf{V}) = 0$$

||

$$\left( \frac{\rho}{\gamma p} \right) \frac{\partial p}{\partial t} + \left( \frac{\rho}{\theta} \right) \frac{\partial \theta}{\partial t}$$

isentropic compressibility      adiabatic & diabatic heating

**Anelastic** (Ogura & Phillips 1962, Lipps & Hemler 1982, Bannon 1996, and many others)

$$\nabla \cdot (\rho_0 \mathbf{V}) = 0$$

With modifications of the momentum and/or thermodynamic equations for energetic consistency

**Pseudo-incompressible** (Durran 1989)

$$\left( \frac{\rho_0}{\theta_0} \right) \left( -w \frac{\partial \theta_0}{\partial z} + \frac{\theta_0}{c_p T_0} Q \right) + \nabla \cdot (\rho_0 \mathbf{V}) = 0$$

With no major modification of the momentum and thermodynamic equations

**Quasi-hydrostatic**

$$\frac{\partial \rho_{qs}}{\partial t} + \nabla \cdot (\rho_{qs} \mathbf{V}) = 0$$

$\rho_{qs}$  : quasi-hydrostatic density

With the hydrostatic equation for the vertical component of the momentum equation

**Unified**

$$\frac{\partial \rho_{qs}}{\partial t} + \nabla \cdot (\rho_{qs} \mathbf{V}) = 0$$

With no modification of the momentum and thermodynamic equations



# Problems with the Anelastic System of Equations

$$\nabla \cdot (\rho_0 \mathbf{V}) = 0$$

## I. Too restrictive reference state

- The common way of maintaining energetic consistency is through an assumption that the reference state is neutral (Ogura & Phillips 1962) or approximately neutral (Lipps & Hemler 1982).
- This assumption introduces a serious error in the vertical structure of the disturbances in a stable atmosphere.

***The anelastic system is NOT for a model that includes the stratosphere.***

## Problems with the Anelastic System of Equations (Continued)

### II. Spuriously-fast westward retrogression of barotropic ultra-long waves

$$\nabla_{\text{H}} \cdot (\rho_0 \mathbf{V}_{\text{H}}) + \frac{\partial}{\partial z} (\rho_0 w) = 0$$

Barotropic (  $\theta' = 0$  ) motion  
in a stratified atmosphere

***With this continuity equation, the motion  
must be horizontally nondivergent***

(1)

(2)

The motion must be horizontal (  $w = 0$  )  
to satisfy the thermodynamic eq.

***Then we are back to the old problem with ultra-long waves  
recognized during the early years of NWP .***

Wolff, P.M., 1958: The error in numerical forecasts due to retrogression of ultra-long waves. *MWR*.

Cressman, G.P., 1958: Barotropic divergence and very long atmospheric waves. *MWR*.

Wiin-Nielsen, A., 1959: On barotropic and baroclinic models, with special emphasis on ultra-long waves. *MWR*.

These papers attempted to bypass the path (1) although the real problem is in (2).

## Conserved Dynamics Variable and Retrogression Speed of the Rossby Wave

	Nondivergent motion	Shallow-water motion	Barotropic motion in the atmosphere
Conserved dynamics variable	Absolute vorticity $f + \zeta$	Shallow-water potential vorticity $\frac{f + \zeta}{h}$	Barotropic version of Ertel's potential vorticity $\frac{f + \zeta}{\rho}$
Retrogression speed of Rossby wave	$\frac{\beta}{k^2}$ $\rightarrow \infty$ as $k \rightarrow 0$	$\sim \frac{\beta}{k^2 + f_0^2 / gH}$ $\rightarrow$ finite as $k \rightarrow 0$	$\sim \frac{\beta}{k^2 + f_0^2 / c_s^2}$ $\rightarrow$ finite as $k \rightarrow 0$

What makes the atmospheric barotropic motion analogous to the shallow-water motion is *compressibility*.

***The anelastic system is NOT for a global model.***

- Yet, it is a very good approximation for small-scale convection in the troposphere.
- Also, the quasi-hydrostatic approximation is an excellent approximation for large-scale motions.

# The Continuity Equation in the Unified System

## — Quasi-Hydrostatic Continuity Equation —

$$\frac{\partial \rho_{qs}}{\partial t} + \nabla \cdot (\rho_{qs} \mathbf{V}) = 0$$

||

$$\left( \frac{\rho}{\gamma p} \right)_{qs} \frac{\partial p_{qs}}{\partial t} + \left( \frac{\rho}{\theta} \right)_{qs} \frac{\partial \theta}{\partial t}$$

*This is NOT a prognostic equation because  $\rho_{qs}$  is predicted by the surface-pressure tendency and thermodynamic equations.*

- This is exact when the motion is quasi-hydrostatic . The entire system then becomes equivalent to the primitive equations.
- This becomes Durran's pseudo-incompressible equation when
  - the  $\partial p_{qs} / \partial t$  term is neglected,
  - the  $\partial \theta / \partial t$  term is linearized with respect to the deviations from a reference state.
- This becomes the anelastic continuity equation when the  $\partial \theta / \partial t$  term is further neglected.

## The Continuity Equation in the Unified System (Continued)

Rewriting, 
$$\frac{1}{\rho_{qs}} \frac{\partial}{\partial z} (\rho_{qs} w) = -\nabla \cdot \mathbf{V}_H - \left( \frac{D}{Dt} \right)_H \ln \rho_{qs}$$

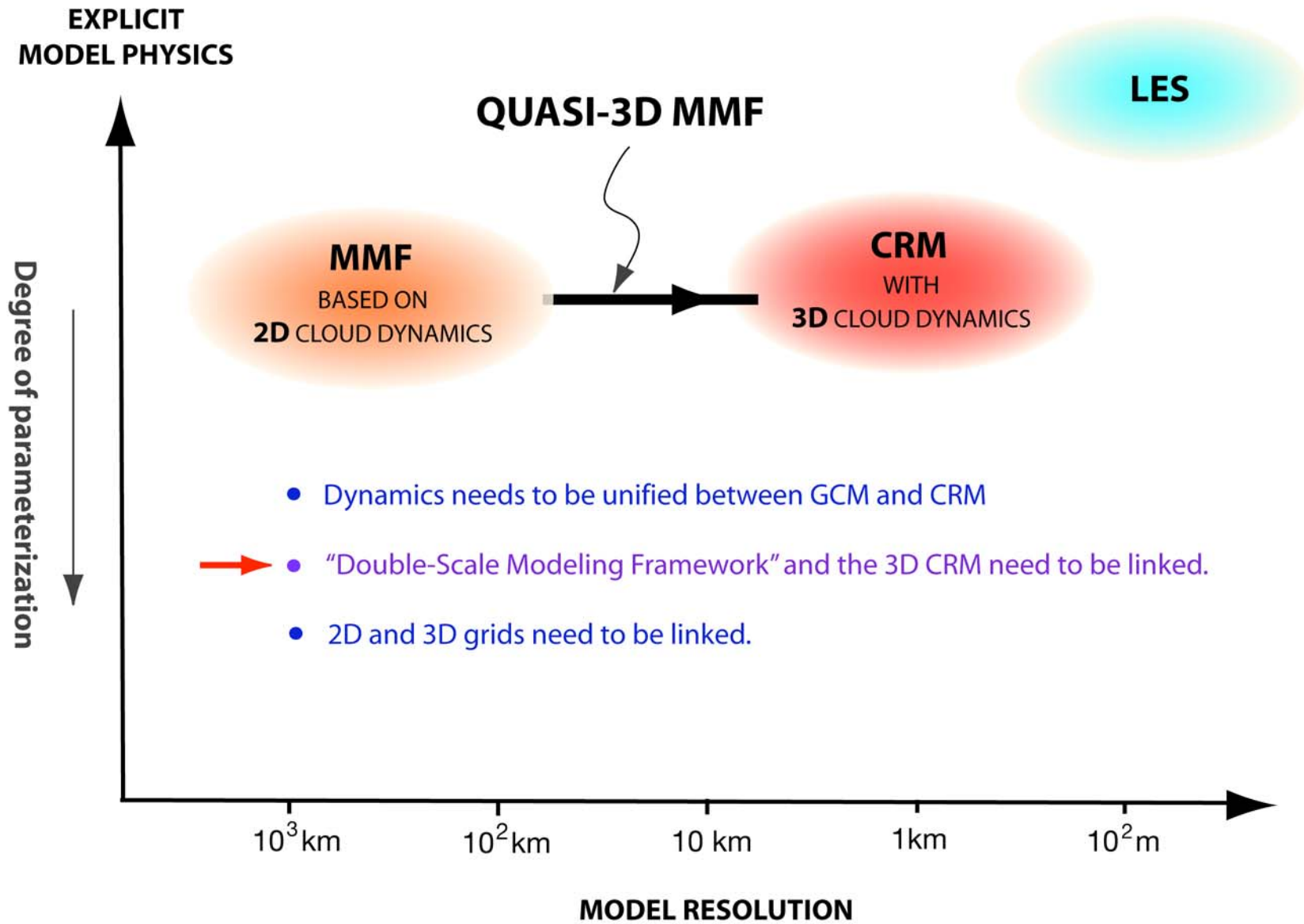
- The last term is evaluated using the surface-pressure tendency and thermodynamic equations.
- In contrast to the Richardson (1922) equation, the unified system treats this term as a generally small correction term.
- When the momentum equation is used,
  - the non-hydrostatic pressure is determined for the predicted 3D velocity to satisfy this continuity equation (parallel to the anelastic system);
- When the horizontal component of vorticity equation is predicted,
  - The unified system solves

$$\nabla_H^2 w + \frac{\partial}{\partial z} \left[ \frac{1}{\rho_{qs}} \frac{\partial}{\partial z} (\rho_{qs} w) \right] = -\mathbf{k} \cdot \nabla_H \times \boldsymbol{\omega}_H - \frac{\partial}{\partial z} \left( \frac{D}{Dt} \right)_H \ln \rho_{qs}$$

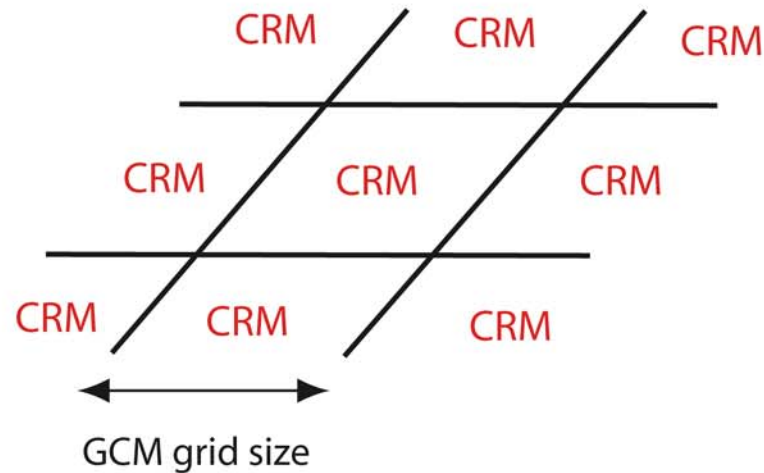
- the vertical component of the momentum equation can then be used to *diagnose* the non-hydrostatic pressure.

## SUMMARY AND CONCLUDING REMARKS

- The anelastic model introduces a serious error in the vertical structure
- The anelastic and pseudo-incompressible approximations introduce a serious error for barotropic ultra-long waves .
- These problems do not exist in the unified system.
- We plan to use (a geodesic-grid version of) the unified model for a global cloud-resolving model.



## Problems with the “Double-Scale Framework”

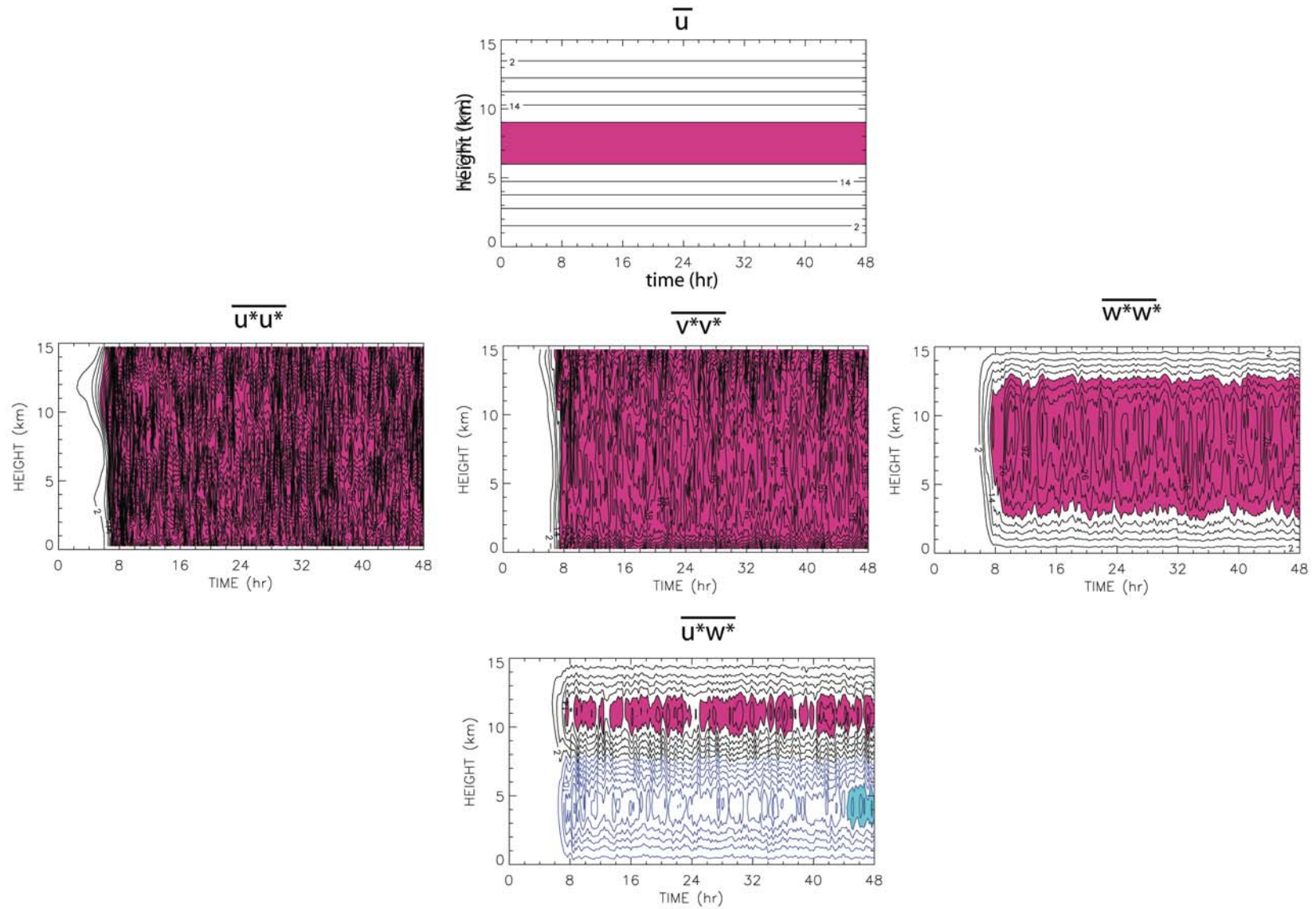


- This structure is inherited from the conventional GCMs, which assume “parameterizability”.
- The interactive nature of the MMF is an advantage in principle.
- However, if the GCM dynamics is very rigid due to over-dominating large-scale processes, there is not much room for the feedback to operate.
- GCM dynamics without mesoscale dynamics may be too rigid from the point of view of interactions with the cloud scale.



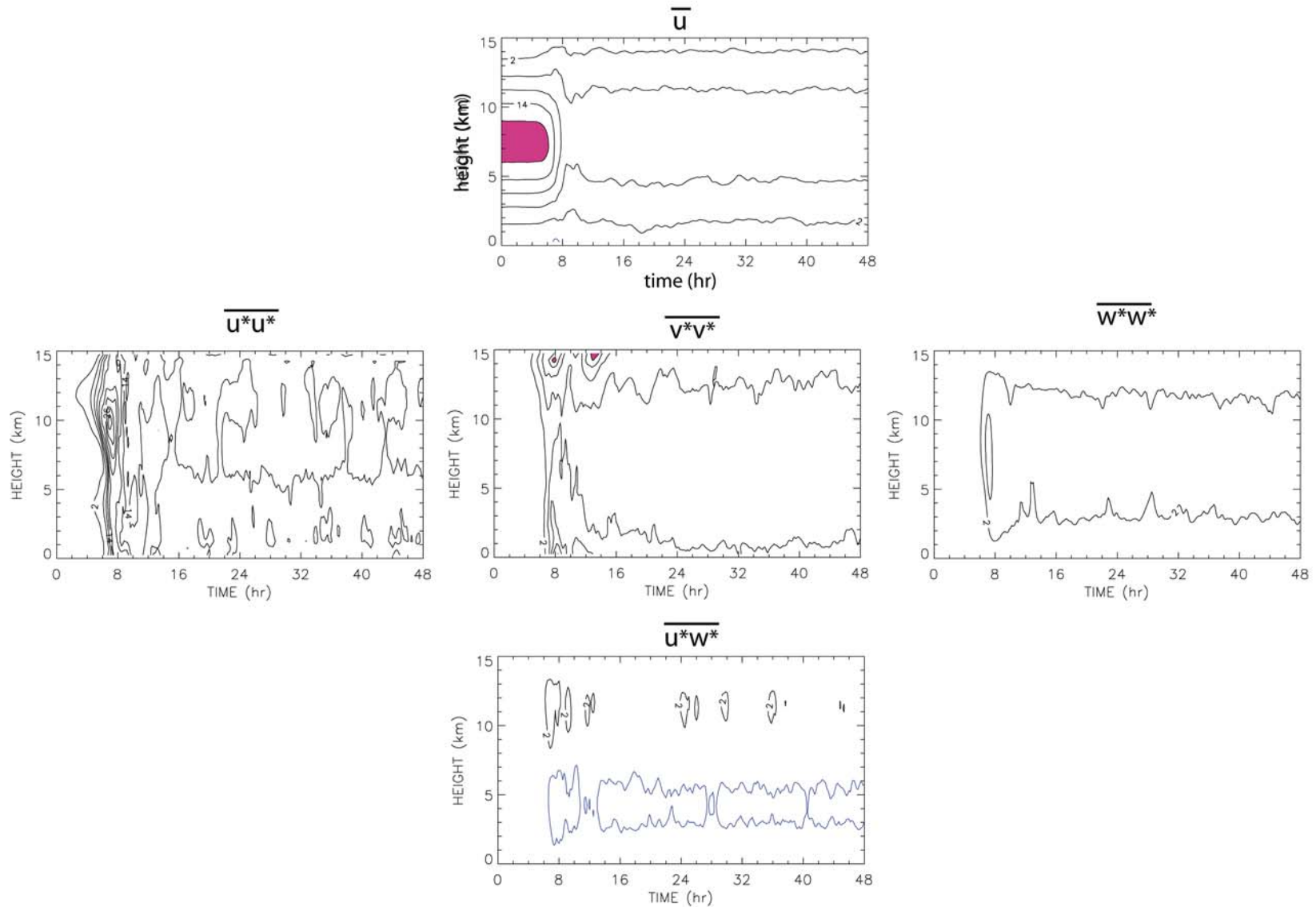
# Sensitivity of the Equilibration of Shear Instability to the Rigidity of Mean Flow

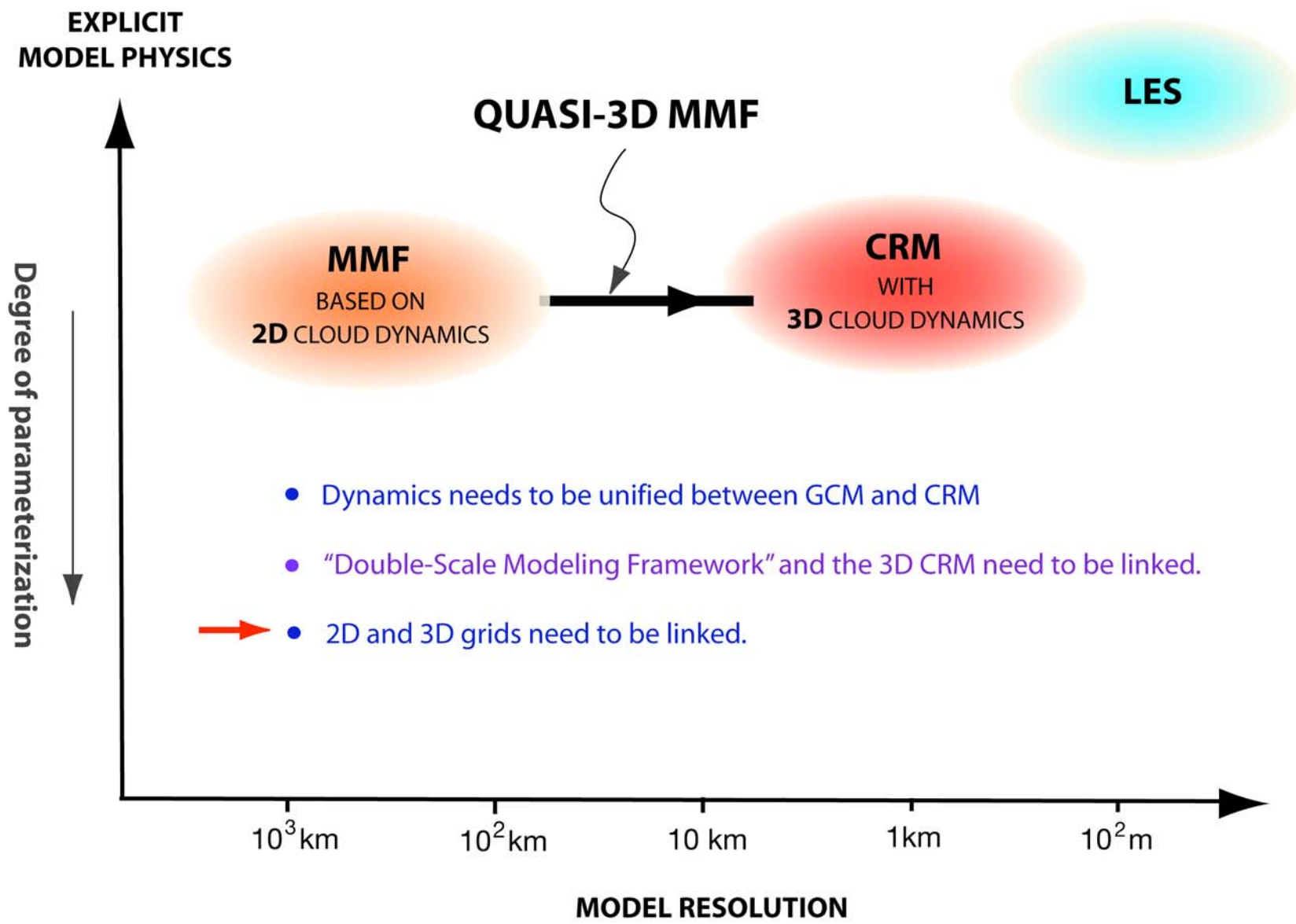
## Shear Instability Simulation \_Rigid Mean Flow



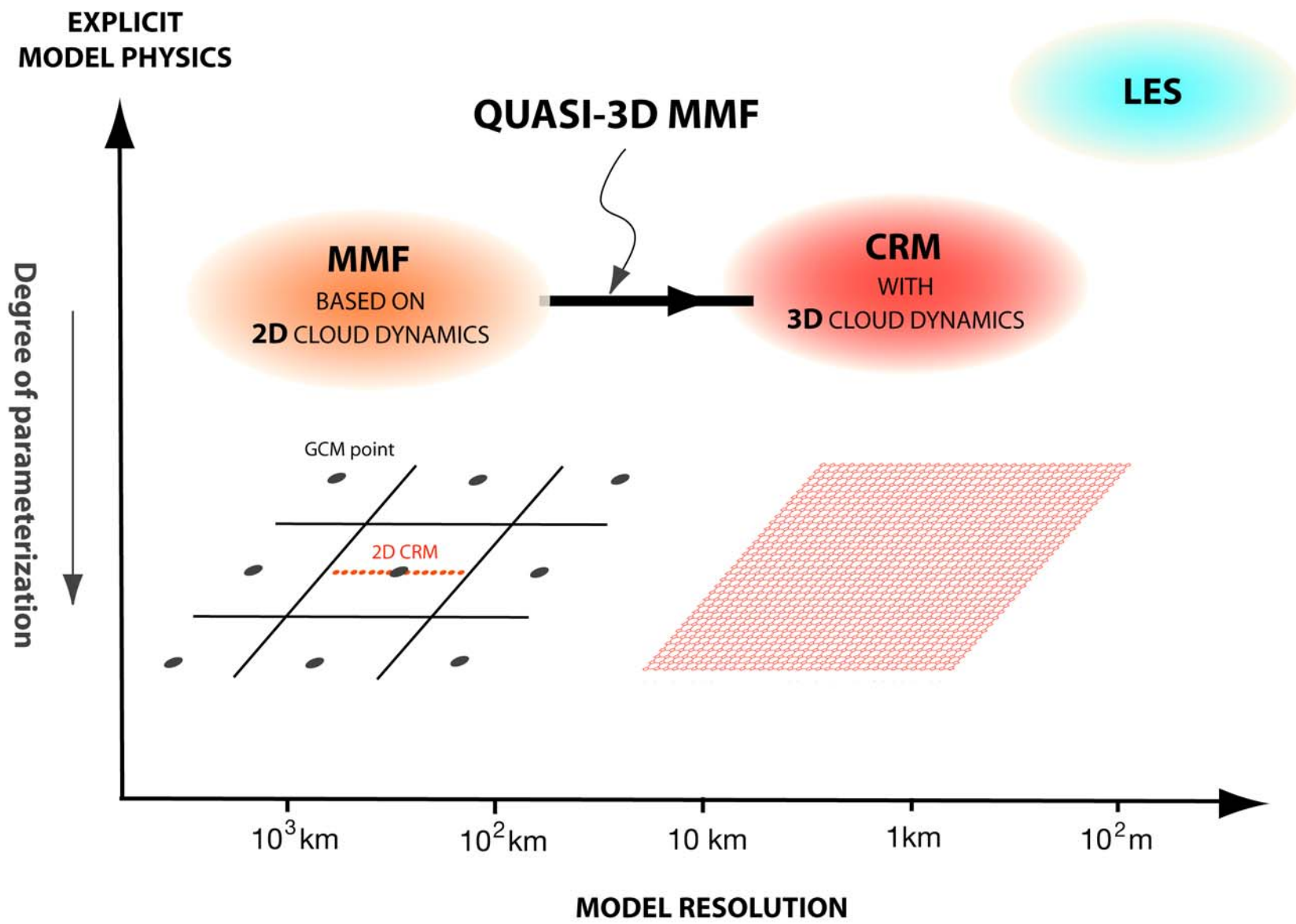
# Sensitivity of the Equilibration of Shear Instability to the Rigidity of Mean Flow

## Shear Instability Simulation \_Relaxed Mean Flow with the Time Scale of 2 hrs

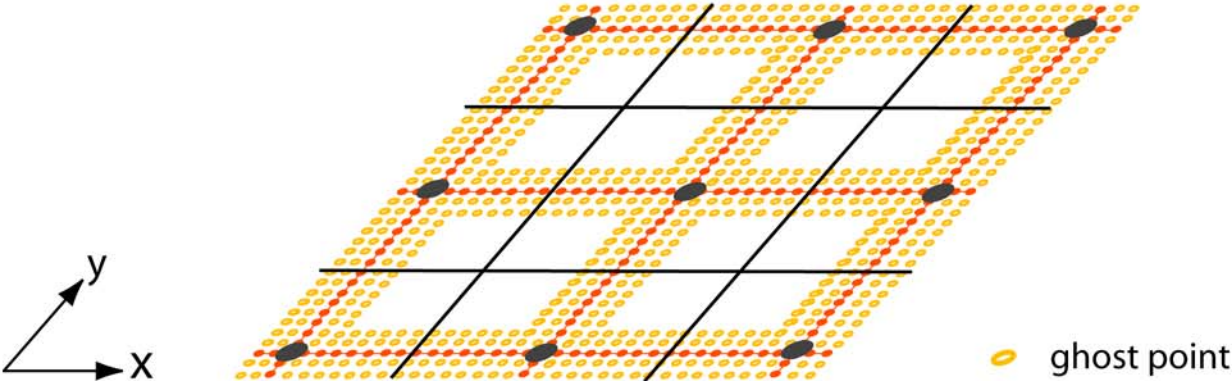




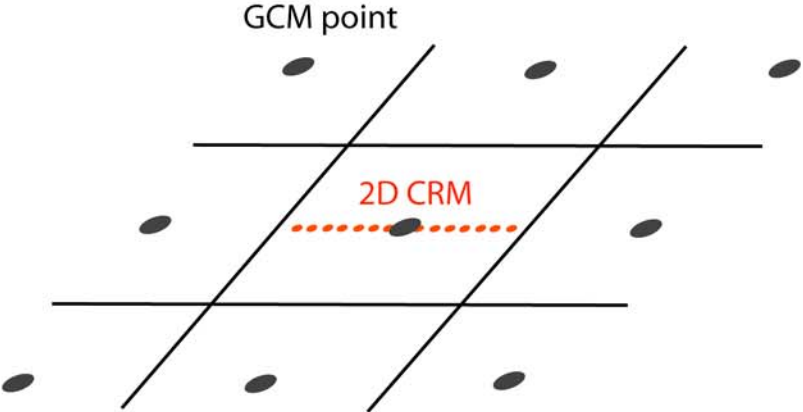
- Dynamics needs to be unified between GCM and CRM
- "Double-Scale Modeling Framework" and the 3D CRM need to be linked.
- • 2D and 3D grids need to be linked.



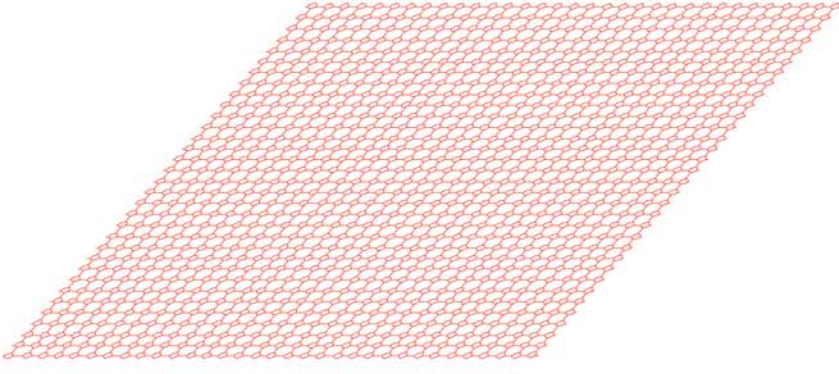
### Q3D MMF



### PROTOTYPE MMF



### 3D CRM

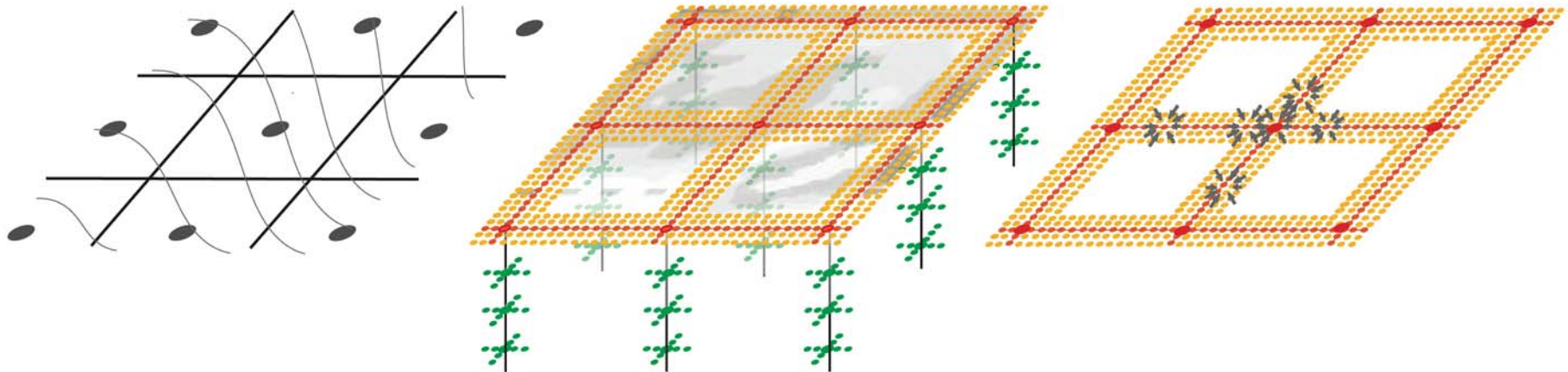


# MULTI-SCALE REPRESENTATION OF VARIABLES

$$q = \bar{q} + q' + q''$$

BACKGROUND
CLOUD-SYSTEM SCALE
CLOUD SCALE

$\bar{q}$		$q'$	$q''$
Determined by interpolation of GCM grid-point values (Currently, this field is prescribed.)	Along the array	Raynolds averaging of $q - \bar{q}$	$q - \bar{q} - q'$
	Normal to the array	Statistical identification of cloud regime	Parameterization based on isotropy



## Problems in Quasi-3D Advection of Scalar Variables

1. Global stability with 2-dimensional uniform current
2. Local stability with 3-dimensional non-uniform current
3. Control of singularity at intersections
4. Control of spurious trend
5. Identifying the orientation of cloud organization by regression analysis of past data at the intersections

### **The major remaining problem:**

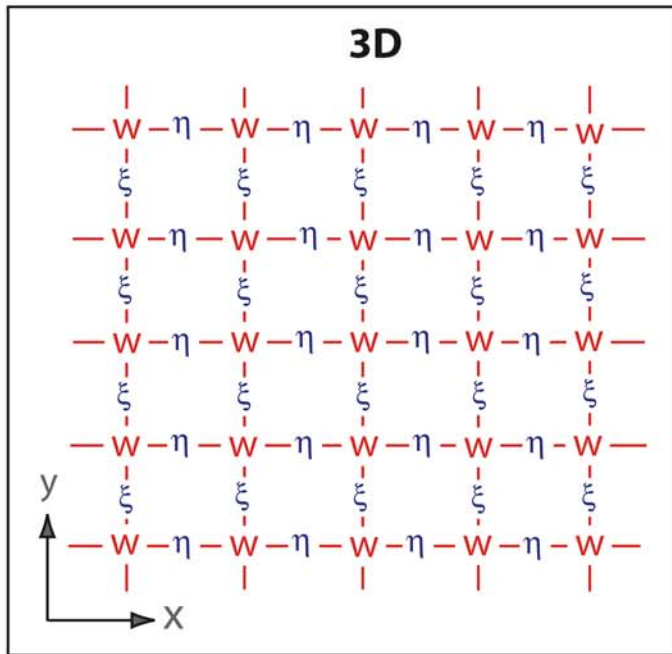
Advection of cloud water causes “computational detrainment”  
due to computational dispersion/dissipation  
and incompatibility with the movement of updraft.

# Solving a 3D Elliptic Equation using the Quasi-3D Network

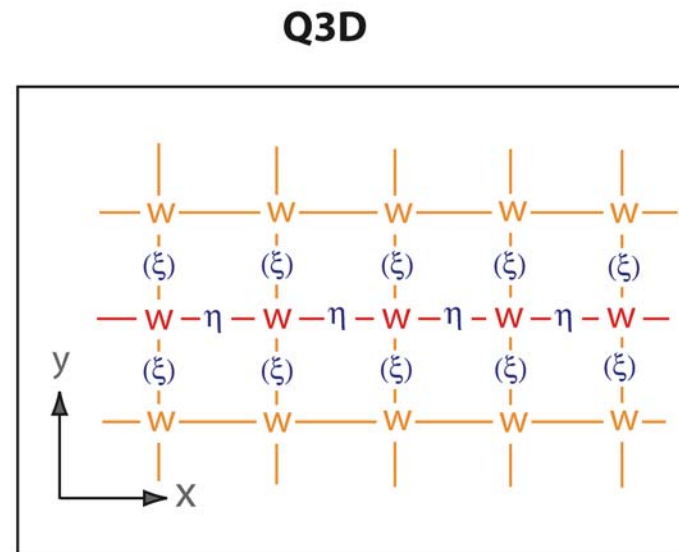
In an anelastic model, a 3D elliptic equation must be solved.

(The same is true in the unified system.)

In our model, 
$$\left( \frac{\partial^2}{\partial x^2} + \frac{\partial^2}{\partial y^2} \right) w + \frac{\partial}{\partial z} \left[ \frac{1}{\rho_0} \frac{\partial}{\partial z} (\rho_0 w) \right] = - \left( \frac{\partial \eta}{\partial x} - \frac{\partial \xi}{\partial y} \right),$$
 where 
$$\begin{aligned} \xi &\equiv (\nabla \times \mathbf{V})_x \\ \eta &\equiv (\nabla \times \mathbf{V})_y \end{aligned}$$



“Rotated C grid”



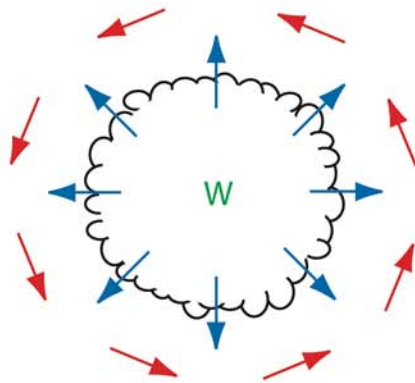
- There is an extra degree of freedom for  $\xi$ .
- *The extra degree of freedom turns out to be unstable.*



## Estimation of the y-derivatives in the w-equation

$$\left( \frac{\partial^2}{\partial x^2} + \frac{\partial^2}{\partial y^2} \right) w + \frac{\partial}{\partial z} \left[ \frac{1}{\rho_0} \frac{\partial}{\partial z} (\rho_0 w) \right] = - \left( \frac{\partial \eta}{\partial x} - \frac{\partial \xi}{\partial y} \right)$$

### For Cloud Scale :



—▶ Horizontal component of velocity

—▶ Horizontal component of vorticity

We assume that cloud-scale  $w$  is axis-symmetric and horizontal flow is radial. This means that the horizontal component of vorticity is circular (deformation-free).

### For Cloud-System Scale :

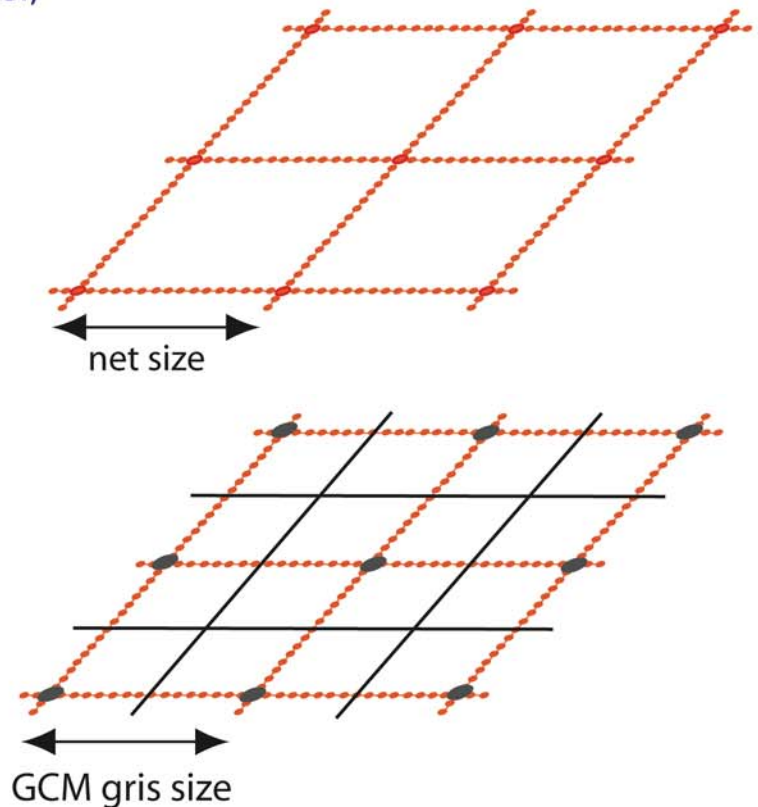
Statistical estimation as in the advection equation.

***Semi-prognostic tests using prescribed vorticity on the network points are highly successful.***

## Problems in Vorticity Prediction

- In spite of the purely 3D nature, the effects of twisting followed by stretching are handled reasonably well.
- The local singularity at the intersections is relatively well controlled (no noise)
- Due to the inhomogeneous structure of the grid, however, there is a tendency toward development of large-scale circulations that have scales comparable to the net size.
- Not only this influences the overall partition between vertical and horizontal wind components, it suppresses smaller-scale convection by subsidence.
- Since the net size is the GCM grid size in MMF, the net-size circulation should be controlled also by the GCM dynamics.

*We need to couple with a GCM  
for real evaluation of the Q3D MMF*



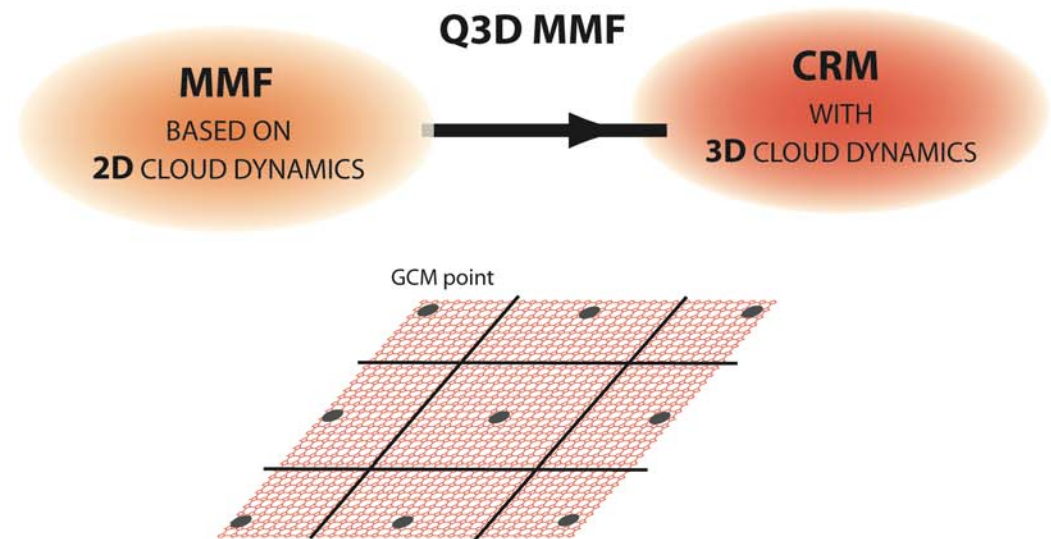
## EXPERIMENTAL STRATEGY FOLLOWED SO FAR

- Break up the algorithm to pieces, and test one piece at a time.
- Always quantitatively compare with the results of 3D control run.
- Comparison is mainly through the time sequences of spatial variances (and covariances) rather than through spatial/temporal means.

*We should start to test coupling the Q3D CRM with a toy GCM soon.*

## CONCLUDING REMARKS

- The semi-prognostic test with prescribed vorticity at the net points are
  - very successful in predicting velocity components,
  - but not in predicting the individual phases of water, very likely due to “computational detrainment”.
- Fully-prognostic tests produces a “red” spectrum of vorticity, very likely due to the lack of interactions with the GCM.
- It seems that we are approaching the limit of the “peace by peace” test strategy.
- We also seem to have mixed up the problems (2) and (3).
  - (1) Dynamics needs to be unified between GCM and CRM
  - (2) “Double-Scale Modeling Framework” and the 3D CRM need to be linked.
  - (3) 2D and 3D grids need to be linked.



*We need to test a “3D MMF” as a benchmark.*