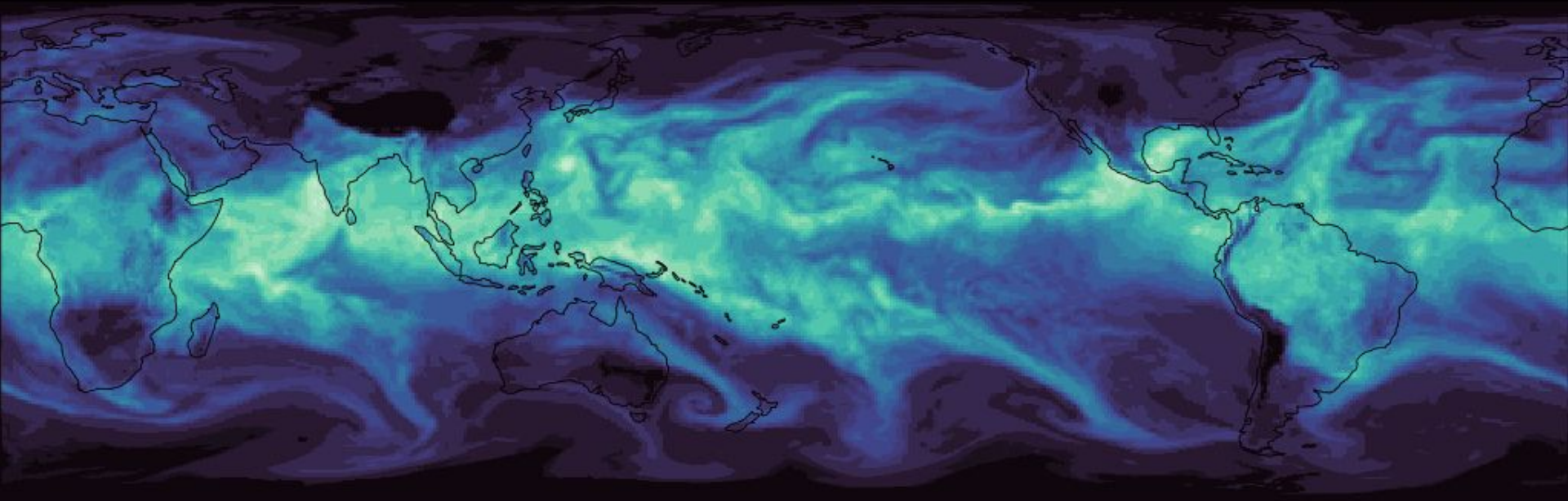


Neural General Circulation Models for Weather & Climate



Dmitrii Kochkov
Google Research

Colorado State University
Atmospheric Science Department
4 November 2024

Neural GCM core contributors & collaborators



Dmitrii
Kochkov



Janni
Yuval



Ian
Langmore



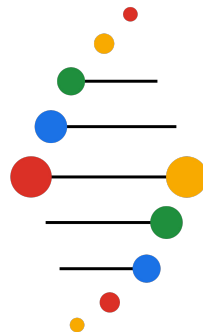
Peter
Norgaard



Jamie
Smith



Stephan
Hoyer



NeuralGCM collaborators:

Griffin Mooers, James Lottes, Stephan Rasp, Sam Hatfield, Peter Duben, Milan Klower, Peter Battaglia, Alvaro Sanchez-Gonzalez, Matthew Willson, Michael Brenner



Outline

1. General Circulation Models (GCMs) for weather and climate
2. AI revolution for weather forecasting
3. Neural GCM - differentiable hybrid atmospheric model
4. Neural GCM results
 - a. Weather forecasting
 - b. “Climate” simulations
5. Future directions



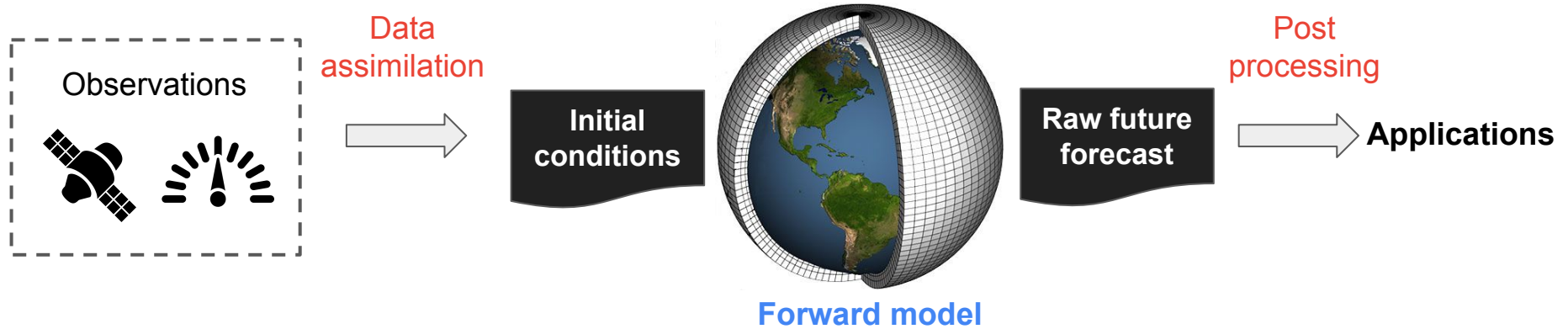
Outline

1. General Circulation Models (GCMs) for weather and climate
2. AI revolution for weather forecasting
3. Neural GCM - differentiable hybrid atmospheric model
4. Neural GCM results
 - a. Weather forecasting
 - b. “Climate” simulations
5. Future directions

Simulation of weather and climate

Forecast workflow:

Data assimilation; Forward model of the Earth system; Post-processing



“Forecasting” queries vary in timescales:

- Will it rain in 3 hours?
- What’s the weather in 3 days?
- What is return time of a class 5 hurricane?
- How warm the Earth may be in 30 years if “*”?

Initial condition
[nowcasting, medium range, ...]

Boundary condition
climate variability, catastrophe risks

How do traditional General Circulation Models work?

“Dynamical core”

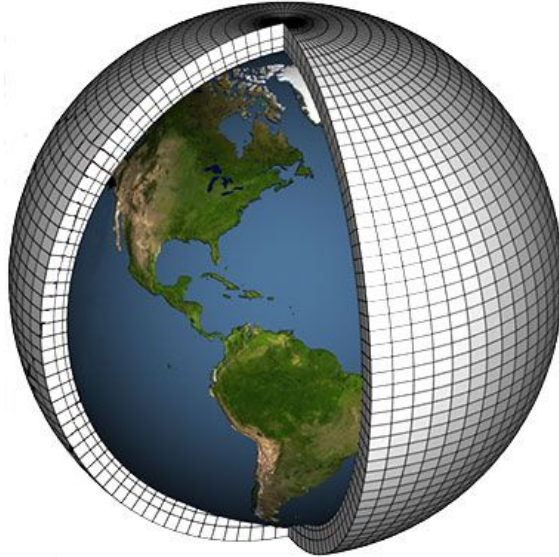
$$\frac{d\mathbf{u}}{dt} + f\mathbf{k} \times \mathbf{u} + \frac{1}{\rho} \nabla_z p = \mathbf{0}$$

$$\frac{\partial \rho}{\partial t} + \nabla_z \cdot (\rho \mathbf{u}) + \frac{\partial \rho w}{\partial z} = 0$$

$$\frac{dT}{dt} - \frac{\omega}{c_p \rho} = 0$$

$$\frac{\partial p}{\partial z} = -\rho g$$

$$p = \rho R T$$



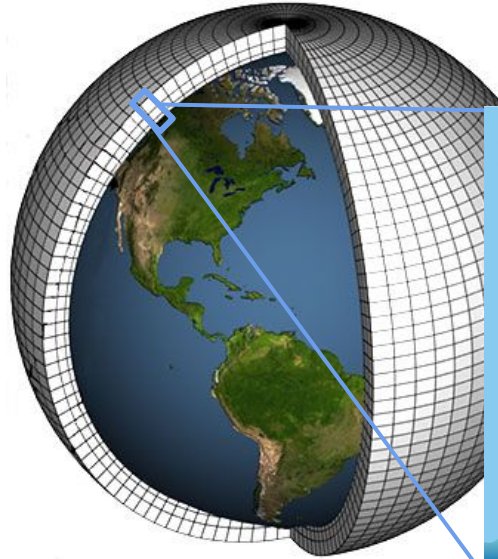
Fluid dynamics on the surface of a rotating sphere

How do traditional General Circulation Models work?

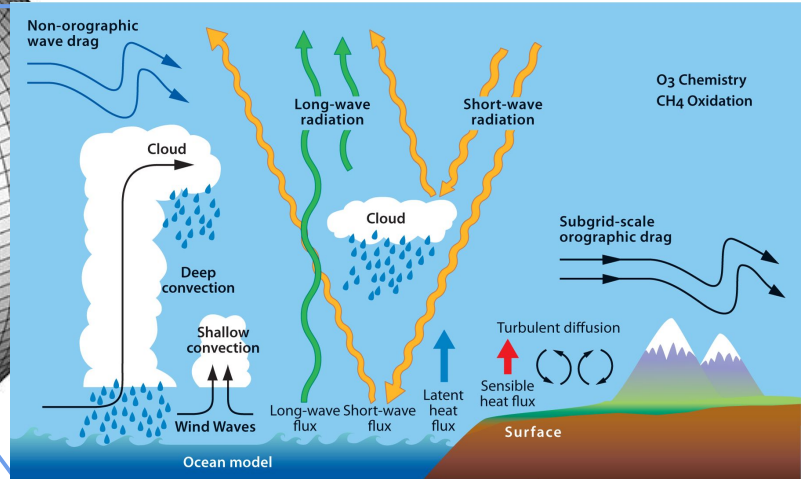
“Dynamical core”

$$\begin{aligned} \frac{d\mathbf{u}}{dt} + f\mathbf{k} \times \mathbf{u} + \frac{1}{\rho} \nabla_z p &= \mathbf{0} \\ \frac{\partial \rho}{\partial t} + \nabla_z \cdot (\rho \mathbf{u}) + \frac{\partial \rho w}{\partial z} &= 0 \\ \frac{dT}{dt} - \frac{\omega}{c_p \rho} &= 0 \\ \frac{\partial p}{\partial z} &= -\rho g \\ p &= \rho RT \end{aligned}$$

Fluid dynamics on the surface of a rotating sphere



“Physics”



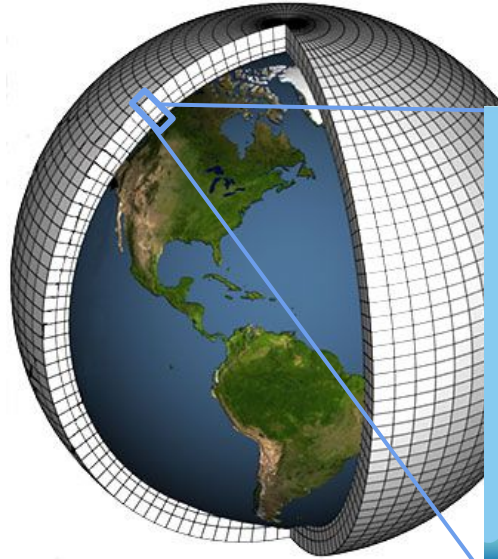
Many PhD theses
(100k-1M lines of Fortran)

How do traditional General Circulation Models work?

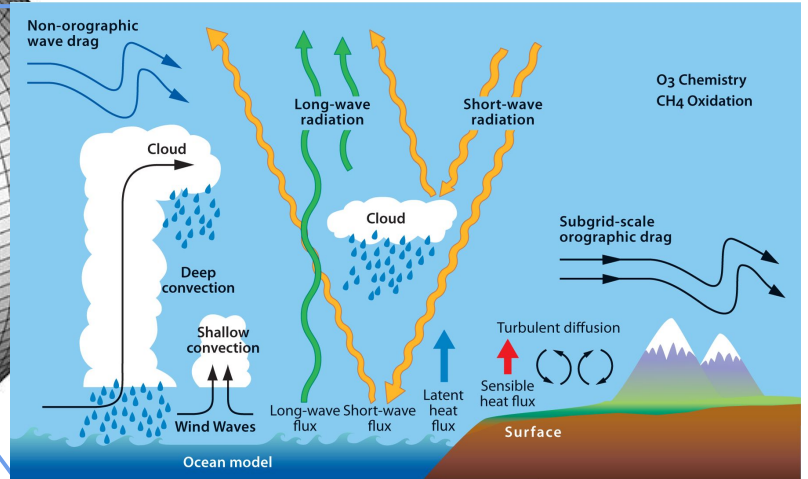
“Dynamical core”

$$\frac{d\mathbf{u}}{dt} + f\mathbf{k} \times \mathbf{u} + \frac{1}{\rho} \nabla_z p = 0$$
$$\frac{\partial \rho}{\partial t} + \nabla_z \cdot (\rho \mathbf{u}) + \frac{\partial \rho w}{\partial z} = 0$$
$$\frac{dT}{dt} - \frac{\omega}{c_p \rho} = 0$$
$$\frac{\partial p}{\partial z} = -\rho g$$
$$p = \rho RT$$

Fluid dynamics on the surface of a rotating sphere



“Physics”



Many PhD theses
(100k-1M lines of Fortran)

Hard to tune - believed to be the largest source of inaccuracies

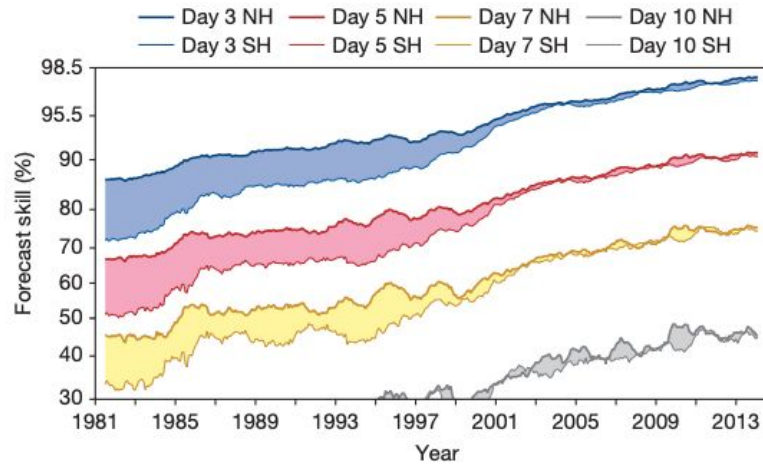
Success and scaling of GCMs for weather and climate

REVIEW

doi:10.1038/nature14956

The quiet revolution of numerical weather prediction

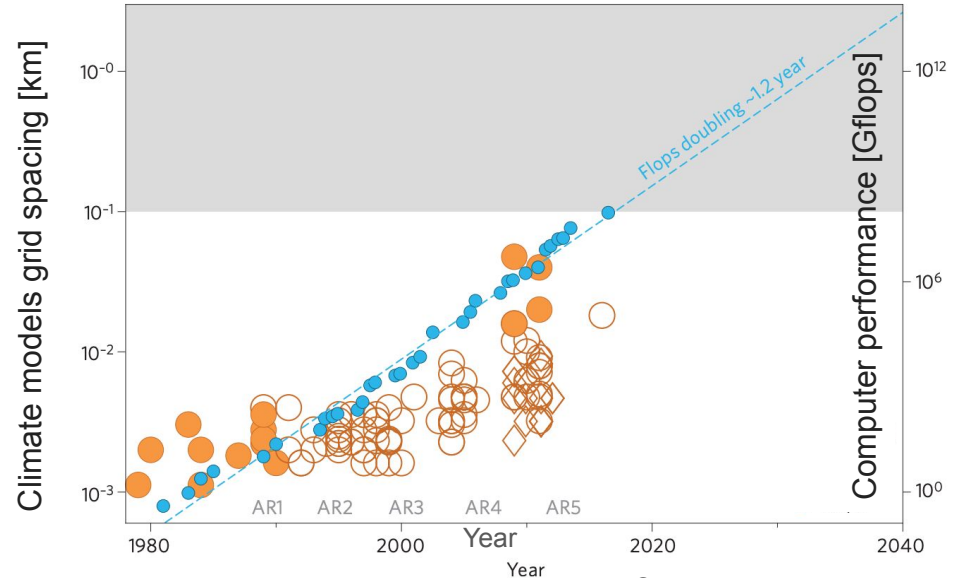
Peter Bauer¹, Alan Thorpe¹ & Gilbert Brunet²



Bauer et al (2015)

COMMENTARY:

Climate goals and computing the future of clouds



Schneider et al. (2017)

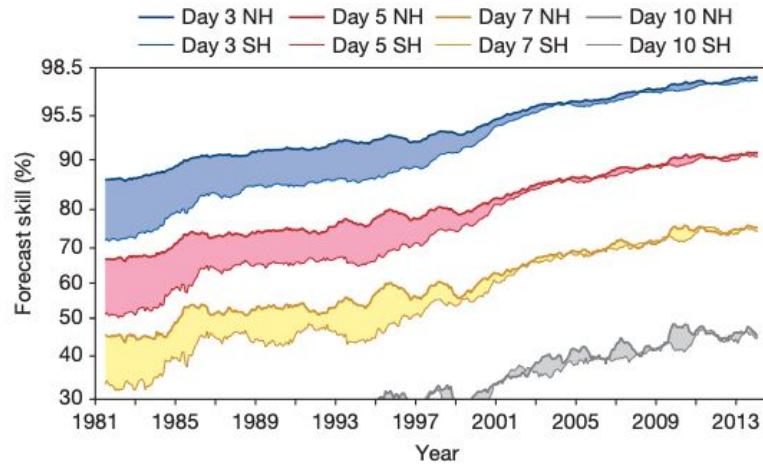
Success and scaling of GCMs for weather and climate

REVIEW

doi:10.1038/nature14956

The quiet revolution of numerical weather prediction

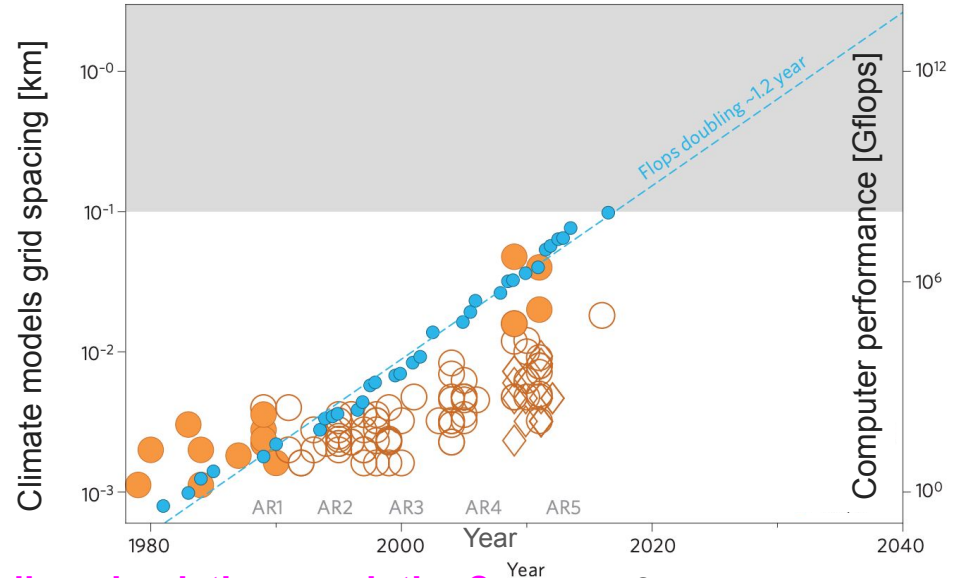
Peter Bauer¹, Alan Thorpe¹ & Gilbert Brunet²



Bauer et al (2015)

COMMENTARY:

Climate goals and computing the future of clouds



Next: continue scaling simulation resolution?

Schneider et al. (2017)

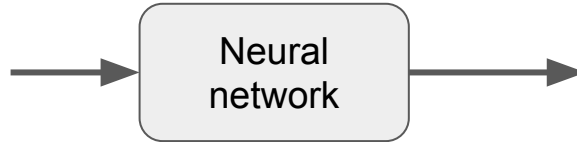
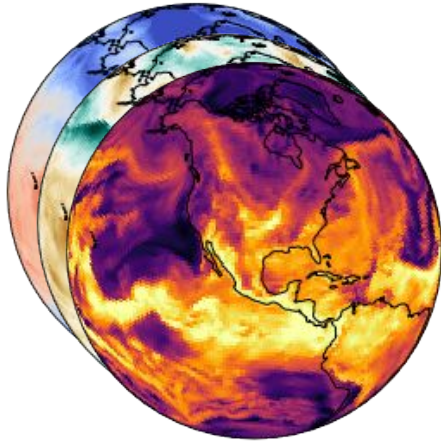


Outline

1. General Circulation Models (GCMs) for weather and climate
2. AI revolution for weather forecasting
3. Neural GCM - differentiable hybrid atmospheric model
4. Neural GCM results
 - a. Weather forecasting
 - b. “Climate” simulations
5. Future directions

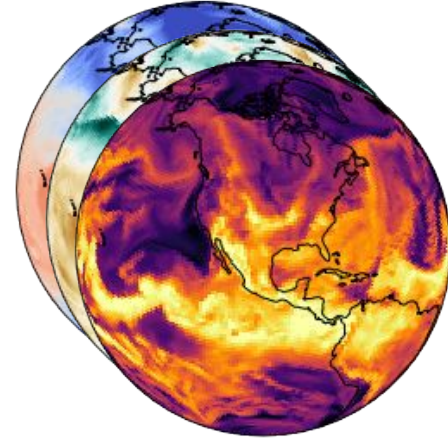
Pure ML models for weather forecasting

Input weather state



*Trained on historical ERA5 data
to minimize errors*

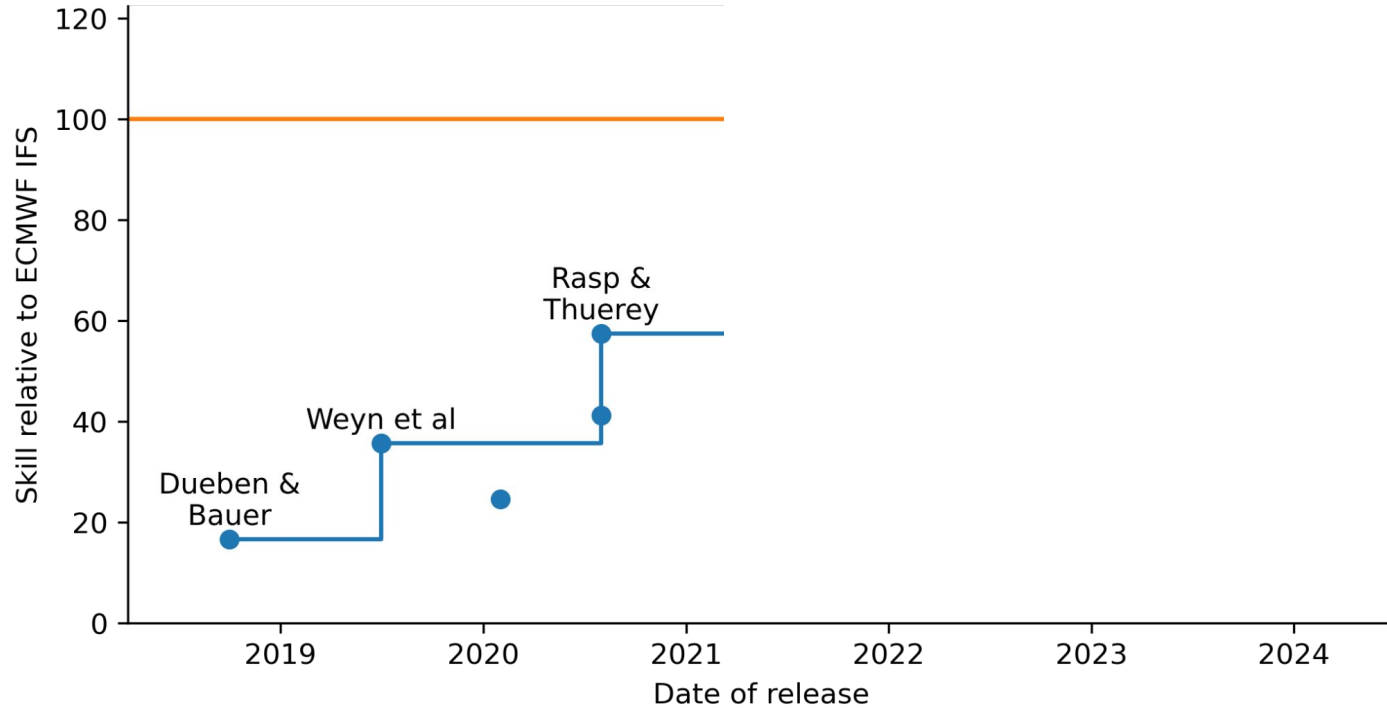
Predicted next state



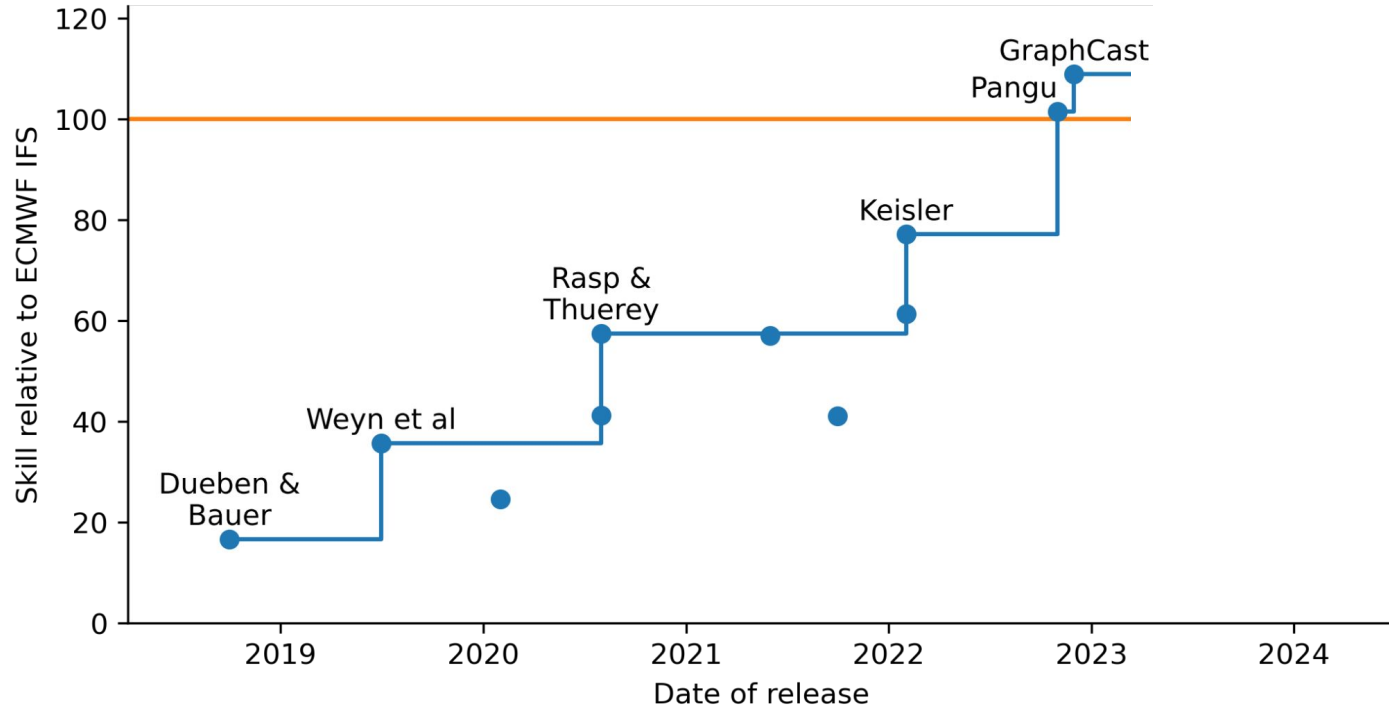
Recent disruptive results in medium-range weather forecasting

E.g., (GraphCast) [Lam & Sanchez-Gonzalez et al. 2022](#), (Pangu weather) [Bi & Xie et al. \(2022\)](#)
(GenCast) [Price & Sanchez-Gonzalez et al. 2024](#), (AIFS) [Lang & Alexe et al. 2024](#)

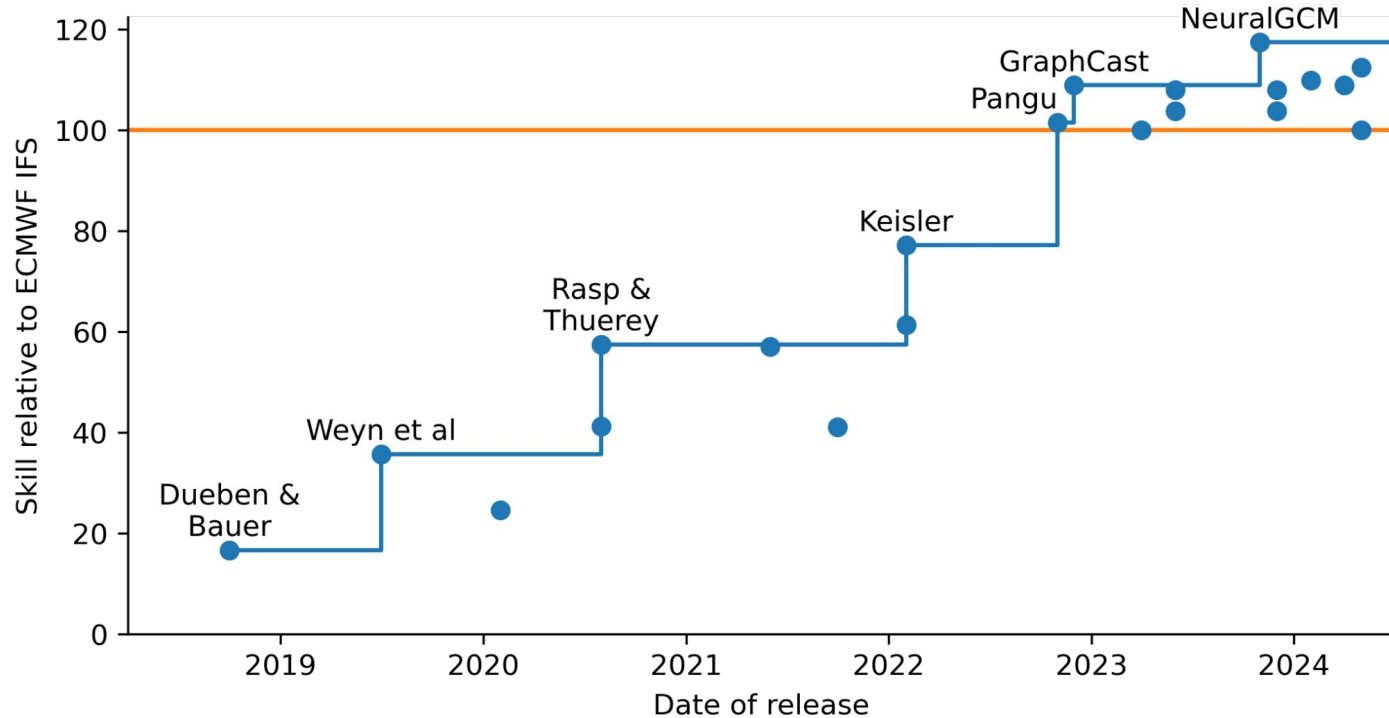
The AI revolution has arrived for weather forecasting



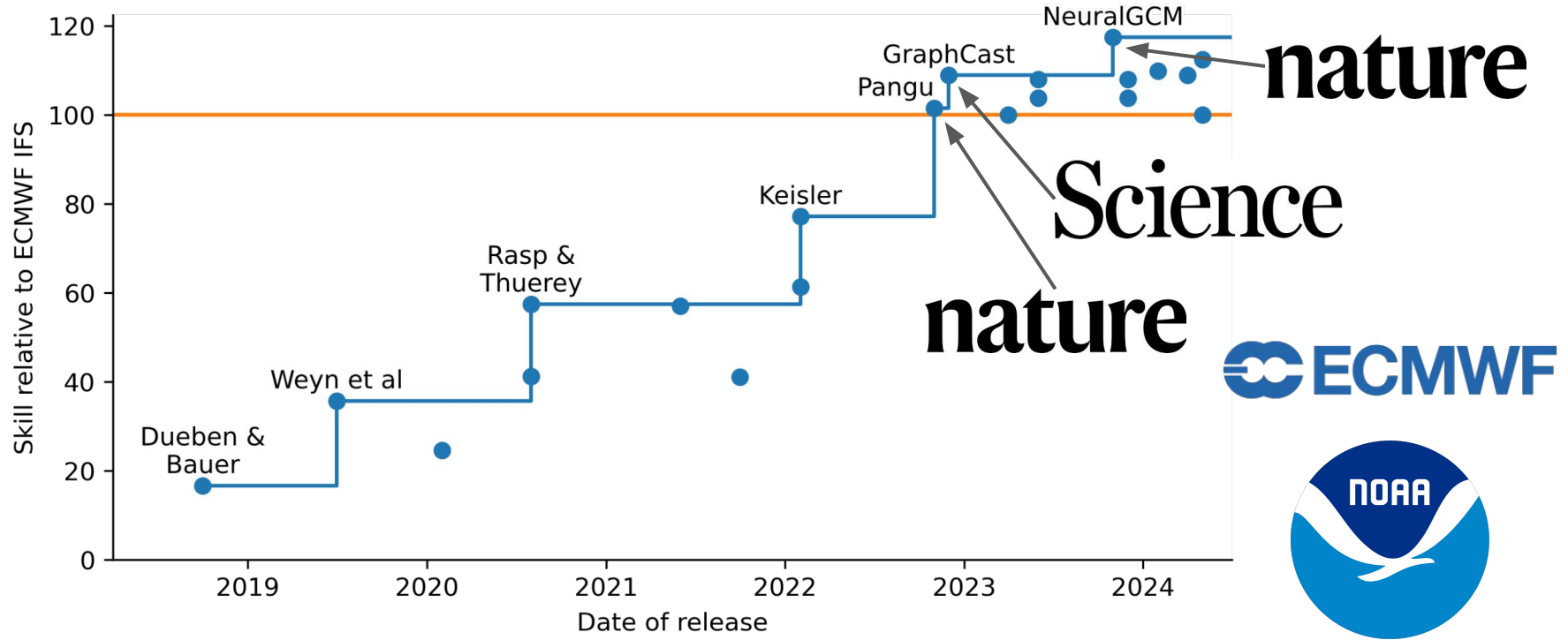
The AI revolution has arrived for weather forecasting



The AI revolution has arrived for weather forecasting

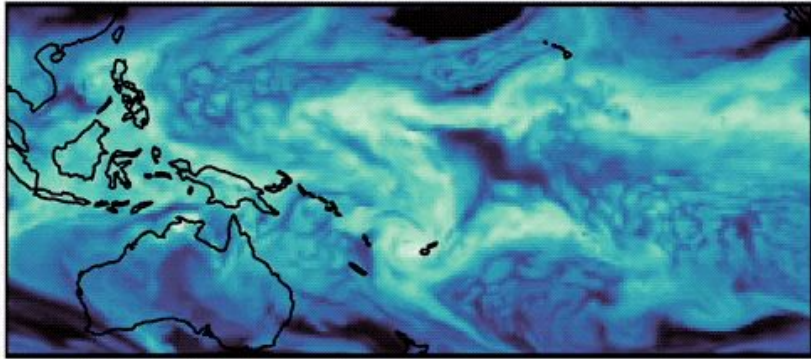


The AI revolution has arrived for weather forecasting

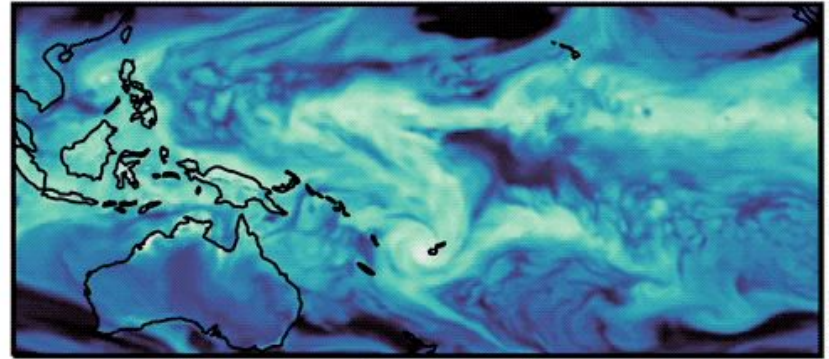


Many AI weather forecasts are skillful, but not yet fully physically realistic

DAY: 00 HOUR: 00



ERA5

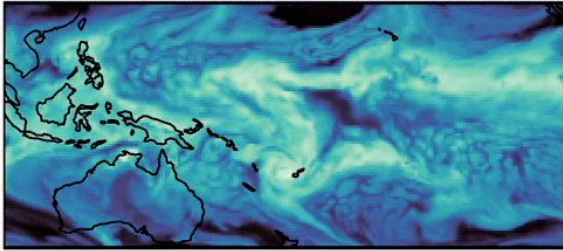


GraphCast

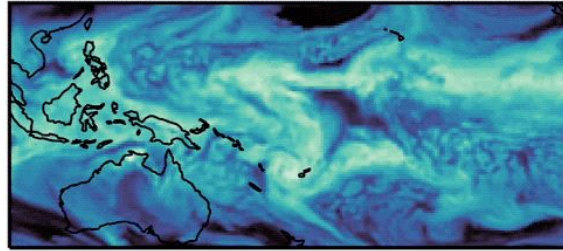
Desiderata: achieve good scores for good reasons

DAY: 00

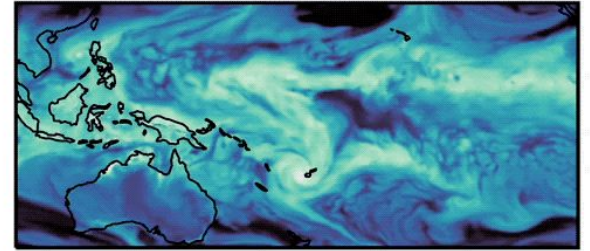
HOUR: 00



ERA5



NeuralGCM



GraphCast

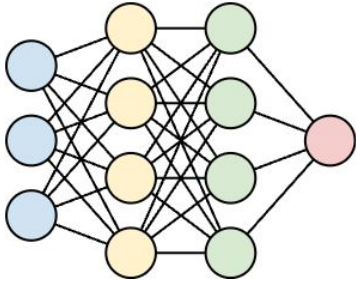


Outline

1. General Circulation Models (GCMs) for weather and climate
2. AI revolution for weather forecasting
3. Neural GCM - differentiable hybrid atmospheric model
4. Neural GCM results
 - a. Weather forecasting
 - b. “Climate” simulations
5. Future directions

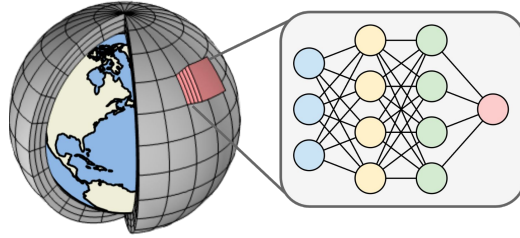
Hybrid modeling may offer the best of both worlds

Pure ML



GraphCast
Pangu-Weather

Hybrid models



NeuralGCM

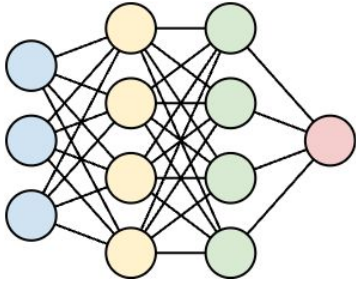
Physics-based



Traditional NWP
Climate models

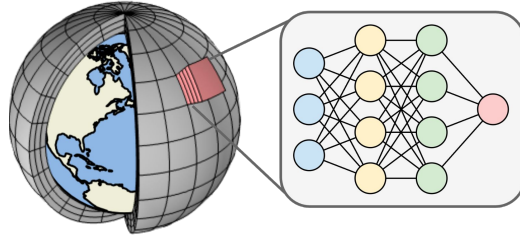
Hybrid modeling may offer the best of both worlds

Pure ML



GraphCast
Pangu-Weather

Hybrid models



NeuralGCM

Physics-based

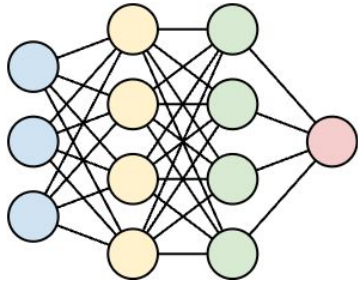


Traditional NWP
Climate models

Very little code
Based on data
Optimized for forecast accuracy

Hybrid modeling may offer the best of both worlds

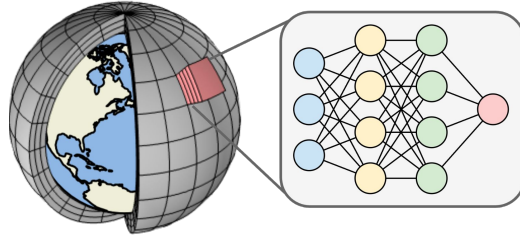
Pure ML



GraphCast
Pangu-Weather

Very little code
Based on data
Optimized for forecast accuracy

Hybrid models



NeuralGCM

Physics-based



Traditional NWP
Climate models

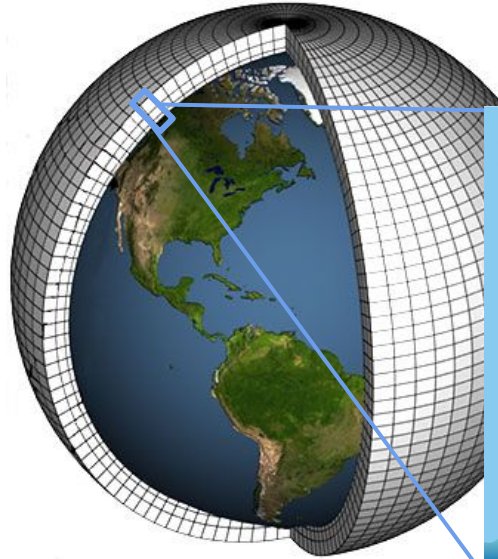
Complex, but interpretable
Based on physics
Designed to generalize

Traditional GCM modeling principle

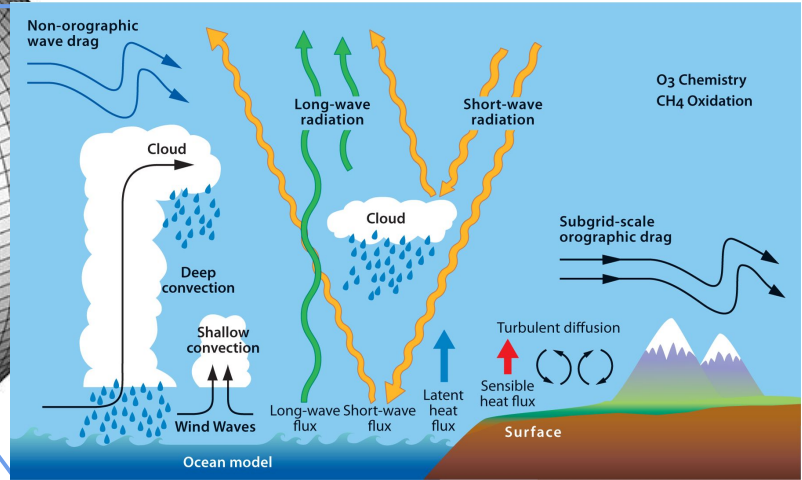
“Dynamical core”

$$\frac{d\mathbf{u}}{dt} + f\mathbf{k} \times \mathbf{u} + \frac{1}{\rho} \nabla_z p = 0$$
$$\frac{\partial \rho}{\partial t} + \nabla_z \cdot (\rho \mathbf{u}) + \frac{\partial \rho w}{\partial z} = 0$$
$$\frac{dT}{dt} - \frac{\omega}{c_p \rho} = 0$$
$$\frac{\partial p}{\partial z} = -\rho g$$
$$p = \rho R T$$

Fluid dynamics on the surface of a rotating sphere



“Physics”

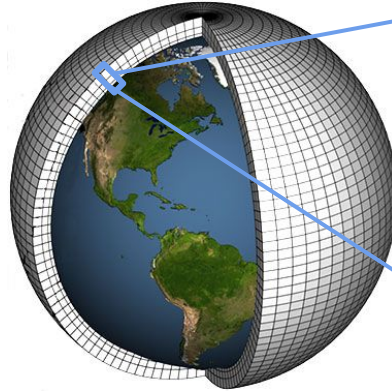


Many PhD theses
(100k-1M lines of Fortran)

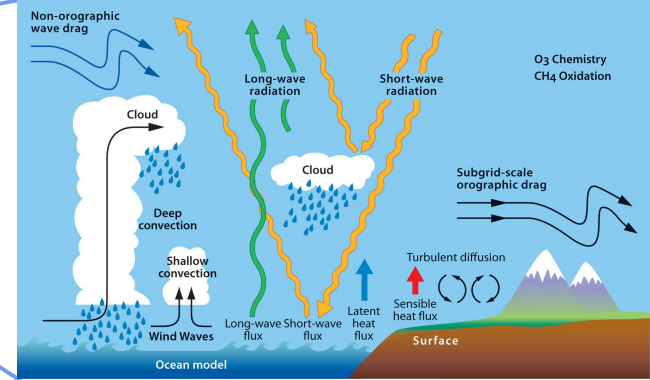
Neural GCM modeling principle

“Differentiable dynamical core”
(github.com/google-research/dinosaur)

$$\begin{aligned} \frac{d\mathbf{u}}{dt} + f\mathbf{k} \times \mathbf{u} + \frac{1}{\rho} \nabla_z p &= \mathbf{0} \\ \frac{\partial \rho}{\partial t} + \nabla_z \cdot (\rho \mathbf{u}) + \frac{\partial \rho w}{\partial z} &= 0 \\ \frac{dT}{dt} - \frac{\omega}{c_p \rho} &= 0 \\ \frac{\partial p}{\partial z} &= -\rho g \\ p &= \rho RT \end{aligned}$$



Physical processes
parameterized by a NN

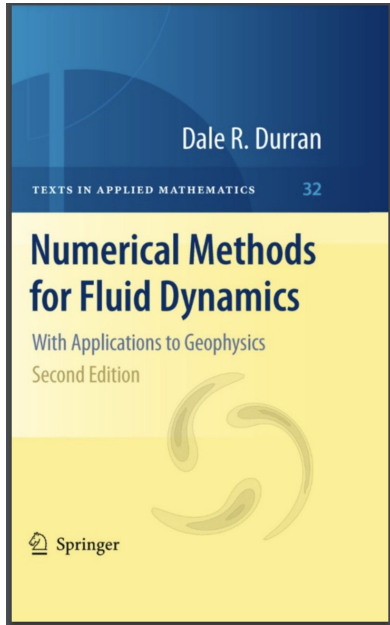


Trained end-to-end through 10-1000 of time steps (~3 simulation days)

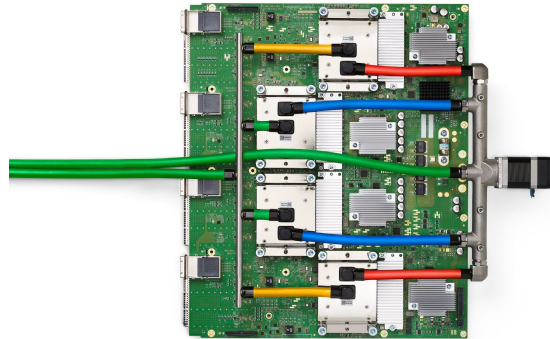
Features & hypotheses:

- Learns mechanistic “Physics” to drive the “Dynamics”
- Accounts for feedbacks between dynamics & parameterizations

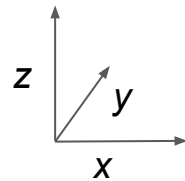
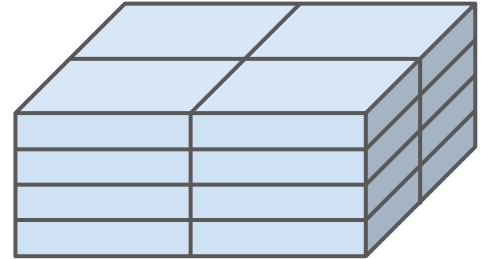
Our dynamical core solves the moist primitive equations with spectral methods



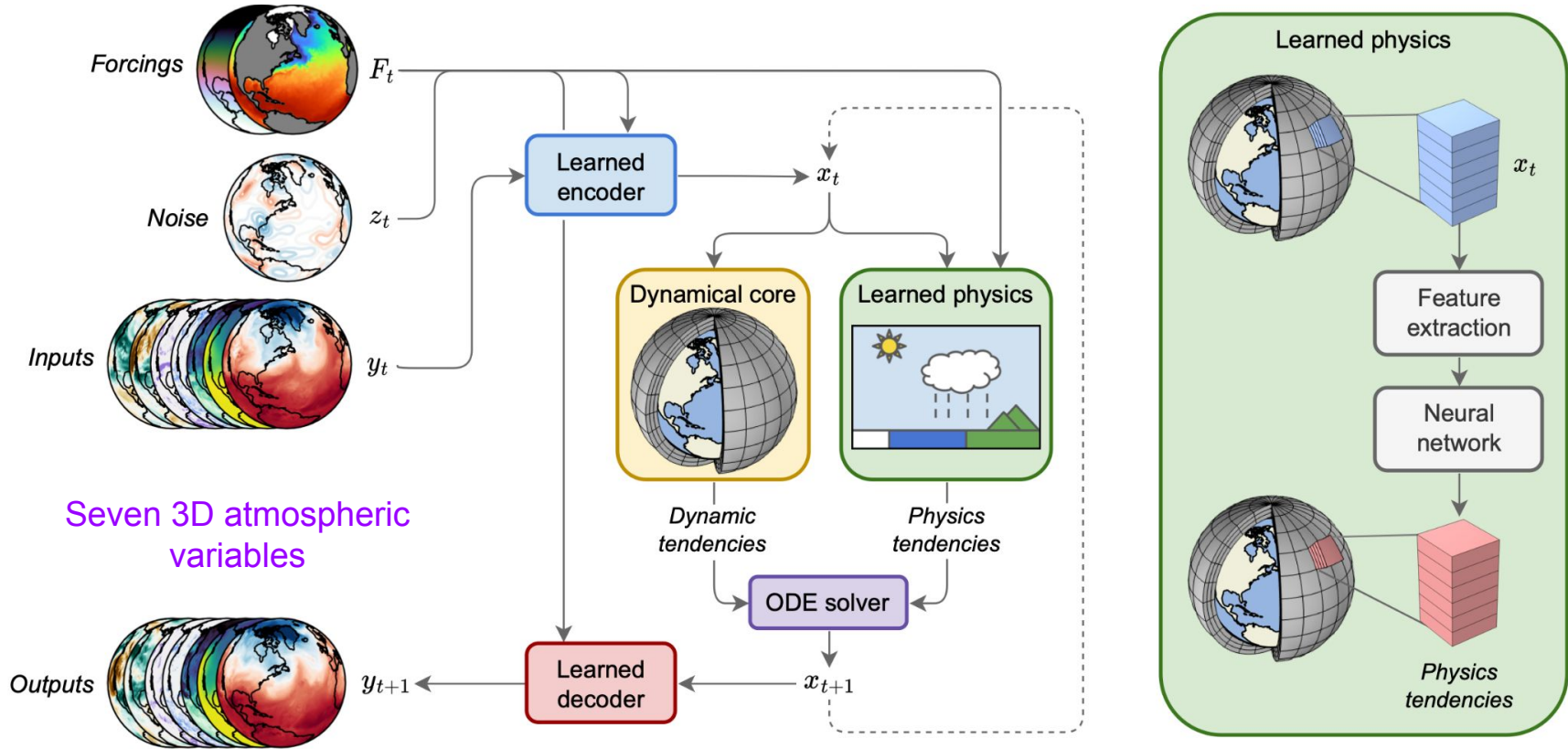
Written in JAX and runs fast on GPUs and Google TPUs



Up to 16x model parallelism



Neural GCM model overview



Model trained to minimize discrepancies between *Outputs* and ERA5 data

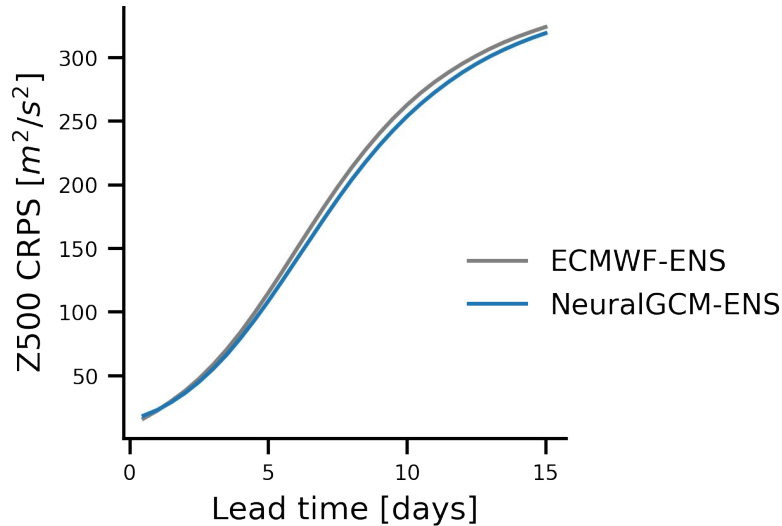


Outline

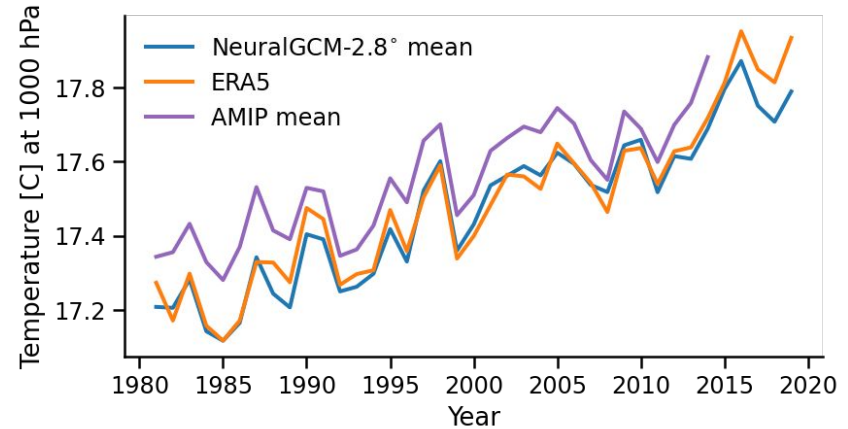
1. General Circulation Models (GCMs) for weather and climate
2. AI revolution for weather forecasting
3. Neural GCM - differentiable hybrid atmospheric model
4. **Neural GCM results**
 - a. Weather forecasting
 - b. “Climate” simulations
5. Future directions

NeuralGCM achieves state-of-the-art results both for weather forecasting and climate simulation

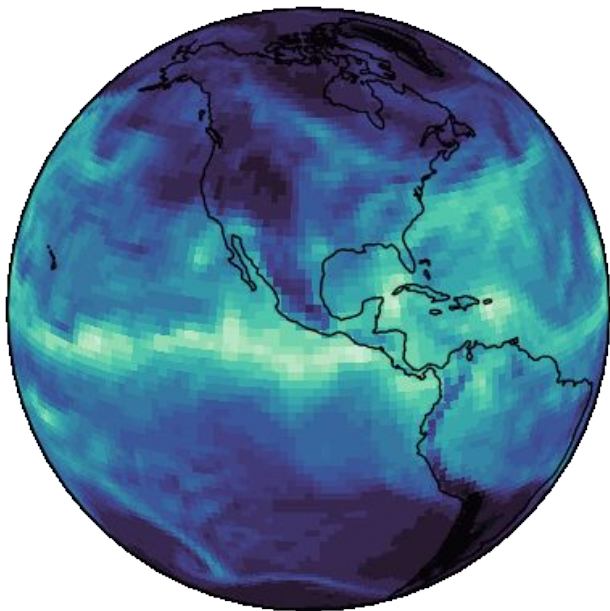
(1) Competitive 1-15 day **ensemble weather** forecasts with ECMWF



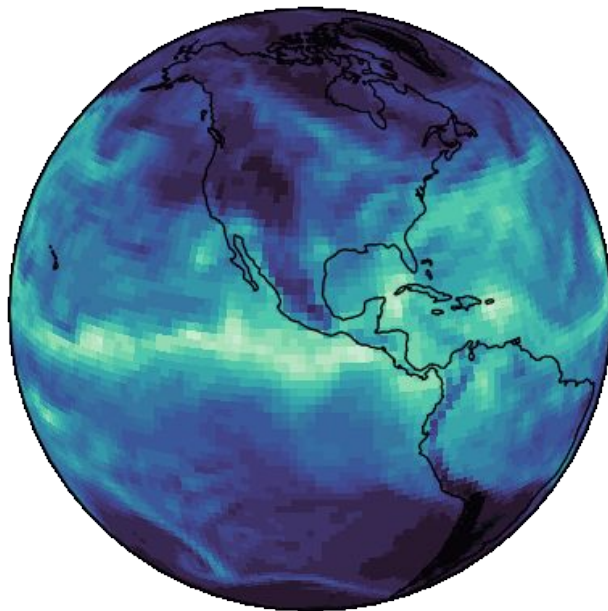
(2) Realistic **year-to-decades runs**, competitive with atmosphere only (AMIP) climate models



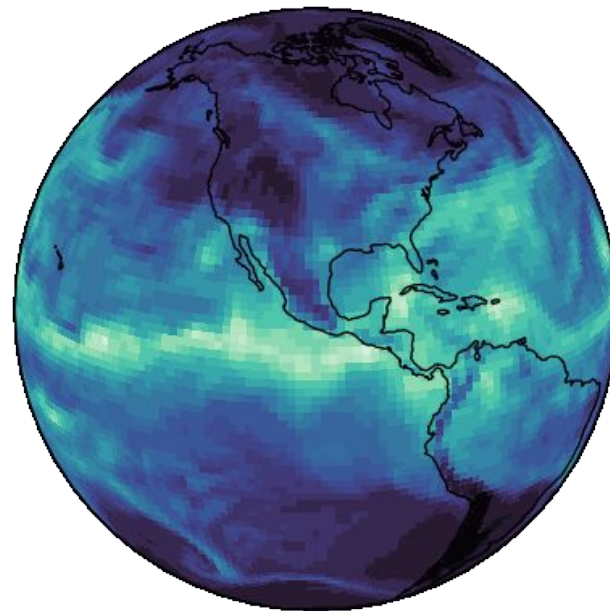
Weather “Turing test”: which one is ERA5?



Option A

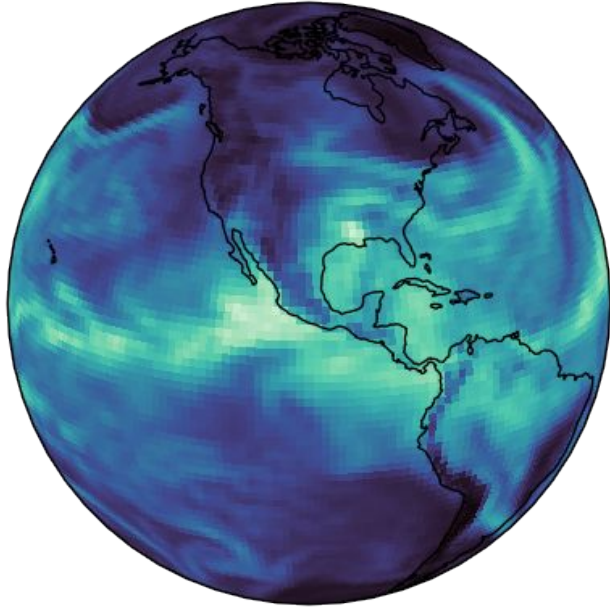


Option B

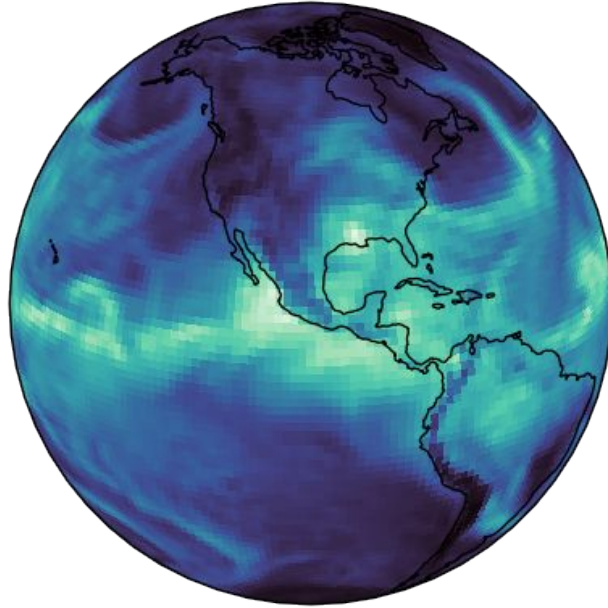


Option C

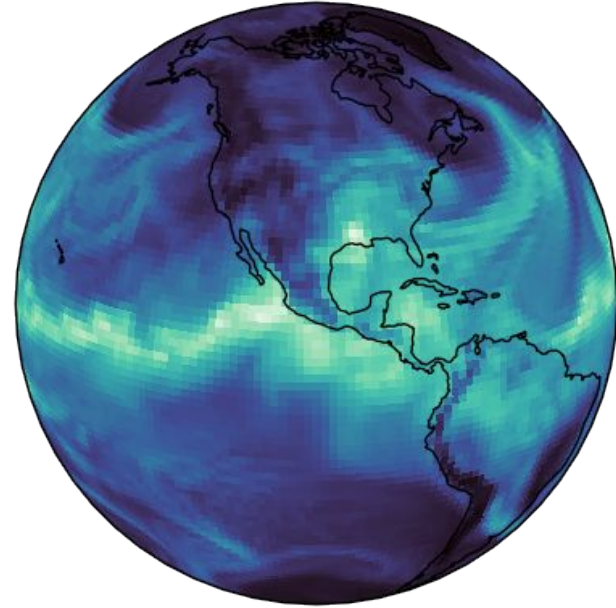
Weather “Turing test”: which one is ERA5?



Option A

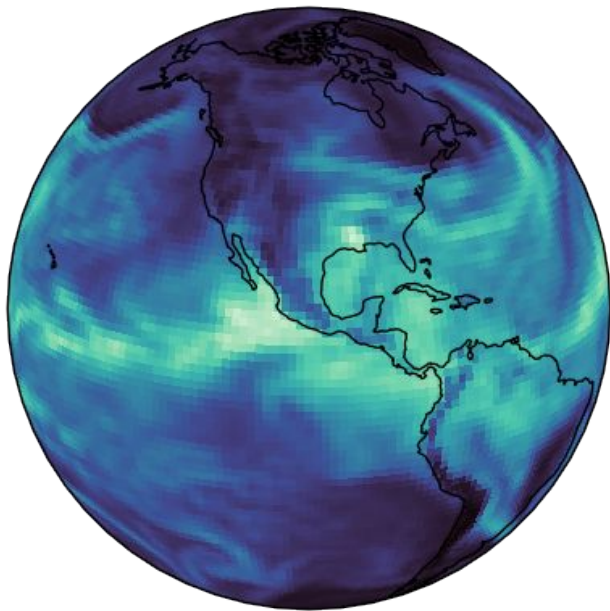


Option B

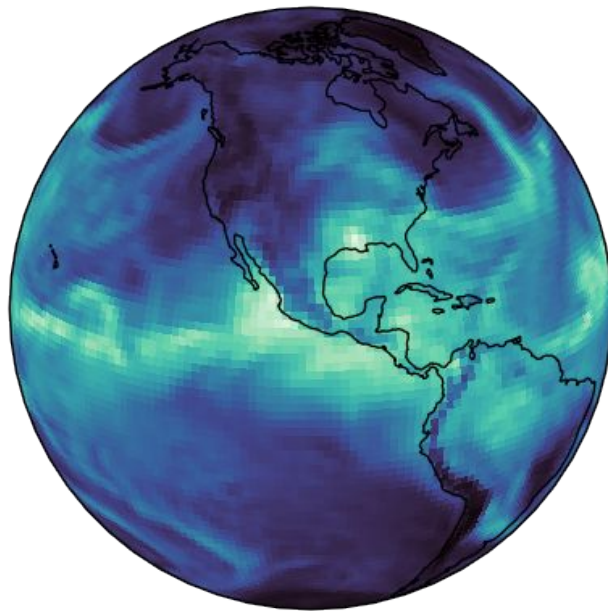


Option C

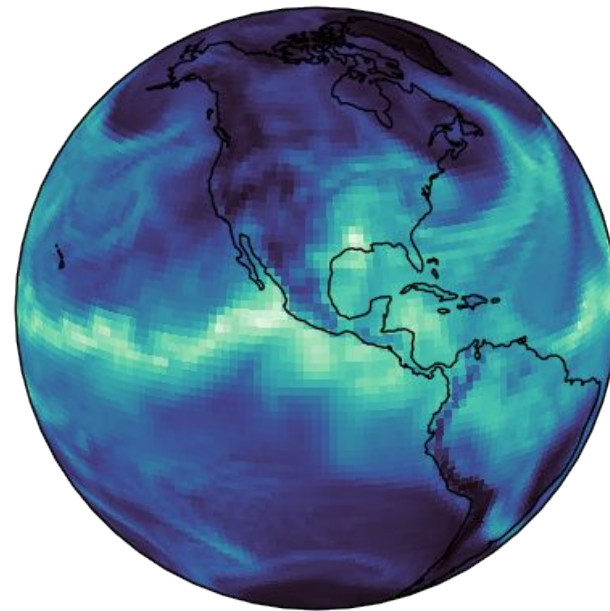
Weather “Turing test”: which one is ERA5?



NeuralGCM

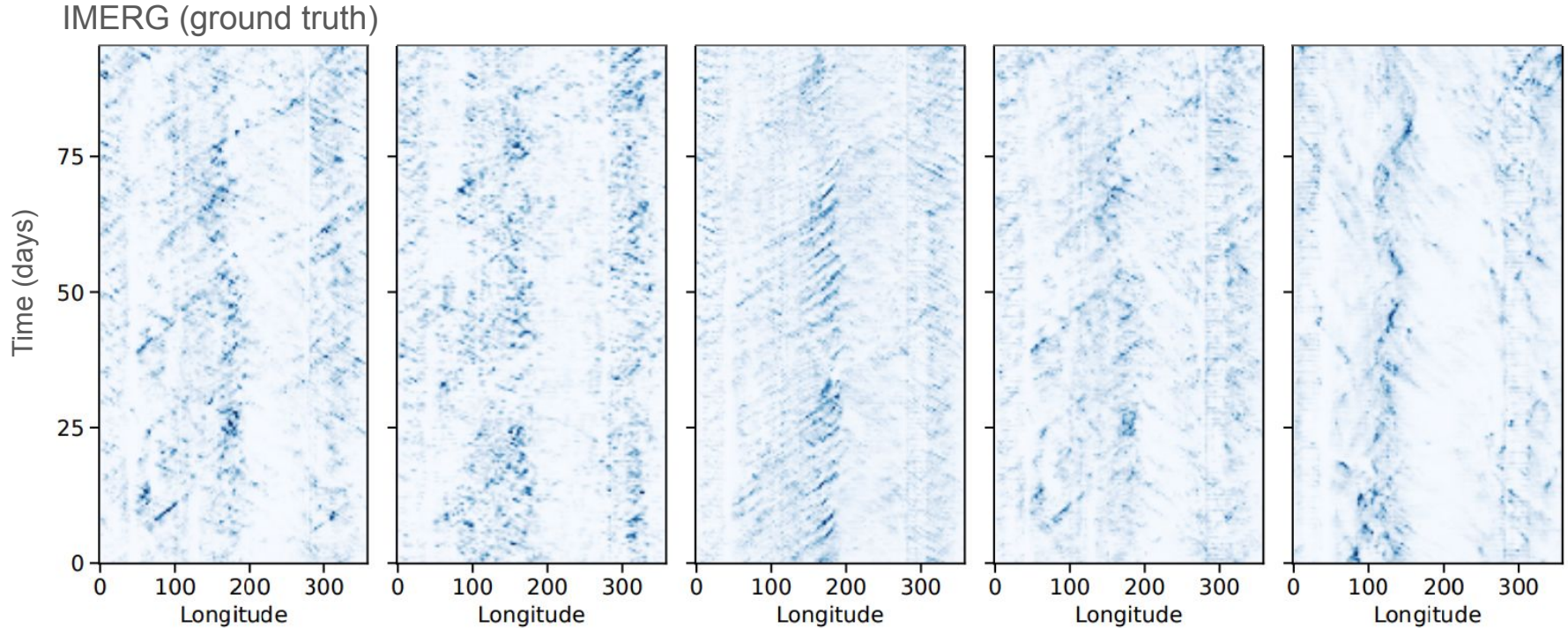


NeuralGCM



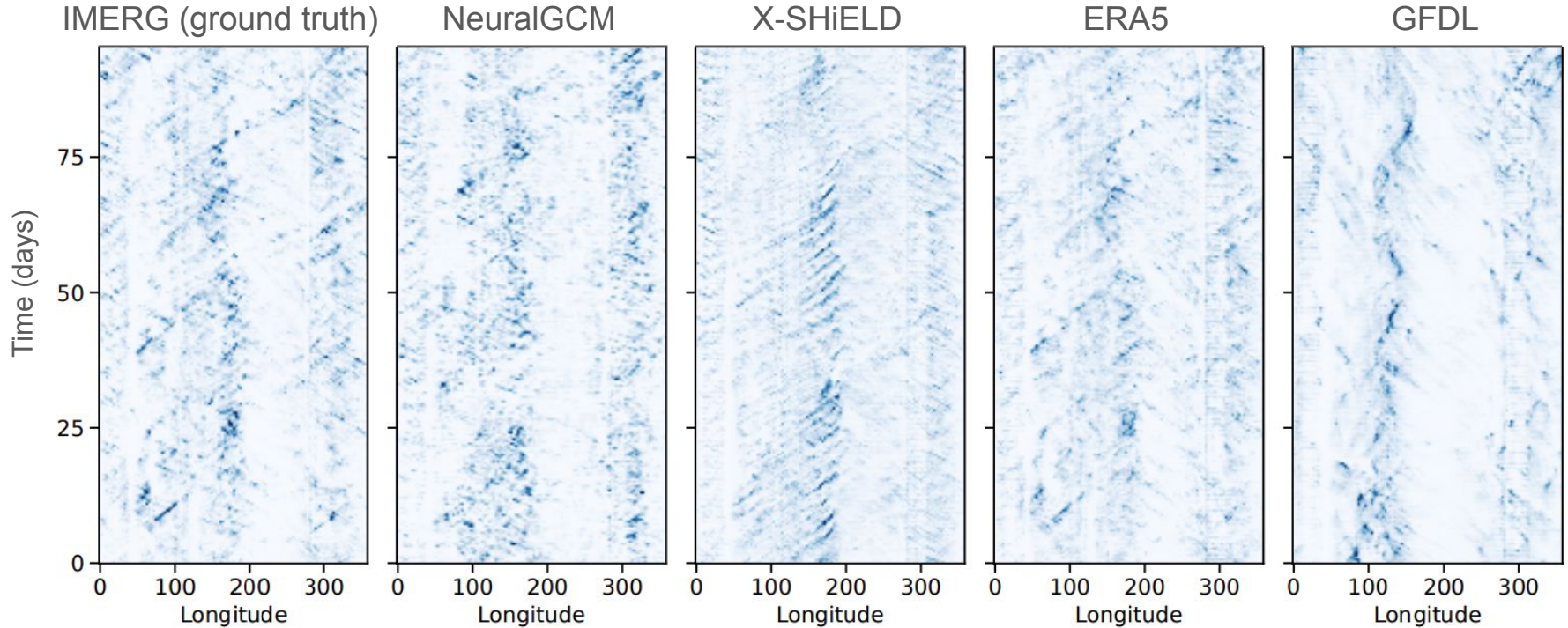
ERA5

Precipitation climate “Turing test”: which one is ERA5?



From NeuralGCM trained on combined ERA5 & IMERG data

Precipitation climate “Turing test”: which one is ERA5?



From NeuralGCM trained on combined ERA5 & IMERG data



Outline

1. General Circulation Models (GCMs) for weather and climate
2. AI revolution for weather forecasting
3. Neural GCM - differentiable hybrid atmospheric model
4. Neural GCM results
 - a. **Weather forecasting**
 - b. “Climate” simulations
5. Future directions

Evaluation of weather forecasts

Setup:

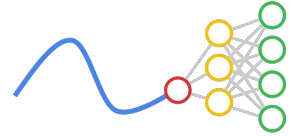
1. Models trained using historical data until 2020
2. Evaluate 7-15 day forecasts issued for 2020 initialized every 12h

Competing approaches:

- Operational physics models
 - ECMWF HRES
 - ECMWF ENS
- ML models
 - GraphCast
 - Pangu

Criteria, driving questions and (metrics):

1. Forecast accuracy — Does the forecast track weather patterns accurately? (RMSE, CRPS)
2. Physical consistency — Does it look like weather? (spectral density, biases)



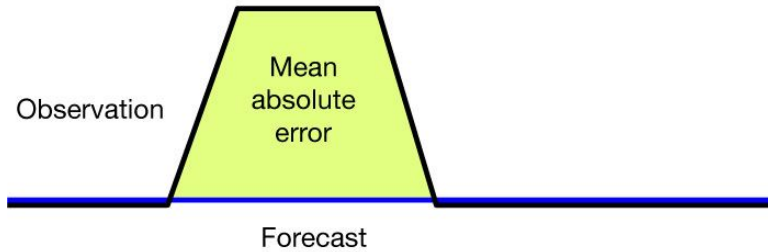
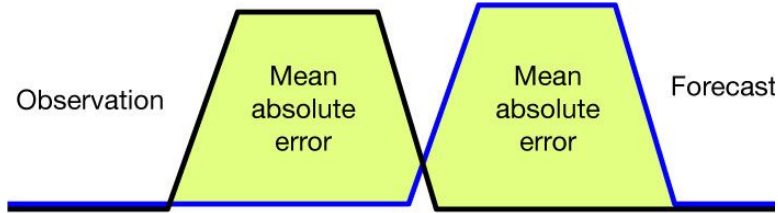
WeatherBench 2

Stephan Rasp et al

[github.com/google-research/
weatherbench2](https://github.com/google-research/weatherbench2)

RMSE and CRPS scores

$$\text{MSE} = \frac{1}{n} \sum_{i=1}^n (Y_i - \hat{Y}_i)^2$$



— Forecast — Observation

Figure credit: ECMWF

$$\text{CRPS} = \frac{1}{n} \sum_{i=1}^n (|Y_i - \hat{Y}_i| - \frac{1}{2}|Y_i - Y_i'|)$$

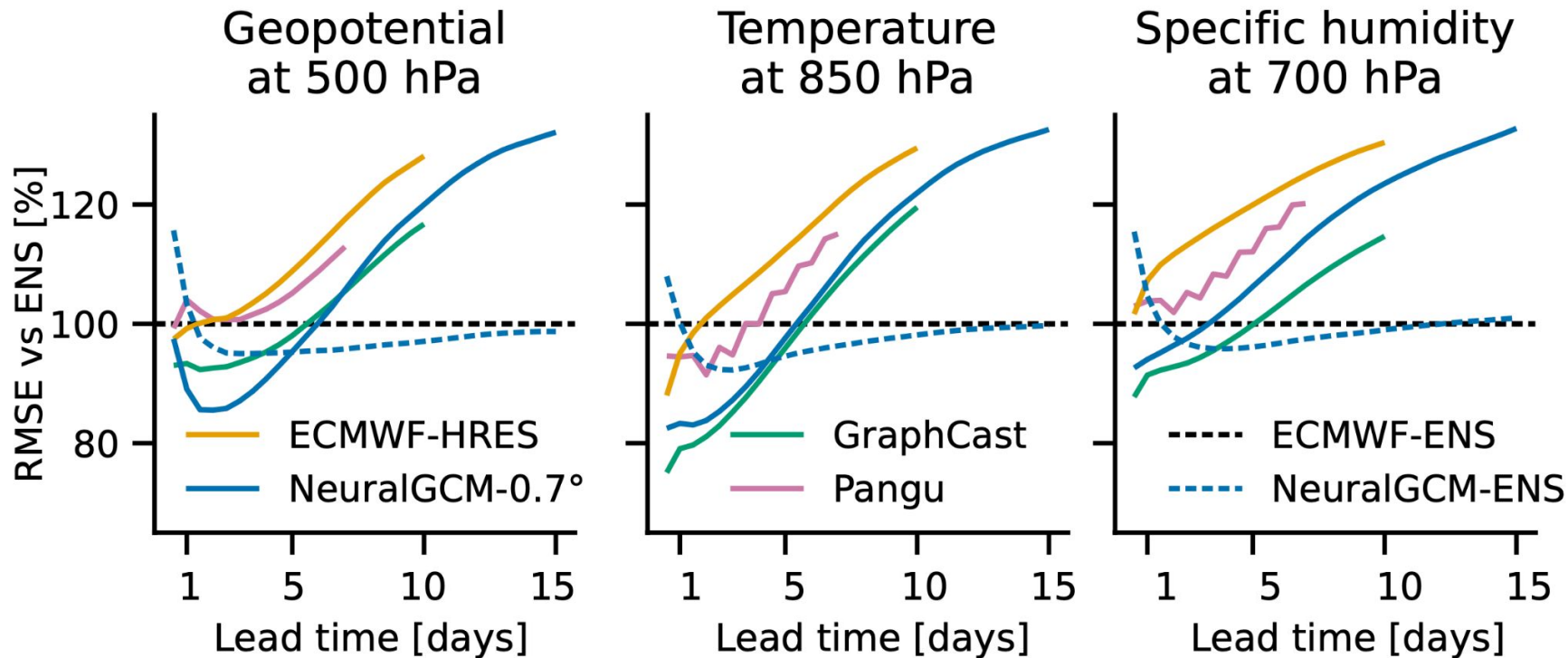
Encourages accuracy w.r.t. truth

Encourages spread within ensemble

When minimized, one expects similar deviations between the ensemble members (Y, Y') and the ground truth.

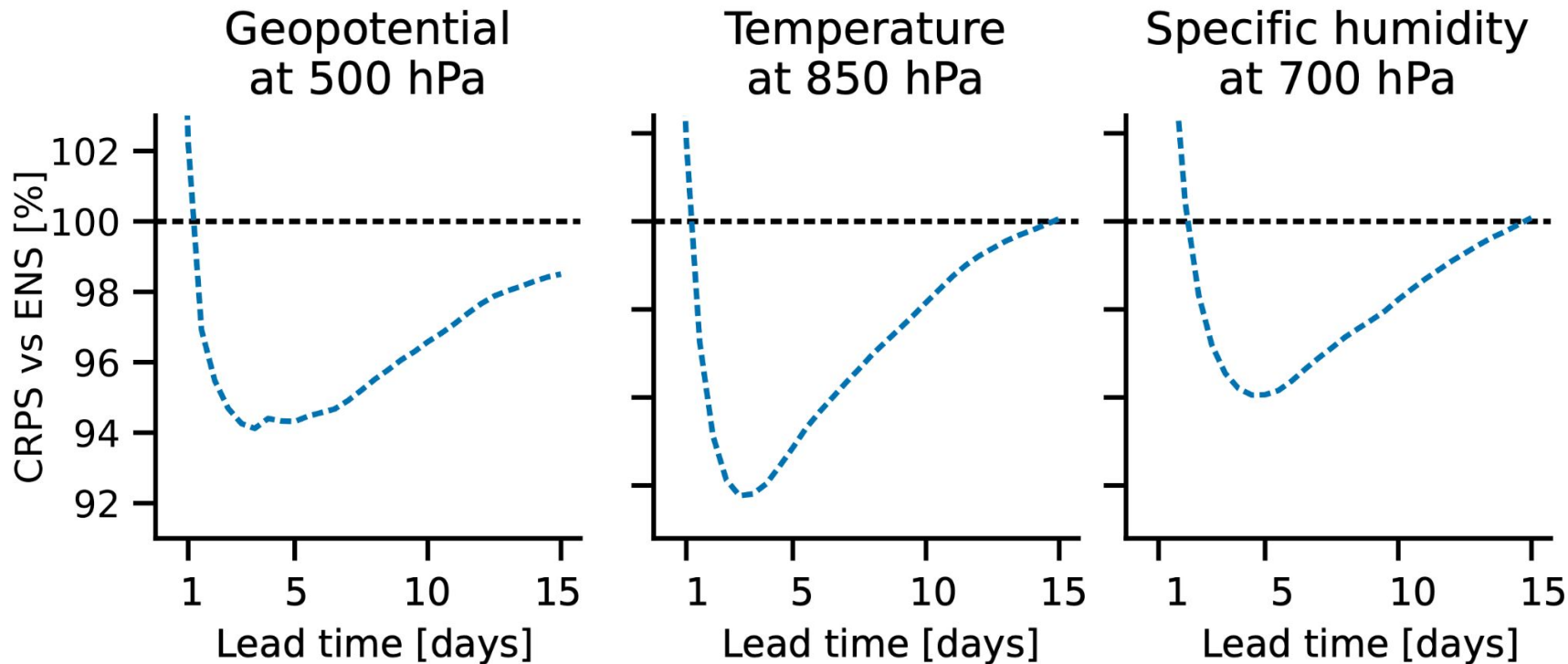
Accuracy: RMSE scores

- At short times **NeuralGCM-0.7°** and **GraphCast** achieve lowest errors
- At 5-7 days ensemble mean of **NeuralGCM-ENS** and **ECMWF-ENS** perform best

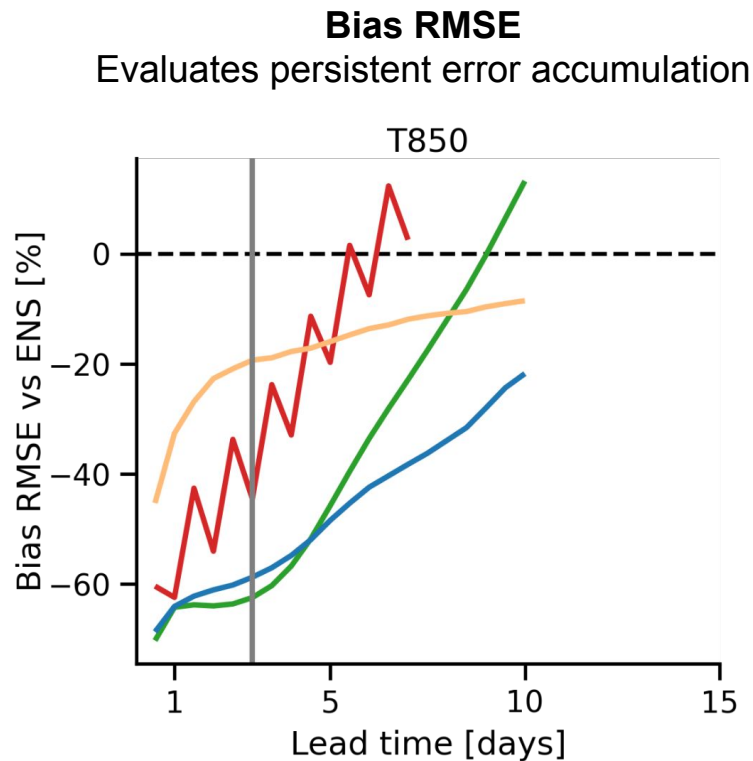
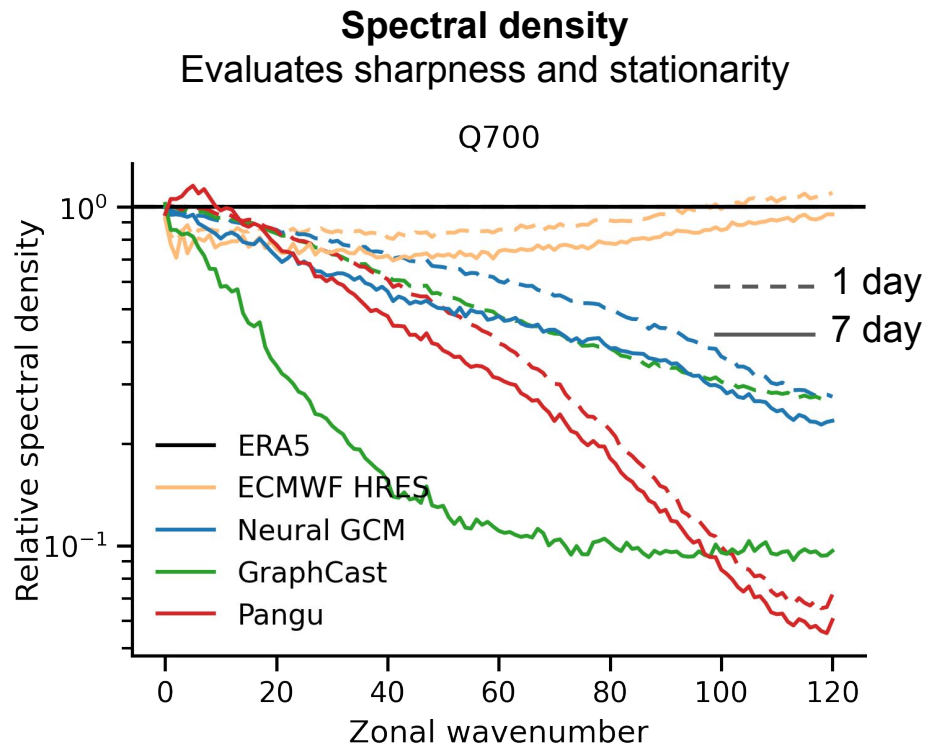


Accuracy: CRPS scores

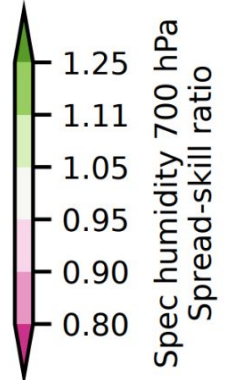
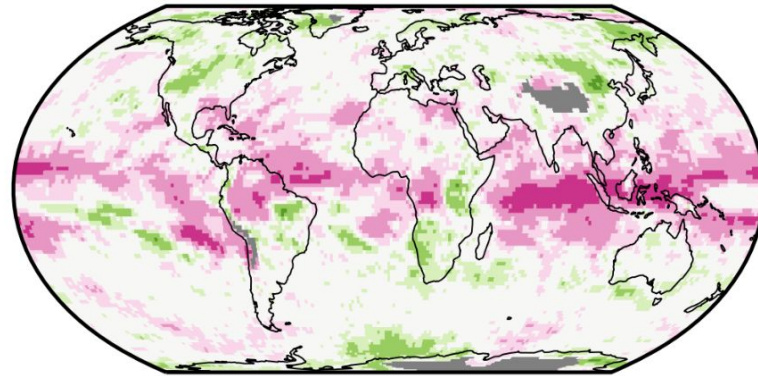
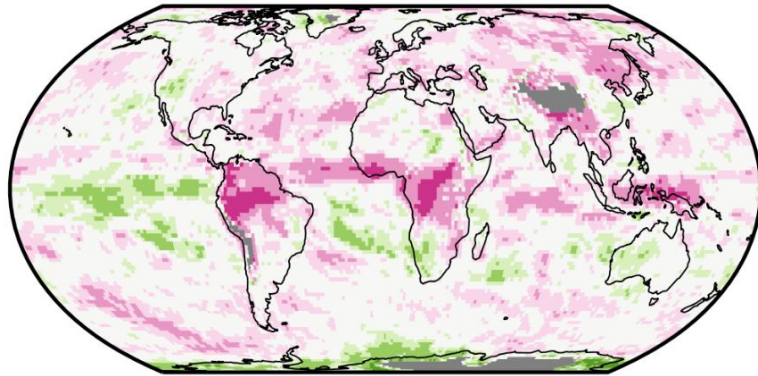
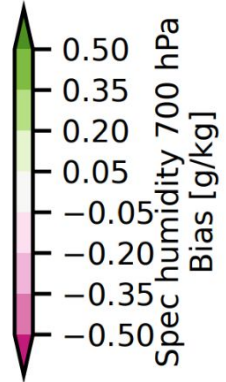
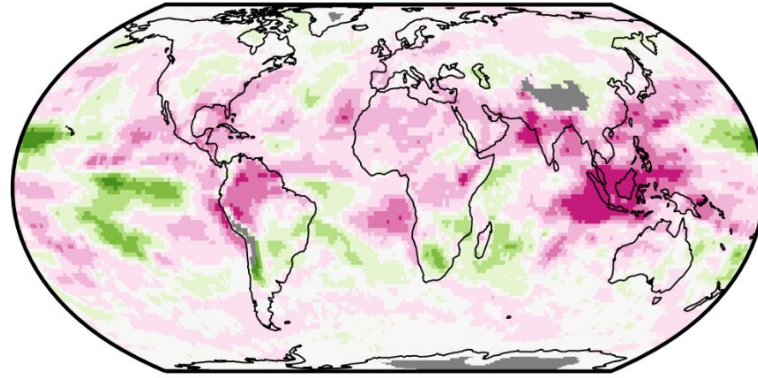
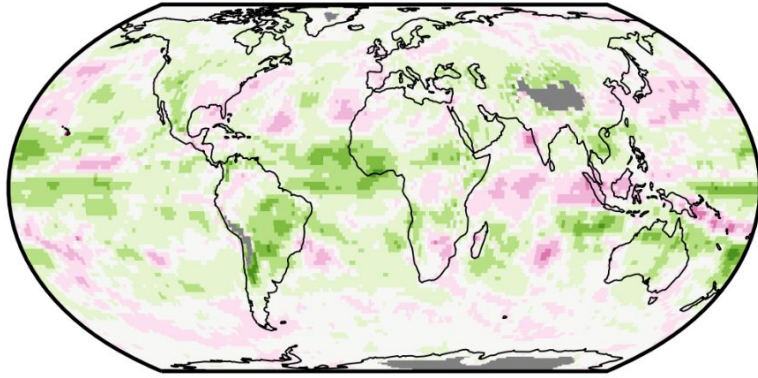
- **NeuralGCM-ENS** slightly outperforms **ECMWF-ENS** in Continuous Rank Probability Score
CRPS is the training objective



Consistency: Spectral density and RMSB

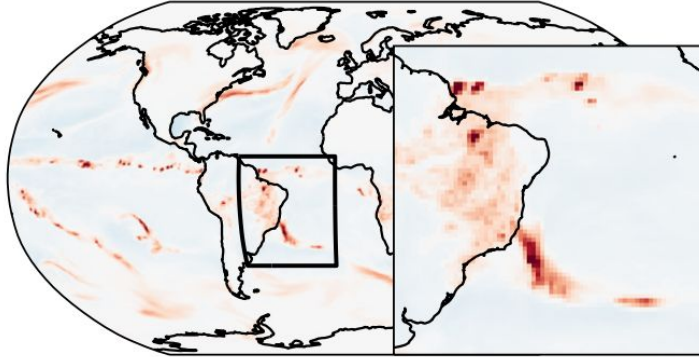


Consistency: bias and spread-skill spatial distributions

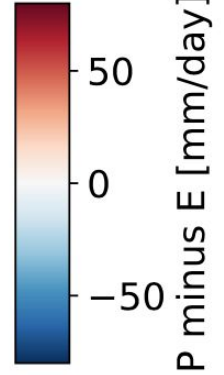
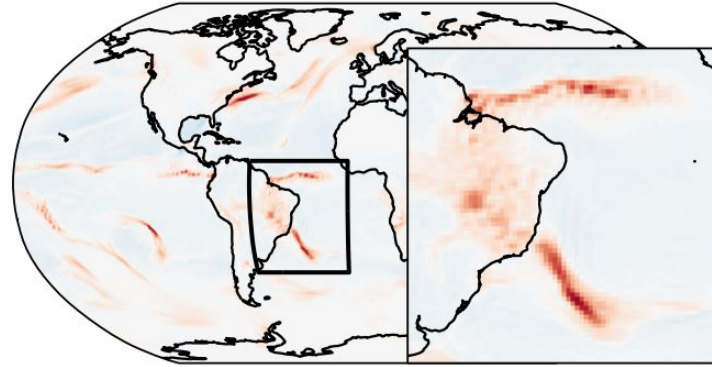


Consistency: water budget from model architecture

ERA5 2020-01-04 P minus E

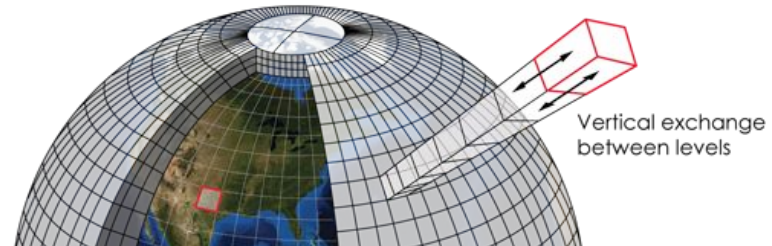


2020-01-04 (diagnosed)



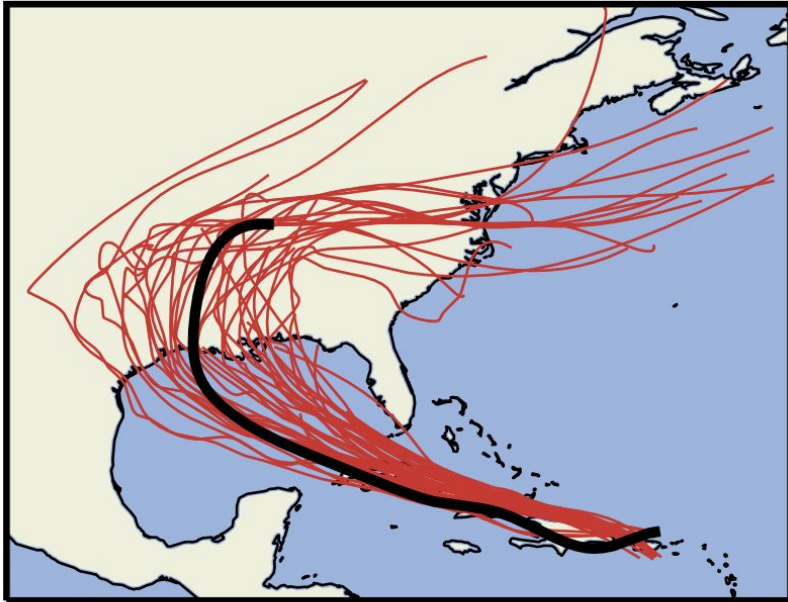
NeuralGCM At 3 day lead time

“Dynamics” + “Physics” separation enables us to directly diagnose changes in moisture (precipitation - evaporation)

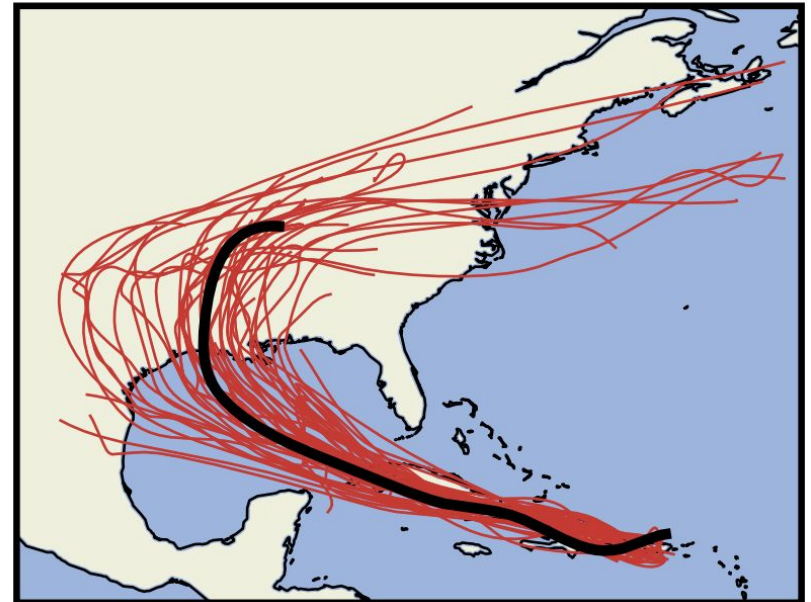


Case study: Ensemble of tropical cyclone tracks

ECMWF ensemble



NeuralGCM ensemble



+5 day forecasts of 2020's Hurricane Laura



Outline

1. General Circulation Models (GCMs) for weather and climate
2. AI revolution for weather forecasting
3. Neural GCM - differentiable hybrid atmospheric model
4. Neural GCM results
 - a. Weather forecasting
 - b. “Climate” simulations
5. Future directions

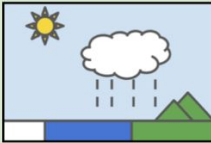
Emergent long-term behaviors in Neural GCM

Neural-GCM trained on
3-day rollouts

Dynamical core



Learned physics

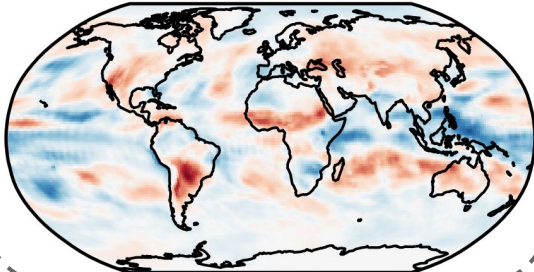


Multi-year long simulations
with **prescribed ocean**

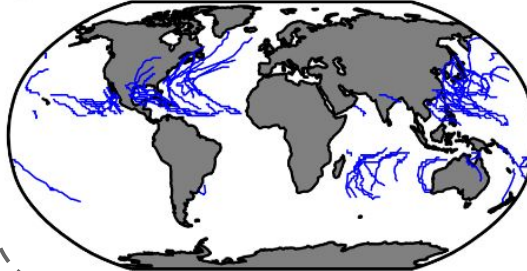
Monsoon wind reversal



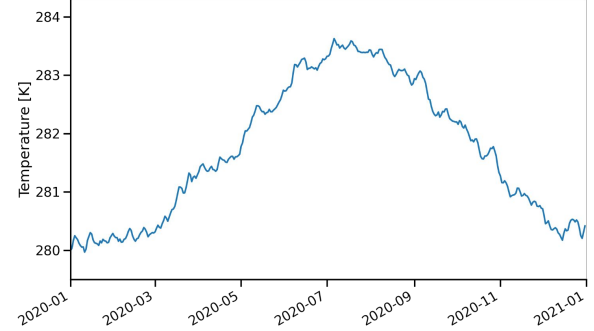
Low biases in
temperature & moisture



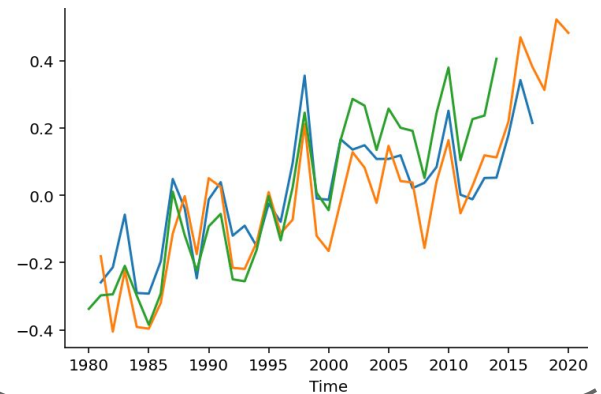
Generation of realistic
tropical cyclones



Seasonal temperature cycles



Global warming trends



Evaluation of climate simulations

Setup:

1. Models trained on historical data until 2017
2. 2-year simulations initialized throughout 2019 use 1.4° (140 km) NeuralGCM
3. 40-year run initialized in 1980 use 2.8° (280 km) NeuralGCM

Inference is **AMIP** setup – prescribed historical sea surface temperature

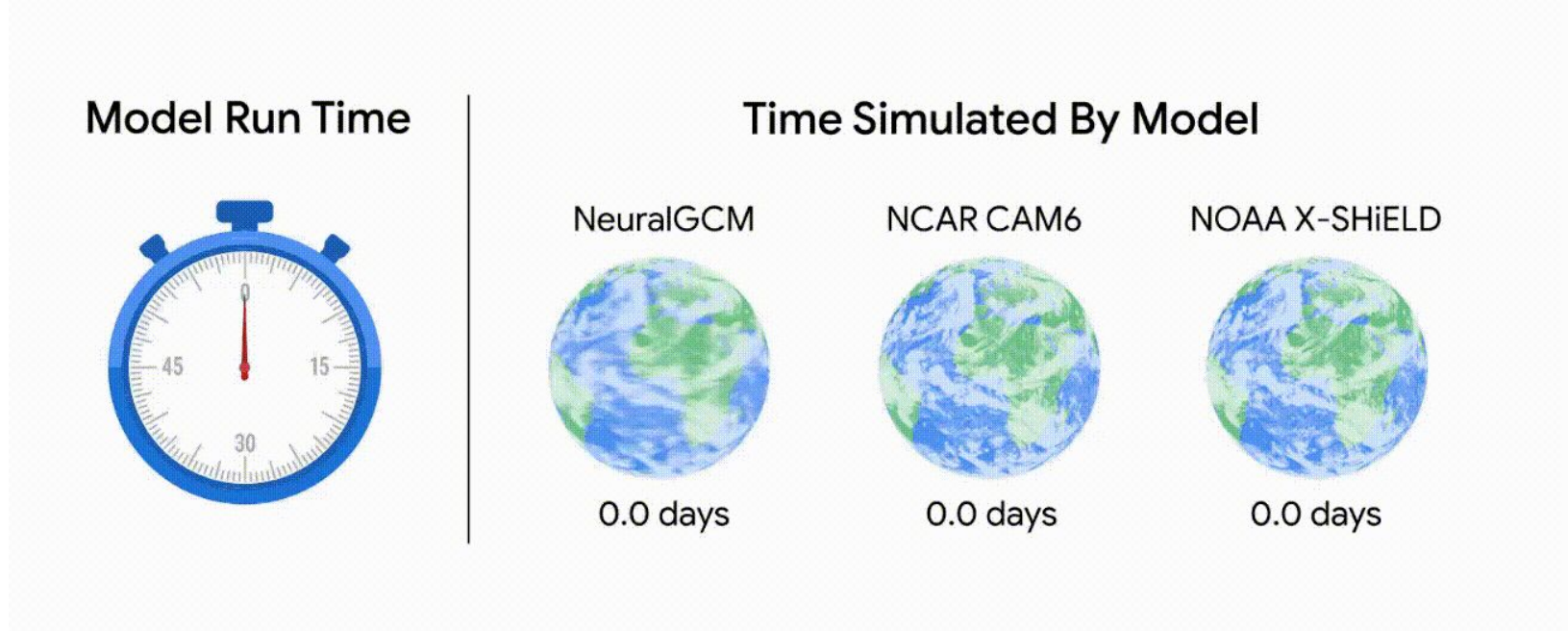
Reference models:

- X-SHiELD - state of the art cloud resolving model (3 km resolution)
- CESM - state of the art climate model
- Climatology - predict average climate

Desiderata:

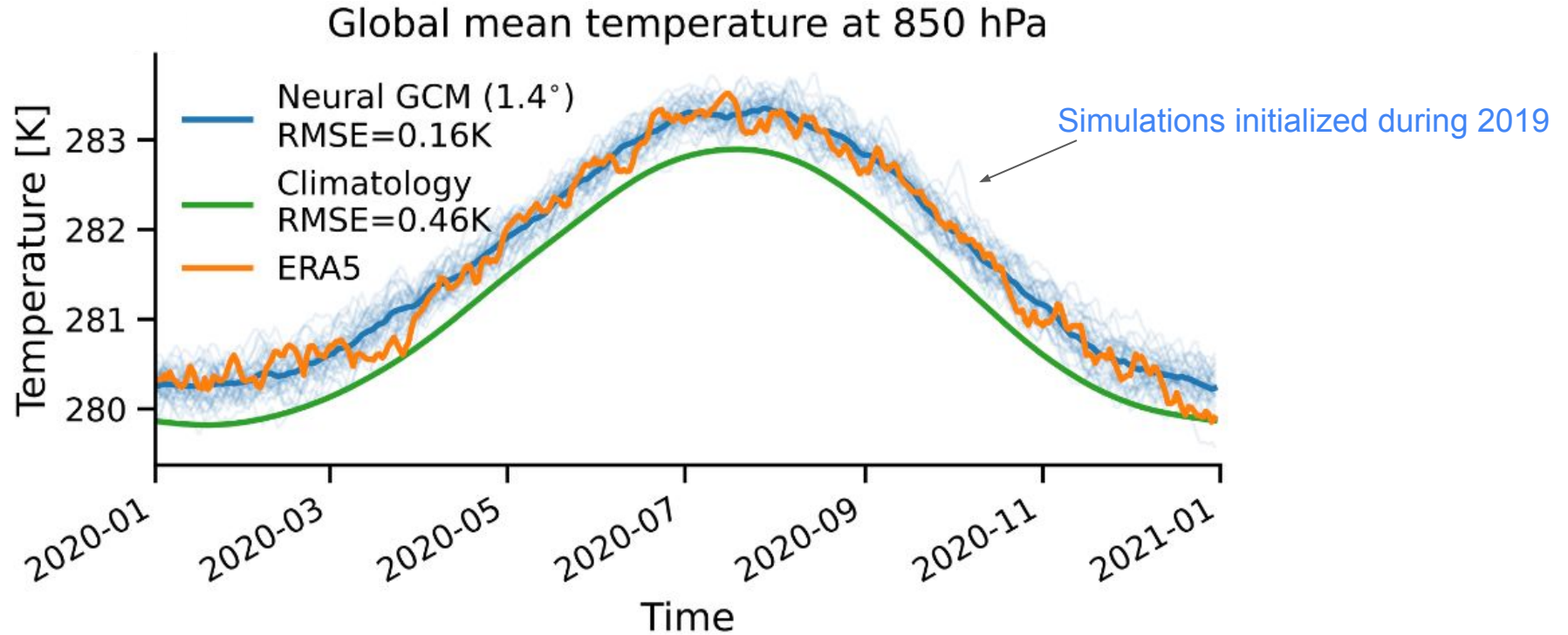
1. Climate-like variability
2. No significant climate drift or bias

NeuralGCM runs fast on modern hardware



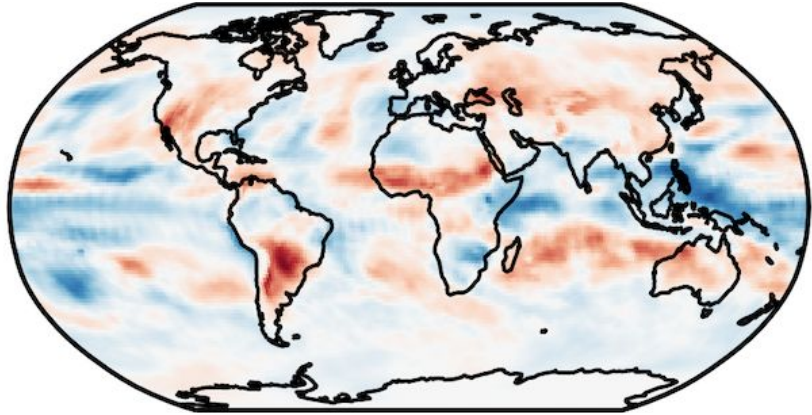
This is largely the consequence of reduced resolution

Neural GCM captures near-term climate in 1+ year forecasts

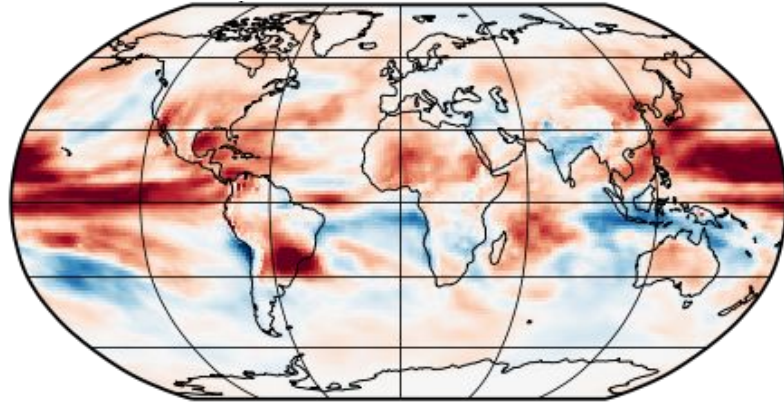


NeuralGCM reproduces near-term climate more accurately than global storm resolving models

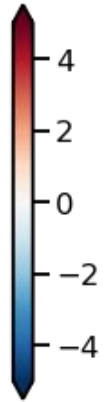
140 km Neural GCM
RMSE = 1.07 mm



3 km GFDL X-SHiELD
RMSE = 1.74 mm



Precipitable water
bias for 2020 [mm]



Neural GCM generates realistic tropical cyclones

2020

APR



MAY

JUN

JUL

AUG

SEP

OCT

NOV

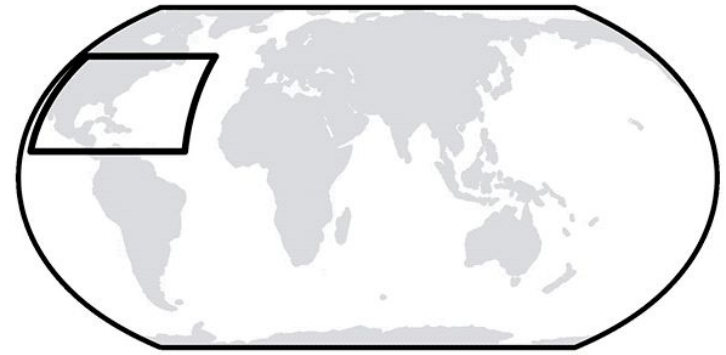
DEC

2021

JAN

FEB

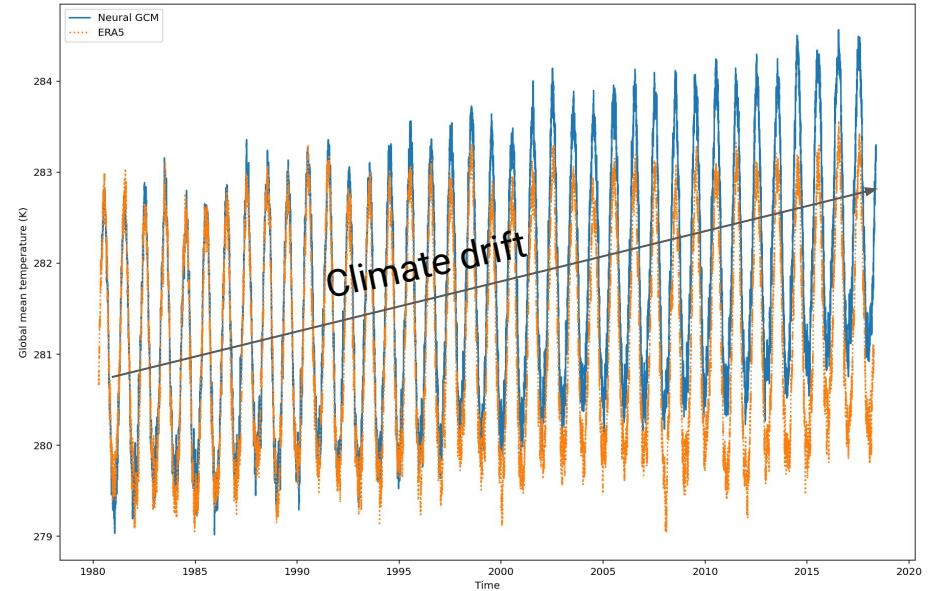
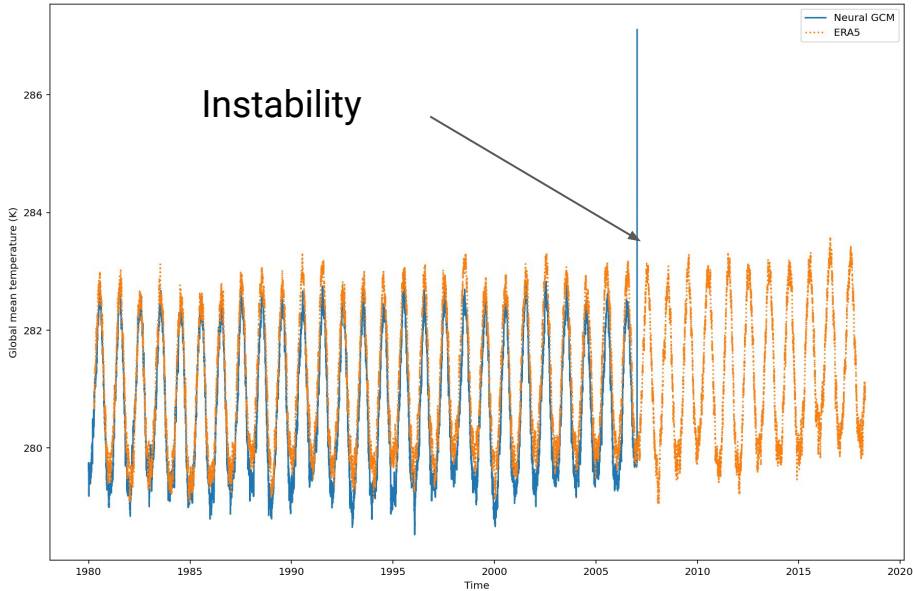
MAR



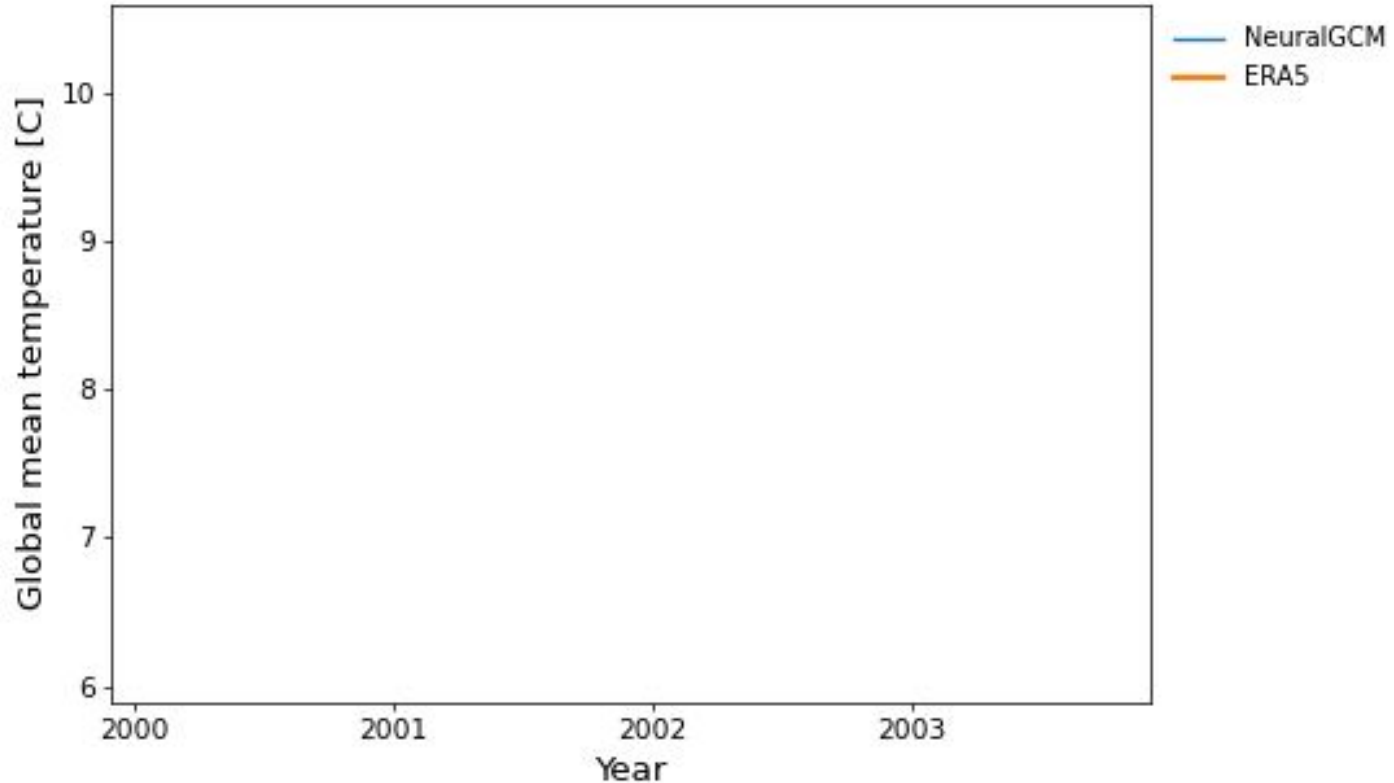
— Ground truth (ERA5)

— NeuralGCM

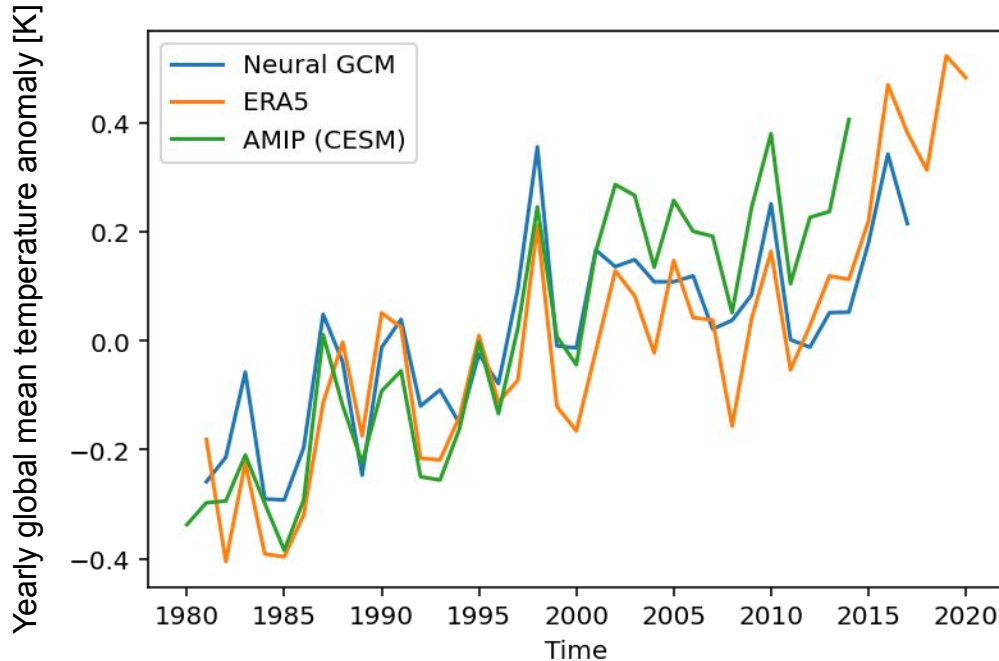
Instability and climate drift can occur in decadal predictions



Some NeuralGCM models are stable for decadal runs



Neural GCM can sometimes capture warming trends with comparable accuracy to CESM



Here NeuralGCM infers global warming signal from the provided ocean temperature

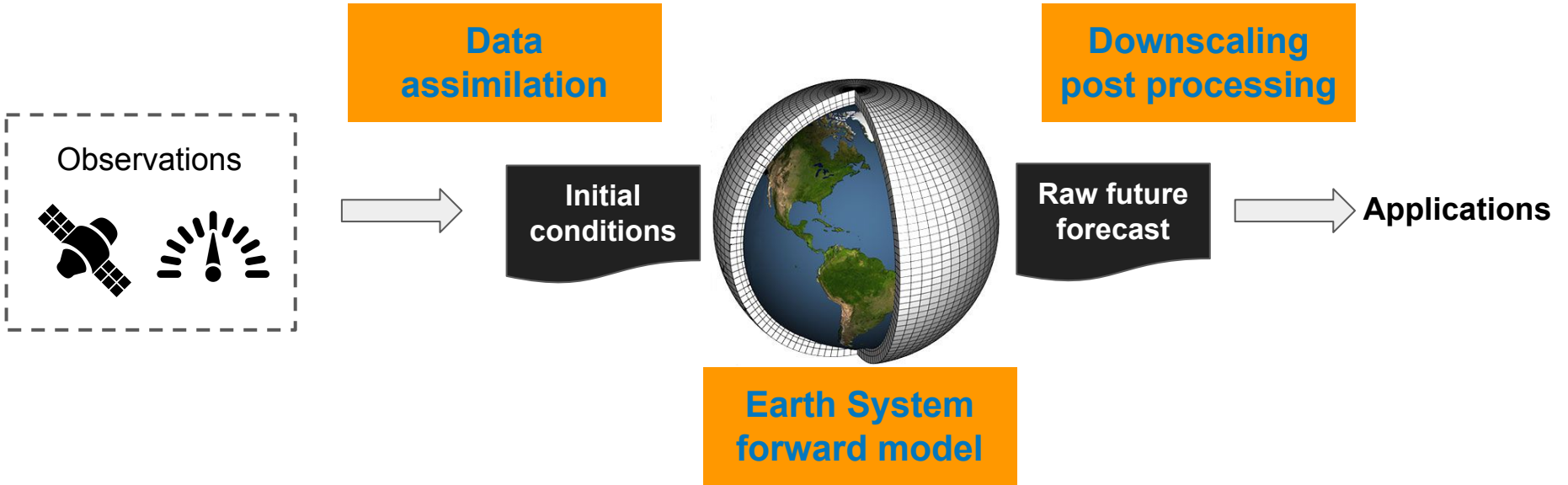
For real world applications one would need to incorporate CO², other gas species and run coupled land-ocean-atmosphere simulations



Outline

1. General Circulation Models (GCMs) for weather and climate
2. AI revolution for weather forecasting
3. Neural GCM - differentiable hybrid atmospheric model
4. Neural GCM results
 - a. Weather forecasting
 - b. “Climate” simulations
5. Future directions

Full Earth System modeling system vision



- Provide high quality nowcast everywhere
- Predict severe weather days ahead of time
- Help plan & prepare for seasonal changes
- Understand & adapt to warming climate

Initial condition
[nowcasting, medium range, ...]

Boundary condition
climate variability, catastrophe risks

Current focus: Coupled components & Observation data

Coupled modeling



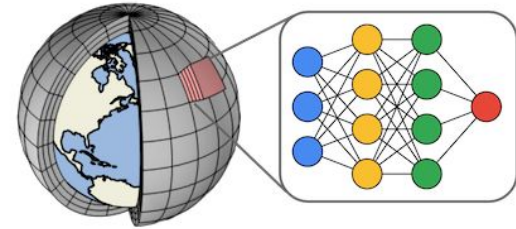
Promising results on data-driven ocean emulation & work towards coupled models

Learning from observations



Improved precipitation modeling by training on IMERG data

Open source code

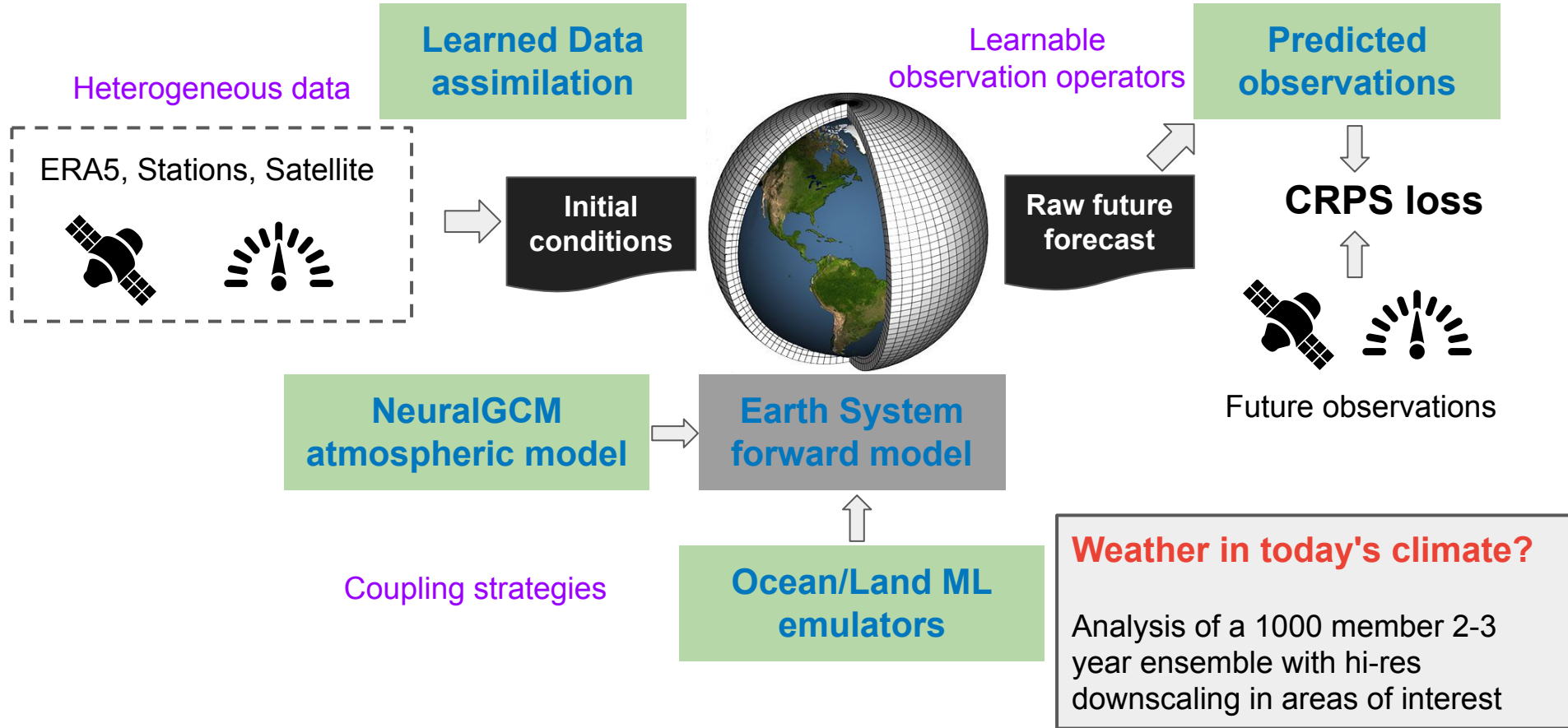


NeuralGCM

Updating code to support new research on weather & climate (DA, DS, coupled models)

We hope to provide meaningful insights via S2S forecasting and quantitative climate variability estimates

Near term Earth System Modeling goals



Summary

NeuralGCM is an open, fast efficient model that generates realistic ensembles of weather forecasts and features relevant emergent phenomena at longer time integrations

We are hoping to increase the breadth of AI-for-climate research and enable larger community to improve upon our models

Thanks to the Neural GCMs core team and collaborators:



Dmitrii
Kochkov



Janni
Yuval



Ian
Langmore



Stephan
Hoyer

NeuralGCM collaborators:

Peter Norgaard, Jamie Smith, Griffin Mooers, James Lottes, Stephan Rasp, Sam Hatfield, Peter Duben, Milan Klower, Peter Battaglia, Alvaro Sanchez-Gonzalez, Matthew Willson, Michael Brenner